



Leaders

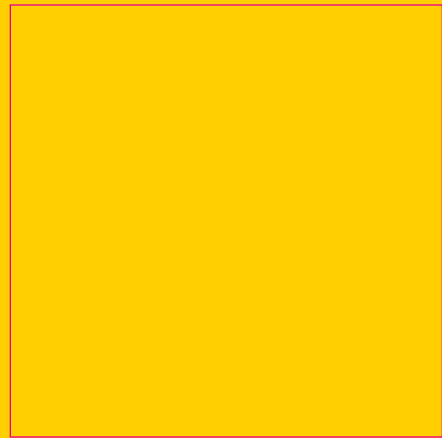


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**PLOUGHSHARES FUND**

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Annual Report 2007-2008



Ploughshares Fund is building a safe, secure world by investing in the people and institutions working to reduce and ultimately eliminate nuclear weapons and to promote peace in regions of conflict. Ploughshares Fund is supported by gifts from individuals, families and foundations.



New

Future

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# Letter from the Chairman

Dear Friends,

This past year has been momentous for Ploughshares Fund. Following the death in October 2006 of our visionary founder Sally Lilienthal, the Board of Directors and key staff members focused very intensely on our mission and future. Over the past few years, we had been broadening and deepening our efforts to reduce the threat of nuclear weapons and we had also launched a \$25,000,000 endowment drive. But during 2007, we also recognized the rapidly changing world leadership and the related window of opportunity to dramatically change America's nuclear posture.

In late 2007, the board made several crucial decisions: First, to establish a presence in Washington, DC, so that we could more directly inform and influence key policy leaders. Second, to commit to more than doubling our investments in the peace and security field over the next five years.

The principle behind this expansion is very simple: we don't want to wake up one day and say, "The good news is that we have amassed a large endowment fund which has grown over the years; the bad news is that a nuclear weapon has gone off in a major American city."

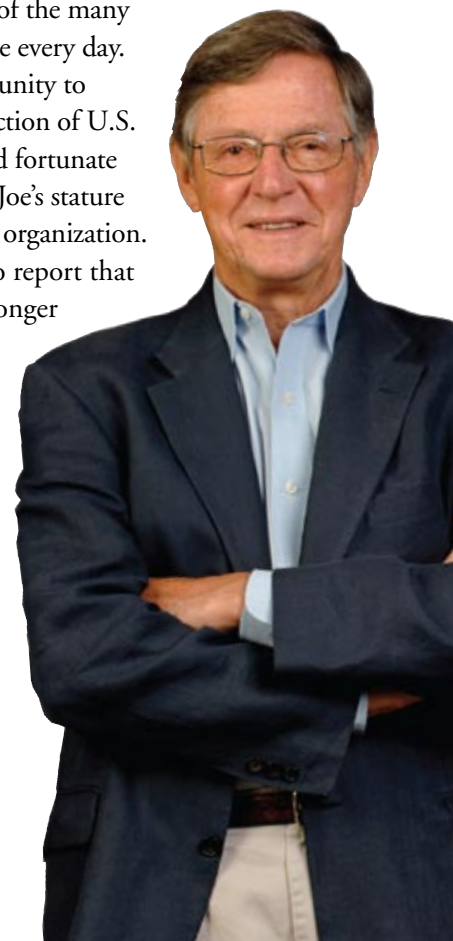
Having resolved to maximize the amount we were prepared to invest in fulfilling our mission, we next committed to finding a president for the Fund who could greatly increase our "outside" presence and, most importantly, could help broaden public understanding of the critical issues surrounding nuclear policy and

practice. Unfortunately, the subject is often too arcane and removed from daily life to get the attention and support required to sustain major policy changes and truly make the world a safer place. Our search for just the right person quickly led us to Joseph Cirincione, highly-regarded policy expert, Capitol Hill veteran and a "go to" person for journalists on any of the many nuclear weapons-related issues that arise every day. At a moment of unprecedented opportunity to foster fundamental changes in the direction of U.S. nuclear weapons policy, we were indeed fortunate and delighted to welcome someone of Joe's stature and intellect into the leadership of this organization.

As we look ahead, we are happy to report that Ploughshares Fund has never been stronger and has never been more optimistic about what we can do to reduce the threat posed by nuclear weapons. We thank all of our supporters for encouraging and enabling our drive toward the ultimate goal of ridding the world of these terrifying weapons.

Sincerely,

**ROGER L. HALE**, CHAIRMAN





# Letter from the President and

Dear Friends,

Throughout his historic campaign, President-elect Barack Obama often cited the words of Dr. Martin Luther King, Jr. to illustrate this defining moment of our history. He spoke of “the fierce urgency of now,” and the need to “move past indecision to action,” because, as Dr. King warned, “there is such a thing as being too late.”

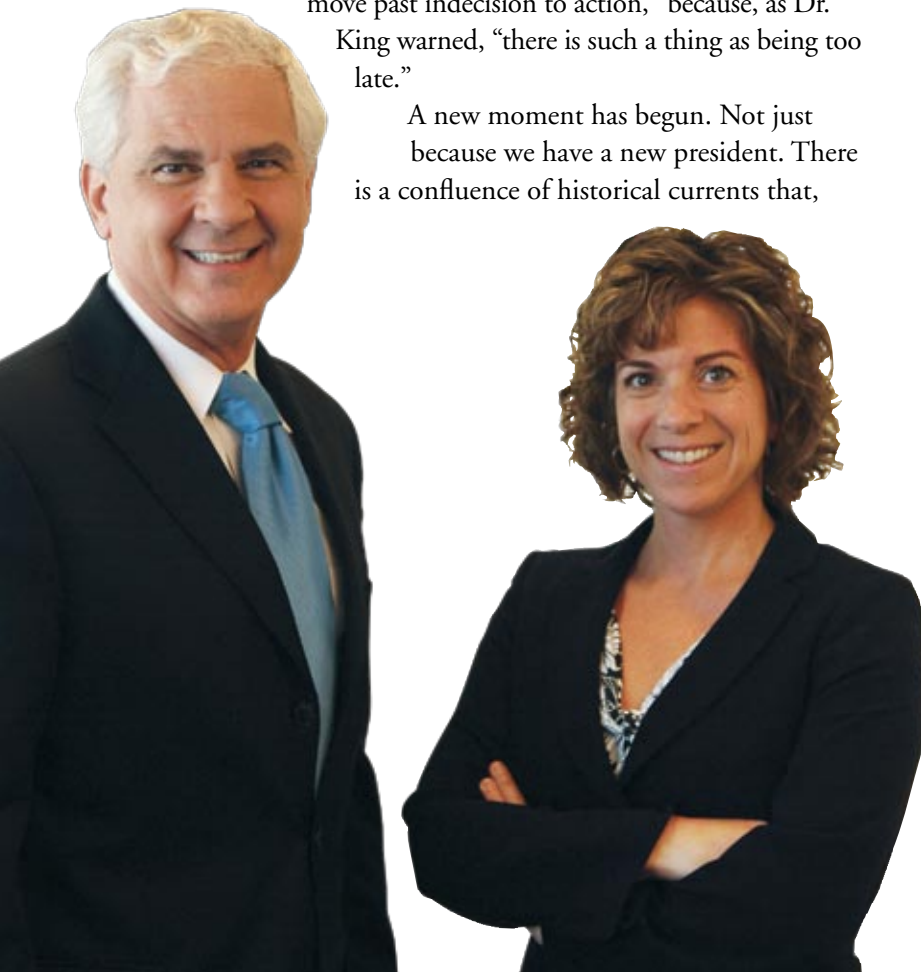
A new moment has begun. Not just because we have a new president. There is a confluence of historical currents that,

together, create a powerful movement for change: a new generation of global leaders less wed to policies of the past and more open to strategies for the future; a recognition of the failure of past administrations’ nuclear policies; and, perhaps most importantly, a growing movement of civil society from all political persuasions that is providing leadership for a bold new vision of a world without nuclear weapons.

Now, our job at Ploughshares Fund is to sustain and build on this momentum. By investing in individuals and organizations that can make a real difference, knitting these initiatives together for maximum impact, and leveraging our own expertise to help shape the strategies going forward, we believe we can create an effort greater than the sum of its parts.

We want to change nuclear policy now. For the next year, we have targeted five key areas: promote the elimination of nuclear weapons; prevent nuclear terrorism; thwart new nuclear-armed states; build regional peace and stability; and reduce the threats from ballistic missiles. We have developed benchmarks to measure our progress so that you will know your contributions to Ploughshares Fund are yielding results.

Key to our success will be the people – the leaders – who are building a new future with our support. Ronald Reagan once said that the challenge of leadership is “to have the vision to dream of a better, safer world and the courage, persistence, and patience



# Executive Director

to turn that dream into reality.” In the following pages you will meet individuals who exemplify all of these qualities: Bruce Blair, Rachel Kleinfeld, Trita Parsi, Victoria Holt, Matthew Bunn, Kennette Benedict and Dipankar Banerjee.

They and the many other leaders supported by Ploughshares Fund are informing and influencing decision makers, producing pivotal independent analyses, expanding the public’s knowledge and catalyzing public support.

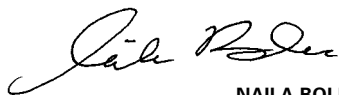
Ours goals are ambitious and challenging. You would not want anything less. Especially now. These kinds of policy moments don’t come along often, and they don’t last long. The window is now open. With your partnership and the kind of smart, strategic investments described on these pages we believe we can fundamentally change U.S. nuclear policy, and in so doing, change the world.

As Dr. King said, “Now let us begin.”

With confidence and resolve,



**JOSEPH CIRINCIONE**, PRESIDENT



**NAILA BOLUS**, EXECUTIVE DIRECTOR

## policy goals and strategies

- **promote the elimination of nuclear weapons**
- **prevent nuclear terrorism**
- **thwart new nuclear states**
- **build regional peace and stability**
- **reduce the threats from ballistic missiles**
- **inform and influence decision makers**
- **produce pivotal independent analyses**
- **expand the public’s knowledge and catalyze public support**

# Leadership Board of Directors

Edie Allen is president of the Colombe Foundation, which “seeks to create a peaceful world through changes in American policy.”

Reza Aslan is the author of *No god but God: The Origins, Evolution, and Future of Islam* and the new *How to Win a Cosmic War*.

Douglas Carlston founded Broderbund Software. He now leads Tawala Systems and chairs the board of Public Radio International.

Joseph Cirincione, President, is the author of *Bomb Scare: The History and Future of Nuclear Weapons* and an expert advisor to the Congressional Commission on the Strategic Posture of the U.S.

Michael Douglas is an actor, producer, two-time Academy Award winner and UN Messenger of Peace.

Gloria Duffy served as Deputy Assistant Secretary of Defense in the Clinton Administration, and is now CEO of the Commonwealth Club of California.

Mary Lloyd Estrin is vice president of the human rights-focused General Service Foundation and a trustee of Vassar College.

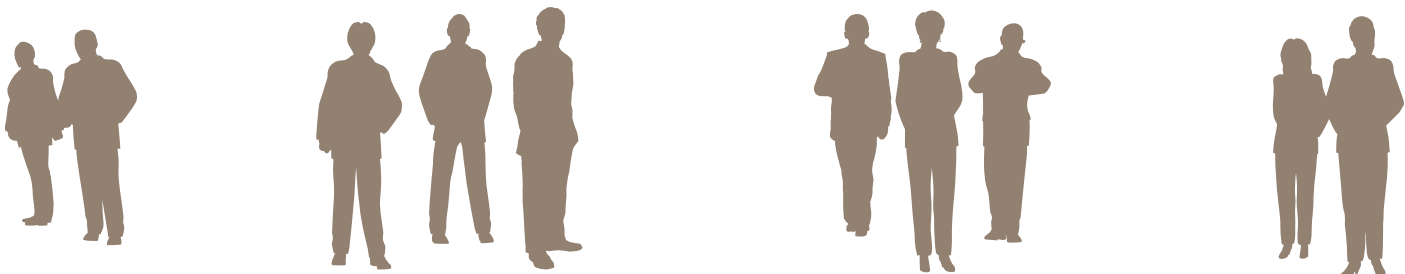
Angela Foster is a leader in community education and former program director of the Aspen Community School.

Roger L. Hale, Chairman, is the former CEO of the Minneapolis-based Tennant Company, and is currently on the board of the Walker Art Center.

David Holloway is the author of *Stalin and The Bomb* and professor of political science and history at Stanford University.

John Hoyt is founder and principal of Pyramid Communications, a public affairs firm dedicated to socially responsible causes.

Richard Pritzlaff is president of the Biophilia Foundation and a leader in efforts to restore and preserve wildlife habitats.







Robert A. Rubinstein is professor of anthropology and international relations at Syracuse University, specializing in the anthropology of peacekeeping.

Cynthia Ryan is principal of the Schooner Foundation and serves on the board of Women for Women International.

Gail Seneca founded Seneca Capital and Luminent Capital, and is the treasurer of the Foundation for the People of Burma.

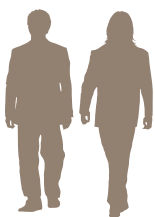
Robert E. Sims is a trial lawyer and former federal prosecutor, and served as an advisor to the State Department on transnational organized crime.

Patricia F. Sullivan, Treasurer, is deputy director of the Natural Resources Defense Council and an advisory director of the Metropolitan Opera.

Brooks Walker III, Secretary, is principal of Walker-Warner Architects and serves on the board of San Francisco Planning and Urban Research.

Edith B. Wilkie is president of the Peace Through Law Education Fund and is the former director of the congressional Arms Control and Foreign Policy Caucus.

Philip W. Yun is vice president for resource development at the Asia Foundation and was a senior policy advisor on North Korea policy at the U.S. State Department.





# Leader Bruce Blair

World Security Institute, Washington, DC

policy goals and strategies

- promote the elimination of nuclear weapons
- inform and influence decision makers
- expand the public's knowledge and catalyze public support



## Global Zero

With growing consensus that the utility of nuclear weapons has declined since the end of the Cold War, some of history's most ardent proponents of a strong U.S. nuclear posture – former Secretaries of State Henry Kissinger and George Shultz, to name a few – have gone on record calling for a “bold initiative” to build a “world free of nuclear weapons.”

For Bruce Blair, founder and president of the World Security Institute, this is the first time in the nuclear age that eliminating nuclear weapons has become feasible. “What makes it possible to imagine is the political coalition that brings left, right and center together in common cause.” To realize the promise of this unprecedented, perhaps fleeting moment in history, Blair was inspired to launch Global Zero, a new worldwide popular drive for an international agreement to eliminate all nuclear weapons by a date certain.

If that sounds like a lofty ambition, think Al Gore and the movement to reverse global warming. Indeed, the Academy Award-winning producers of *An Inconvenient Truth* are now working on a major



documentary film on Global Zero, while Blair and his partners traverse the globe, enlisting the support of political, military and business leaders to participate in a World Summit to Eliminate Nuclear Weapons in 2010. “The summit will mark the beginning of negotiations to define a roadmap to zero and a time-bound agreement for getting there,” he says.

Blair’s vision and determination are grounded in his personal history and service as a Minuteman ICBM launch control officer in the U.S. Air Force during the early 1970s. “That experience revealed to me that despite the enormous dangers, public and political comprehension of nuclear weapons was misinformed and stood in the way of rational nuclear policy. My career from that point on has been all about trying to correct the record.” Later, Blair took up what he thought was the most urgent task facing the world – taking nuclear weapons off hair-trigger alert – and spearheaded a public campaign to reduce the risk that human error

or technical malfunction would result in an unintentional nuclear strike.

“What I learned from the de-alerting campaign on one hand, and the near-universal awareness about global warming on the other, is that to

“To engage the public, to achieve the transformation we are calling for, you need a simple idea and a moral vision that people can adopt.”

engage the public, to achieve the transformation we are calling for, you need a simple idea and a moral vision that people can adopt. This is not about the CTBT, FMCT, NPT, or any of the issues that get lost when they are debated by politicians behind closed doors. Zero is a concept everyone can understand. We are talking not just about the steps for getting there, but about the end state – a nuclear weapon-free world.”



# Leader Rachel Kleinfeld

Truman National Security Project, Washington, DC

policy goals and strategies

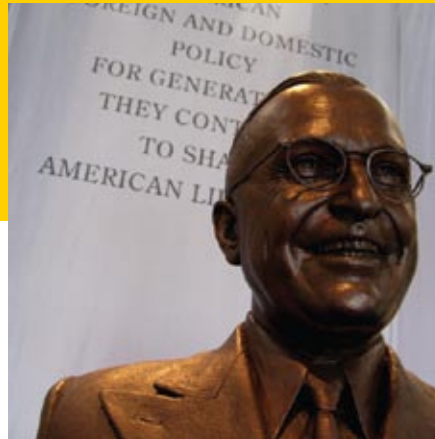
- thwart new nuclear states
- produce pivotal independent analyses
- expand the public's knowledge and catalyze public support



## A Pipeline for New Leaders

Rachel Kleinfeld thinks back to countless national elections and remembers growing increasingly frustrated with the candidates' rhetoric on national security. Loathe to be labeled as "soft" on defense, many otherwise progressive candidates parroted right-wing slogans, while others who voiced opposition to the war in Iraq, for example, were marginalized as representing the far-left wing of American politics. "There was a missed opportunity," she says, "to articulate a uniquely progressive vision for a strong national defense, and make national security a winning issue for progressive politicians and national leaders."

It wasn't just winning or losing elections that made this stunted political conversation so urgent for Kleinfeld. She had spent her life – beginning at age 16 when she started an Amnesty International chapter in her home town of Fairbanks, Alaska – working for human rights and economic justice in India, Israel and the Middle East. She saw first-hand how policies that most impact peace and security in the world are made within the narrow



security establishment in the U.S., and how these policies were making the world less secure.

Why then, with the plethora of think tanks generating new ideas and useful policy prescriptions, was the U.S. security paradigm not shifting? Delving into cognitive research into how people formulate new world views, Kleinfeld noticed a key difference between progressives and the conservatives who had dominated political discourse over the past decade: progressives emphasize issues; conservatives focus on people.

So Kleinfeld took a page from the conservative manual and in 2005 co-founded the Truman National Security Project, a leadership institute to recruit and train up-and-coming progressive leaders. The aim is to equip the next generation with sharp communication and political skills, as well as deep security knowledge, and make them available not just to draft position papers and talking points, but to become trusted advisors to political leaders. In the 2008 presidential race, major candidates from

both parties placed Truman fellows on their senior staffs, as did newly-elected members of Congress, and even veteran Senators.

Kleinfeld chose as a namesake for the organization a president who presided over an era in which an unprecedented threat arose

**“There was a missed opportunity to make national security a winning issue for progressive politicians and national leaders.”**

that required recalibrating our national security apparatus. “Men make history and not the other way around,” Truman said. “In periods where there is no leadership, society stands still. Progress occurs when courageous, skillful leaders seize the opportunity to change things for the better.” In that spirit, Kleinfeld aims to make history.



# Leader Trita Parsi

National Iranian American Council, Washington, DC

policy goals and strategies

- thwart new nuclear states
- build regional peace and stability
- inform and influence decision makers



## Try a Little Diplomacy

Action by the U.S. House of Representatives in the waning days of the 110th Congress was not the “October surprise” on Iran that many had feared. Instead, urged by a coalition of peace and security organizations and, notably, a growing chorus of their Iranian American constituents, members of Congress withdrew support for a resolution calling on the president to impose a naval blockade of Iran. The campaign to defeat H. Con. Res. 362 pitted one of the most powerful lobbies in Washington against the much smaller, younger National Iranian American Council (NIAC). NIAC prevailed.

Washington insiders who have encountered NIAC’s founder and president Trita Parsi would not have been surprised by the success of the campaign. In op-eds, public appearances and meetings with co-sponsors of the resolution, Parsi clearly and forcefully emphasized that the measure about to sail through Congress, with no debate or opposition, could be interpreted as an act of war against Iran.



The Iranian-born Parsi, who was raised and educated in Sweden, is no stranger to Capitol Hill, having worked in the office of Republican Congressman Bob Ney. He is a consistent, powerful voice for a more rational, less confrontational U.S. policy toward Iran and for a resolution to the impasse over Iran's nuclear program through diplomacy. Bringing Iranian Americans into the debate will be key to the success of that effort.

"We started NIAC just after 9/11," explains Parsi. "Iranian Americans have lived the American dream, and while we have achieved extraordinary professional and economic success, as a community we have been somewhat reticent about participating in civic life. NIAC wanted to provide a way for Iranian Americans to contribute to the national debate, including issues that directly affect our community." Those issues multiplied as talk of war with Iran intensified.

"We saw how the presumption that Iraqi Americans supported an invasion was used to justify

the war. We wanted to make it clear that no matter how much we opposed the regime in Iran, our community did not believe that war was an answer."

Israel's former foreign minister called Parsi's recent book, *Treacherous Alliances: The Secret Dealings of Israel, Iran and the United States* "a

"We wanted to make it clear that no matter how much we opposed the regime in Iran, our community did not believe that war was an answer."

brilliant interpretation of one of today's most enigmatic conflicts." "What I try to show," says Parsi, "is that it is not primarily an ideological battle, but a strategic conflict. If it's strategic, it's possible to find a solution."



# Leader Victoria Holt

Henry L. Stimson Center, Washington, DC

policy goals and strategies

- build regional peace and stability
- inform and influence decision makers
- produce pivotal independent analyses



## The Future of Peace

In principle, at least, the world can no longer look the other way while civilian populations are subjected to genocide and mass atrocities, as they were in Rwanda and the Balkans in the 1990s. “In 2005 the UN General Assembly did a pretty amazing thing,” says Victoria Holt, co-director of the Future of Peace Operations project at the Henry L. Stimson Center. “They agreed that if a country can no longer protect its own people from genocide, ethnic cleansing or mass killings, then, but only then, its sovereignty falls away and the international community has the responsibility to protect those civilians – whether through diplomacy, humanitarian action or, as a last resort, by military intervention.” But the enduring violence in Darfur reveals how difficult it is to put the principle of the “responsibility to protect” – R2P for short – into practice.

While peacekeeping forces are being deployed around the world in record numbers, protecting civilians is not what militaries are traditionally trained or equipped to do. It’s not exactly war-fighting; it’s not supervising a peace agreement. “What does a





peacekeeper do when civilians are attacked? Do they use force to protect the civilians where they flee? Do they go after bad guys?" she asks. From interviews with military leaders who have led missions where mass atrocities prevailed – tough, experienced commanders who are haunted by their experiences – Holt is building a new understanding of how militaries need to adapt to changing mandates, and how protecting civilians ultimately prevents the recurrence of violent conflict and builds stability. According to Gareth Evans, former foreign minister of Australia and the architect of R2P, "Victoria Holt's work on the military dimensions of protecting civilians is in a class of its own, meticulously researched, lucidly written, sharp-eyed and hard-nosed."

Such cutting-edge thinking will be a prerequisite in the new era of global relations and regional conflict. The road to zero nuclear weapons and a safer, more peaceful world will require that the toughest political grievances and violent

conflicts be addressed and resolved, especially in the regions where nuclear weapons are a factor.

It is often said that what is needed to end the violence in places like Darfur and to prevent future conflict is political will by the world's

**The road to zero nuclear weapons and a safer, more peaceful world will require that the toughest political grievances and violent conflicts be addressed and resolved.**

leaders. To Holt, something else is required – the operational capacity to act. Gareth Evans says that means "developing a new doctrine, new rules of engagement and new kinds of training. Victoria Holt has shown us the way on all these topics."



# Leader Matthew Bunn

Managing the Atom Project, Harvard University

policy goals and strategies

- prevent nuclear terrorism
- produce pivotal independent analyses
- inform and influence decision makers



## No Nuclear Terror

“Every presidential candidate,” wrote Matthew Bunn during the 2008 campaign, “should be asked a central question: What is your plan to prevent terrorists from incinerating the heart of a U.S. city with a nuclear bomb?”

Thanks to Bunn’s frequent trips to Capitol Hill to testify, his unparalleled technical assessments and his annual “Securing the Bomb” scorecard, there should be no doubt in the mind of any national leader about where the effort needs to be focused: at the sites where nuclear bomb-usable material is stored. “The nuclear material for a bomb is small and easy to hide or smuggle. Once nuclear material leaves the facility where it is supposed to be, it could be anywhere, and the problems of finding it and preventing its use multiply a thousand-fold.” The challenge is to find and secure all of the potentially vulnerable caches around the world, and to get there before the terrorists and thieves.

Bunn knows what “vulnerable” looks like. Visiting the notorious building 116 at the Kurchatov Institute in Moscow in 1993, he realized that enough highly-enriched uranium (HEU) to make a nuclear bomb was



stored in the equivalent of a high school gym locker, protected by a single guard armed with a pistol.

Since then, he says, “an immense amount has been accomplished to lock down, destroy or remove nuclear material at dozens of sites around the world,” but security still ranges “from excellent to appalling.” The obstacles are rarely technical; they’re bureaucratic and political. Before 9/11, he was one of the few analysts to write about Osama Bin Laden’s attempts to acquire nuclear material, and worked to cobble together funds from private and government sources to address the threat. The agreement by the Department of Energy to contribute to an international Nuclear Security Fund was reached on September 10, 2001. Later, frustrated by the inefficiency of an array of small, underfunded but critical efforts to get rid of HEU all over the world, he put together a network of experts and legislators who established the Global Threat Reduction Initiative – which, since its inception in 2004, has been responsible

for removing more than 30 nuclear bombs worth of HEU and securing enough material for approximately 8,000 dirty bombs. (If there’s a gene for leadership on making the world safe from

“There is still a dangerous gap between the urgency of the threat and the pace of the U.S. and international response.”

nuclear weapons, Bunn undoubtedly inherited it. His father, George Bunn, helped negotiate the nuclear Non-Proliferation Treaty, and served on Ploughshares Fund’s Board of Directors in the 1980s.)

So, what would he tell the new president about preventing nuclear terrorism? “Make it one of the highest priorities of your administration. There is still a dangerous gap between the urgency of the threat and the pace of the U.S. and international response.”



# Leader Kennette Benedict

The Bulletin of the Atomic Scientists, Chicago, IL

policy goals and strategies

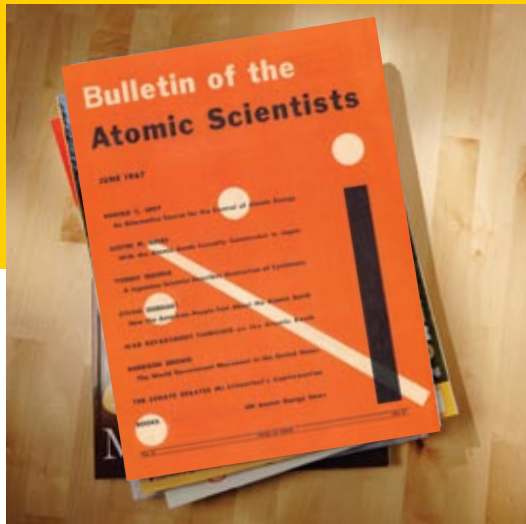
- promote the elimination of nuclear weapons
- prevent nuclear terrorism
- build regional peace and stability
- expand the public's knowledge and catalyze public support



## Five Minutes to Midnight

With the announcement on January 17, 2007 by Kennette Benedict, executive director of *The Bulletin of the Atomic Scientists*, that the hands of the famous “doomsday clock” had been moved two minutes closer to midnight, people everywhere understood the gravity facing the world in a way that no speech, no report, indeed, that no words, could convey. Over a thousand news outlets worldwide covered the event, quoting Benedict, who said, “this change reflects the global failures to solve the problems posed by nuclear weapons and by climate change.”

Acknowledging global climate change among the factors bringing the world closer to annihilation is just one of the ways Benedict has shown leadership and innovation in reaching new audiences of both experts and the lay public with crucial and timely information about global security. Another is how the information is delivered. “The future of publishing is electronic,” she says. “Our digital publishing platform allows us to offer a different experience to the online reader, and a partnership with a major



internet provider will soon make sixty years of our reporting available with a click.”

Although the scientists who were involved in the early days of the magazine – Robert Oppenheimer, Hans Bethe and many others – would hardly recognize its shiny exterior, its purpose is even more relevant today. “They believed in the rights of citizens to information,” says Benedict, who took the helm of *The Bulletin* in 2005, after directing the International Peace and Security Program at the John D. and Catherine T. MacArthur Foundation for eighteen years. “We need to tell people that they know enough about public policy to make decisions that are good for them, whether it’s about their health care or about nuclear weapons. As we enter a period of new danger and new opportunities to dramatically improve our security, expanding the public’s knowledge and giving them the tools to participate will be critical. “The purpose of the doomsday clock is not to scare people,” she says. “Our

objective is to warn, inform and offer solutions.”

And the world is taking notice. Last year, Benedict’s innovations were honored with the magazine industry’s Academy Award – the

As we enter a period of new danger and new opportunities to dramatically improve our security, expanding the public’s knowledge and giving them the tools to participate will be critical.

National Magazine Award for General Excellence. “*The Bulletin of the Atomic Scientists* remains steadfast in its clarion call that the world has not yet tamed the nuclear beast,” wrote the judges. “*The Bulletin* remains relevant today because of its persuasive insight into the range of causes for our eroding global security. Its iconic clock now ticks more urgently than ever.”



# Leader Dipankar Banerjee

Institute for Peace and Conflict Studies, New Delhi, India

policy goals and strategies

- promote the elimination of nuclear weapons
- build regional peace and stability
- produce pivotal independent analyses



## A Path to Peace in South Asia

After three and a half decades in the Indian Army, Major General Dipankar Banerjee retired, not to a life of relaxation and leisure, but to take on the formidable challenge of preventing war in a region beset with conflict and armed with nuclear weapons. Several tough tours of duty in Jammu and Kashmir were enough to convince him that “counter force is a poor tool to end violence. Instead what is required is understanding, patience and conciliation. Bringing peace is hard work, but a challenge that we owe to ourselves and to humanity.”

At the height of tensions between India and Pakistan in the late 1990s, General Banerjee directed week-long workshops in Sri Lanka for young scientists, journalists and aspiring political leaders from the subcontinent and China, with Ploughshares Fund support. “Where opportunities for cross-border interaction are severely limited, this program allowed us to jointly explore ways to peace through confidence building.” He recalls that “while both countries were hurling invectives at each other and no dialogue between them seemed possible, we managed to bring together a group of young, bright



potential leaders of tomorrow. That they met in a cordial atmosphere and at the end, parted as friends, is indeed a tribute to their own genius and the success of the process in which they were engaged.”

He brings the same spirit of cooperative problem solving to the non-partisan and independent Institute for Peace and Conflict Studies in New Delhi. “General Banerjee is a superb organizer and convenor,” says Michael Krepon, founder of the Henry L. Stimson Center and one of America’s leading authorities on South Asian security. “He has become a central figure in the regional security dialogue.”

Ironically, the recent period of relative calm between India and Pakistan has given rise to a new concern, that is, that awareness of how nuclear war could unfold is beginning to erode among the keepers of the two countries’ nuclear arsenals. The Institute will mark the tenth anniversary of India’s and Pakistan’s nuclear tests with forums designed to rebuild understanding of the risks of nuclear use.

“Our current work at the Institute focuses on the possibility of a nuclear weapon-free world,” says General Banerjee. “Key to the success of this enterprise is engaging young minds and new leadership.” Indeed, while retired military officials

“Counter force is a poor tool to end violence. Instead what is required is understanding, patience and conciliation. Bringing peace is hard work, but a challenge that we owe to ourselves and to humanity.”

and former statesmen may be paving the way for a world free of nuclear weapons, it is the new leaders who will need to walk down that road to achieve the vision. No wonder General Banerjee sees nurturing the next generation as “the most satisfying part of our work.”

# Sally Lilienthal Legacy Fund



Sally Lilienthal was impatient. Ploughshares Fund's founder believed in seizing opportunities when they arose and taking extraordinary action in response to extraordinary needs. Always urging those around her to strive for maximum impact when it counted most, Sally would have been the first to applaud the decision by Ploughshares Fund's Board of Directors to dramatically expand our capacity and take the lead in sustaining the new momentum for a nuclear weapon-free world.

In the months before her death in October 2006, Sally was helping to craft the plan for a new Ploughshares Fund endowment. Guided by her vision, the board launched a \$25 million endowment campaign in order to establish an innovative, unrestricted endowment, the Sally Lilienthal Legacy Fund.

True to Sally's wishes, this fund is creating a new kind of investment pool that will allow us to seize the unprecedented and potentially short-lived opportunity to move convincingly down the path toward a nuclear

weapon-free world. It will enable us to provide critical resources to projects, programs and individuals proportional to the opportunities available and at a scale that greatly increases their potential for real impact, breakthroughs or achievement of key milestones.

Time is of the essence. Ploughshares Fund must press the new administration to begin to implement President Obama's clearly stated goal of leading a global campaign to eliminate nuclear weapons. Accordingly, we are committed to early completion of the \$25 million campaign — in time to make significant progress toward fulfillment of those promises early in the president's first term. And, Sally Lilienthal's family has indicated that her Cow Hollow Foundation is prepared to award an additional extraordinary contribution when we reach our \$25 million goal. Thus, gifts to the Sally Lilienthal Legacy Fund will leverage even greater resources.

With this report, we have secured \$21,878,250 in gifts and irrevocable commitments.



# 25th anniversary \$25 million endowment campaign donors

## **LEAD GIFT**

Edie Allen

## **SALLY'S CIRCLE**

Lew and Sheana Butler  
Cow Hollow Foundation  
Michael K. Douglas  
Robert and Mary Lloyd Estrin  
Roger Hale and Nor Hall  
Sally Lilienthal

## **OWEN CHAMBERLAIN'S CIRCLE <sup>1</sup>**

Estate of Mary LeCron Foster and George M. Foster, Jr.  
Anonymous

## **HANS BETHE'S CIRCLE <sup>2</sup>**

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Robert A. Rubinstein and Sandra D. Lane • Robert E. Sims • Patricia F. Sullivan  
Brooks Walker III • Edith B. Wilkie • Philip Yun and Melissa Millsaps • Anonymous

<sup>1</sup> Ploughshares Fund founding Board member, received the Nobel Prize in Physics, worked on the Manhattan Project and later traveled to Hiroshima, becoming a leader in the nuclear freeze movement of the 1980s.

<sup>2</sup> Lifelong Ploughshares Fund supporter, received the Nobel Prize in Physics, and after working on the Manhattan Project at Los Alamos, became a campaigner for scientists to cease working on nuclear weapons.

# Grants List 2007-2008

## **ACRONYM INSTITUTE LONDON, UNITED KINGDOM**

For policy research and advocacy on British nuclear weapons policy, work in international forums to promote nuclear nonproliferation and disarmament, and the publication of the international journal *Disarmament Diplomacy*. **\$80,000**

## **ACTUAL FILMS SAN FRANCISCO, CA**

To support dissemination of the film *Wonders Are Many: The Making of Dr. Atomic*. **\$15,000**

## **AGAPE FOUNDATION SAN FRANCISCO, CA**

To support the 2007 Agape Peace Prize event. **\$500**

## **ALLIANCE FOR NUCLEAR ACCOUNTABILITY SEATTLE, WA**

To support the umbrella organization for 35 local, regional and national groups engaged in public education and advocacy around nuclear weapons programs and clean-up at Department of Energy nuclear facilities, including coordination of opposition to DOE's Complex Transformation plan. **\$50,000**

## **AMERICA ABROAD MEDIA WASHINGTON, DC**

To support coverage of international security and nonproliferation on America Abroad, an hour-long public radio program on U.S. foreign policy and regional security. **\$50,000**

*A grant from the Cowles Fund.*

## **AMERICAN ENVIRONICS OAKLAND, CA**

To support research and focus groups aimed at developing a messaging strategy that overcomes the role fear plays in attitudes and motivation in political behavior. **\$50,000**

## **AMERICAN FRIENDS SERVICE COMMITTEE OAKLAND, CA**

Peace Primary award. See page 33. **\$44,585**

## **AMERICANFOREIGNPOLICY.ORG HARTFORD, CT**

To support a new website designed to provide the public and organizations with progressive policy analyses and recommendations on foreign policy. **\$9,000**

## **AMERICANS FOR INFORMED DEMOCRACY BALTIMORE, MD**

To support an organizing conference and town hall meetings on campuses around the country during the 2008 campaign season to educate and engage students on the need for dialogue and diplomacy with Iran. **\$25,000**

## **ANALYTICAL CENTER ON NON-PROLIFERATION OBNINSK, RUSSIA**

To support research on securing and eliminating nuclear materials in Russia, for use by Russian policymakers and audiences. **\$30,000**

## **ARMS CONTROL ADVOCACY COLLABORATIVE**

WASHINGTON, DC

A unique lobbying collaborative launched by Ploughshares Fund in 2002, the Arms Control Advocacy Collaborative – AC<sup>2</sup> for short – brings together 14 leading Washington, DC organizations to advance a common nonproliferation and arms control legislative agenda. Led by Ploughshares Fund's Director of Government Affairs Terri Lodge AC<sup>2</sup> claimed victory this year on the issue at the top of its agenda, with the decision by both houses of Congress to eliminate funding for the Reliable Replacement Warhead, along with cuts in funding for missile defense programs. AC<sup>2</sup> remains at the forefront of efforts to build opposition to the expansion of the nuclear weapons complex, promote direct engagement with Iran, increase funding for programs to prevent nuclear terrorism and prevent the weaponization of space. **\$84,301**

## **ARMS CONTROL ASSOCIATION WASHINGTON, DC**

To support media outreach, public education and policy advocacy on the full range of arms control and nonproliferation issues, and for publication of *Arms Control Today*. **\$175,000**

To enable nuclear experts to meet with members of the Nuclear Suppliers Group in Vienna to discuss alternatives to the proposed U.S.-India nuclear cooperation deal. **\$14,750**

## **ATLANTA WAND ATLANTA, GA**

To support grassroots organizing, media outreach and advocacy with elected officials to raise awareness and opposition to proposed programs for nuclear reprocessing and weapons development at the Savannah River site. **\$25,000**

**MICHAEL BEATON  
SEATTLE, WA**

To engage the business community in developing new approaches to nuclear waste clean-up at the Hanford nuclear site. **\$15,000**

**BRITISH AMERICAN SECURITY INFORMATION COUNCIL  
WASHINGTON, DC AND LONDON, UK**

For the "Getting to Zero" campaign for nuclear disarmament, and to strengthen research, media outreach and policy advocacy in the U.S. and Europe on nuclear nonproliferation and transatlantic security. **\$165,000**

**BULLETIN OF THE ATOMIC SCIENTISTS  
CHICAGO, IL**

For publication of the *Bulletin of the Atomic Scientists* magazine and website, and promotion of the landmark "doomsday clock." **\$100,000**

**CAMPAIGN FOR A NUCLEAR WEAPONS FREE WORLD  
SEATTLE, WA**

To support the launch of a coordinated and strategic public education campaign that seeks to raise the visibility and legitimacy of the goal of nuclear disarmament. **\$30,000**

**CAPITAL NEWS CONNECTION  
WASHINGTON, DC**

For reporting on local angles of national and international security issues for public radio stations in the U.S., and the "Ask Your Lawmaker" internet initiative. **\$40,000**

**CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE  
WASHINGTON, DC**

To promote a framework for achieving nuclear disarmament, educate members of Congress on nonproliferation issues and convene the 2009 Nonproliferation Conference. **\$50,000**

To provide a comprehensive accounting of the total amount the U.S. spends on nuclear security and to recommend better spending to enhance national security. **\$15,000**

**CATALYTIC DIPLOMACY, INC.  
CHEVY CHASE, MD**

To enable a high-level U.S. delegation to participate in meetings in Beijing, Pyongyang and Tokyo aimed at sustaining the six-party process on North Korean denuclearization. **\$15,000**

**CENTER FOR ARMS CONTROL AND NONPROLIFERATION  
WASHINGTON, DC**

To educate members of Congress and the public on nonproliferation issues and advocate effective policies to reduce nuclear risks. **\$80,500**

Peace Primary award. See page 33. **\$3,950**

**CENTER FOR INTERNATIONAL POLICY  
WASHINGTON, DC**

To convene a high-profile task force to examine ethnic divisions as roots of conflict in Pakistan and to recommend solutions to policymakers. **\$40,000**

*A grant from the Cowles Fund.*

**CENTER FOR INTERNATIONAL SECURITY AND COOPERATION  
STANFORD, CA**

To support continued meetings among experts and officials in the U.S., North Korea and other governments involved in efforts to resolve the North Korean nuclear and security crisis. **\$50,000**

*Ploughshares Fund board member David Holloway is affiliated with this organization. Please see Conflict of Interest Policy, page 44.*

**CENTER FOR JUSTICE AND PEACEBUILDING  
HARRISONBURG, VA**

For the 3D Security Campaign to promote a balanced approach to security that combines diplomacy, development and defense, through advocacy and coordination with military and government officials and civil society organizations. **\$40,000**

**CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES  
WASHINGTON, DC**

To engage senior officials from key countries in efforts to build international support for cooperative means of dissuading Iran from pursuing a nuclear weapons capability, and to prevent other states in the region from seeking nuclear weapons. **\$55,000**

For the Post-Conflict Reconstruction Project, which provides research, policy advocacy and media outreach aimed at improving efforts by the U.S. government and its international partners to prevent conflict and rebuild societies after war. **\$50,000**

*A grant from the Cowles Fund.*

**CHICAGO HUMANITIES FESTIVAL  
CHICAGO, IL**

To support a special festival session titled "Getting to Abolition," featuring author Jonathan Schell and Peter Sellars, director of the opera *Dr. Atomic*. **\$6,000**

**CHURCHES' CENTER FOR THEOLOGY AND PUBLIC POLICY  
WASHINGTON, DC**

To support a Muslim-Christian interfaith partnership aimed at engaging religious leaders and congregations in study and action on nuclear weapons concerns. **\$50,000**

**CITIZENS FOR GLOBAL SOLUTIONS  
WASHINGTON, DC**

Peace Primary award. See page 33. **\$5,456**

# Grants List 2007-2008

**COMMITTEE OF SCIENTISTS FOR GLOBAL SECURITY AND ARMS CONTROL  
MOSCOW, RUSSIA**

For a collaborative project with the EastWest Institute to convene an international working group of U.S. and Russian experts who are assessing the missile threat from Iran and formulating proposals to resolve the impasse over ballistic missile defenses.

**\$40,000**

**CONCERNED CITIZENS FOR NUCLEAR SAFETY  
SANTA FE, NM**

For a campaign to recruit and train participants in public hearings on the Department of Energy's plans to modernize the nation's nuclear weapons complex. **\$12,000**

**COUNCIL ON FOREIGN RELATIONS  
WASHINGTON, DC**

To create an independent task force on the U.S. Nuclear Weapons Posture and promote its recommendations to policymakers. **\$50,000**

**EASTWEST INSTITUTE  
NEW YORK, NY**

For a collaborative project with the Committee of Scientists for Global Security and Arms Control to convene an International Working Group of U.S. and Russian experts who are assessing the missile threat from Iran and formulating proposals aimed at resolving the current impasse over ballistic missile defenses.

**\$40,000**

**FEDERATION OF AMERICAN SCIENTISTS  
WASHINGTON, DC**

To support technical analyses, policymaker education and media outreach on nuclear, biological and space weapons issues and security-related intelligence matters. **\$130,000**

**FRIENDS COMMITTEE ON NATIONAL LEGISLATION  
WASHINGTON, DC**

To support lobbying and public advocacy on nuclear weapons issues. **\$50,000**

**FUND FOR PEACE  
WASHINGTON, DC**

For research and policy outreach to produce fresh approaches to the problem of threat convergence – the intersection between failing states, terrorism and weapons of mass destruction.

**\$75,000**

**FUND FOR PEACE**

WASHINGTON, DC

According to this year's Failed States Index, Somalia claims the unenviable distinction of being the state most at risk of failure. For the fourth year in a row, the Fund for Peace examined data in 177 states to determine which were the most at risk of violent internal conflict and societal deterioration. Two countries near the top of the list, North Korea and Pakistan, also possess nuclear weapons. The goal of the index is to stimulate action to promote stability and security in the world's most dangerous states. Funded in part by Ploughshares' Cowles Fund, Ploughshares Fund is the sole funder of the Failed States Index. **\$100,000**

**GENOCIDE INTERVENTION NETWORK**

Peace Primary award. See page 33. **\$12,902**

**GLOBAL GREEN USA  
WASHINGTON, DC**

For policy advocacy, public education and media outreach aimed at ensuring continued U.S. and international funding for chemical, biological and nuclear weapons destruction in the U.S. and Russia. **\$40,000**

To support the Nuclear National Dialogue in St. Petersburg, the only public discussion on nuclear energy and weapons issues held in Russia. **\$7,000**

Peace Primary award. See page 33. **\$7,136**

**GLOBAL SECURITY INSTITUTE  
BALA CYNWYD, PA**

To support a broad, multilateral approach with key governments outside the U.S. aimed at preserving and strengthening nonproliferation and disarmament, including acceptance by the nuclear powers of their commitments under Article VI of the Nuclear Nonproliferation Treaty. **\$40,000**

For a reception in Geneva for attendees at the Preparatory Committee of the Nuclear Nonproliferation Treaty, including diplomats, national delegations and civil society organizations. **\$1,785**

**GOVERNMENT ACCOUNTABILITY PROJECT  
WASHINGTON, DC**

To support efforts to defend whistleblowers in Department of Energy nuclear programs, and to improve nuclear materials management and accountability. **\$35,000**

**GREEN ACTION  
KYOTO, JAPAN**

For national and international outreach to raise awareness and concern about Japan's plutonium-based nuclear energy programs. **\$15,000**

**RICHARD GUTHRIE  
WILTSHIRE, UNITED KINGDOM**

To provide civil society monitoring and reporting from the Chemical Weapons Convention Review Conference in The Hague. **\$7,200**

**HARVARD UNIVERSITY / BELFER CENTER FOR SCIENCE AND  
INTERNATIONAL AFFAIRS  
CAMBRIDGE, MA**

To support the Managing the Atom Project's research, analysis, policy maker briefings and media outreach on the prevention of nuclear terrorism. **\$50,000**

**HEAL UTAH  
SALT LAKE CITY, UT**

To support grassroots organizing and policy advocacy to ensure that Utah's and the nation's chemical weapons stocks are destroyed in a timely and safe manner. **\$25,000**

**HEART OF AMERICA NORTHWEST RESEARCH CENTER  
SEATTLE, WA**

To support legal and legislative efforts to defend the voter-approved Initiative 297 banning additional nuclear waste shipments to the Hanford nuclear site. **\$55,000**

**INDEPENDENT INSTITUTE  
OAKLAND, CA**

To support promotion of the book *Twilight Wars*, which examines the risks posed by U.S. space policy and the weaponization of space. **\$9,500**

**INSTITUT FRANÇAIS DES RELATIONS INTERNATIONALES  
PARIS, FRANCE**

To support efforts to influence and shape the European Union's approach to space security. **\$28,000**

**INSTITUTE FOR ENERGY AND ENVIRONMENTAL RESEARCH  
TAKOMA PARK, MD**

To support a campaign to strengthen radiation protection standards; provide technical assistance and training to the grassroots peace and security community; and produce original analytical work on nuclear weapons and energy issues. **\$50,000**

**INSTITUTE FOR POLICY STUDIES  
WASHINGTON, DC**

To support research and analysis on the proliferation and nuclear waste management implications of a proposed nuclear "renaissance" that would involve expanded nuclear power plant construction and operation. **\$30,000**

**INSTITUTE FOR SCIENCE AND INTERNATIONAL  
SECURITY**

WASHINGTON, DC

With the goal of "employing science to prevent war," the Institute for Science and International Security (ISIS) this year performed outstanding independent technical assessments aimed at strengthening the nuclear nonproliferation regime and reducing the dangers posed by nuclear weapons and terrorism. ISIS tracked and reported on progress by Iran to develop its nuclear program; shed light on mysteries surrounding the Israeli strike in the Syrian desert; and provided insights into ways to overcome the technical hurdles to completing the dismantlement of North Korea's nuclear weapons facilities. ISIS's president David Albright is one of the proliferation experts most frequently sought out by journalists to provide expert commentary on nuclear weapons developments. **\$75,000**

**INSTITUTE OF PEACE AND CONFLICT STUDIES  
NEW DELHI, INDIA**

To increase awareness among military officials of the consequences of current Indian and Pakistani nuclear doctrines. **\$50,000**

**INTERNATIONAL CRISIS GROUP  
BRUSSELS, BELGIUM AND WASHINGTON, DC**

To educate and engage U.S. and international policy makers on high priority conflicts, including those in regions where nuclear weapons play a role. **\$50,000**

**INTERNATIONAL INSTITUTE FOR STRATEGIC STUDIES  
LONDON, UNITED KINGDOM**

For the publication of a report examining the characteristics of a nuclear weapon-free world, and international outreach to promote the key findings of the study. **\$60,000**

# Grants List 2007-2008

## **CHARLES KARTMAN EDGEWATER, NJ**

Two grants to analyze efforts by the Korean Energy Development Organization to implement the 1994 Agreed Framework with North Korea in order to apply the lessons learned to current and future proliferation challenges. **\$50,000**

## **KENTUCKY ENVIRONMENTAL FOUNDATION BEREA, KY**

For grassroots organizing and lobbying to advocate for full funding of programs to dispose of U.S. chemical weapons in ways that best protect public health and safety. **\$30,000**

## **KRASNOYARSK REGIONAL ENVIRONMENTAL MOVEMENT KRASNOYARSK, RUSSIA**

To support expansion of the *nuclearno.com* website, which provides current news, analysis and investigative reporting on nuclear proliferation issues. **\$9,000**

## **LINK MEDIA SAN FRANCISCO, CA**

For "Bridge to Iran," a multimedia exchange designed to promote dialogue and understanding between Americans and Iranians. **\$50,000**

## **KATHERINE MAGRAW CHARLOTTESVILLE, VA**

For the Peace and Security Funders Group, a forum to increase communication and cooperation among foundations making grants in the peace and security field, and to encourage new funders to participate. Supported by grants from participating foundations, Ploughshares Fund provides fiscal and administrative oversight of the project. **\$234,220**

## **MAINSTREAM MEDIA PROJECT ARCATA, CA**

To bring peace and security experts to public and commercial radio through the Guests on Call program, and to support the production of security-related radio programs on *A World of Possibilities*. **\$50,000**

## **MASSACHUSETTS INSTITUTE OF TECHNOLOGY/SECURITY STUDIES PROGRAM CAMBRIDGE, MA**

To support analysis and planning for specific scenarios related to security and proliferation that may occur in 2008, and the development of non-military responses to be promoted in the media. **\$60,000**

## **MONTEREY INSTITUTE OF INTERNATIONAL STUDIES MONTEREY, CA**

To convene informal discussions in Annecy, France among policy analysts and delegates to the Nonproliferation Treaty Preparatory Committee prior to the official conference. **\$40,000**

## **THE NATION INSTITUTE NEW YORK, NY**

To support Jonathan Schell's writing and speaking on nuclear weapons and security issues as a Nation Institute Peace Fellow. **\$15,000**

## **NATIONAL ACADEMY OF SCIENCES WASHINGTON, DC**

To enable a delegation of American scientists to participate in a workshop in Tehran on "the misuse of science." **\$50,000**

Two grants for the development of proposals to redirect and provide gainful employment for North Korean nuclear weapons scientists. **\$50,000**

## **NATIONAL COMMITTEE ON AMERICAN FOREIGN POLICY NEW YORK, NY**

To support a preliminary meeting among U.S. and North Korean representatives prior to the convening of the official working group on U.S.-DPRK relations. **\$5,000**

## **NATIONAL COMMITTEE ON NORTH KOREA WASHINGTON, DC**

To educate members of Congress, their staffs and executive branch policymakers about policy options related to North Korea. **\$50,000**

*Ploughshares Fund board member Philip Yun is a member of this organization. Please see Conflict of Interest Policy, page 44.*

## **NATIONAL IRANIAN AMERICAN COUNCIL WASHINGTON, DC**

To provide independent analysis on Iran through policy briefs, in-depth reports, media briefings and outreach to members of Congress. **\$60,000**

To support an emergency media initiative to encourage direct U.S.-Iran diplomacy. **\$15,000**

## **NATIONAL PUBLIC RADIO WASHINGTON, DC**

For coverage of breaking news and in-depth reporting on issues related to nuclear weapons and global conflict across NPR's network of stations and array of programs, and potential expansion of its foreign bureaus. **\$50,000**

**NATIONAL RELIGIOUS CAMPAIGN AGAINST TORTURE  
WASHINGTON, DC**

Peace Primary award. See page 33. **\$9,675**

**NATIONAL RELIGIOUS PARTNERSHIP ON THE NUCLEAR  
WEAPONS DANGER  
WASHINGTON, DC**

Peace Primary award. See page 33. **\$2,349**

**NATIONAL RELIGIOUS PARTNERSHIP ON THE NUCLEAR  
WEAPONS DANGER**

WASHINGTON, DC

“Faithful Security” is an interfaith partnership that seeks to reduce the dangers from nuclear weapons and build participation by communities of faith in the movement for a nuclear weapon-free world by “keeping the moral imperative at the heart our work.” This year the campaign mobilized national church organizations to voice their opposition to the Department of Energy’s “Complex Transformation” proposal, and has recruited many of the nation’s most prominent evangelical leaders to take a public stand in favor of a nuclear weapon-free world. **\$65,000**

**NATIONAL SECURITY ARCHIVE  
WASHINGTON, DC**

To support research into the history of nuclear decision making in order to provide insight into debates over current and future nuclear weapons policies. **\$50,000**

**NATURAL RESOURCES DEFENSE COUNCIL  
WASHINGTON, DC**

To support technical analysis and litigation aimed at preventing the proliferation of nuclear weapons and catalyzing a new debate on nuclear disarmament and the proliferation implications of an increased reliance on nuclear energy. **\$50,000**

*Ploughshares Fund board member Patricia Sullivan is employed by this organization. Please see Conflict of Interest Policy, page 44.*

**NAUTILUS INSTITUTE FOR SECURITY AND SUSTAINABLE  
DEVELOPMENT  
SAN FRANCISCO, CA**

To inform and educate policy makers on matters related to North Korea, and to maintain a bridge of communication and exchange with North Korea through energy training workshops. **\$50,000**

To support a civil society monitoring network in Northeast Asia to track and report on public and government attitudes about nuclear weapons and proliferation. **\$40,000**

To produce technical analyses and a pragmatic approach to fulfilling the terms of the six-party agreement to end North Korea’s nuclear weapons program. **\$12,000**

**NETWORK 20/20  
NEW YORK, NY**

To support a fact-finding mission to Pakistan following general elections, aimed at informing U.S. policy makers on ways to promote stability in the region. **\$40,000**

For briefings and other activities to prepare delegation members for the mission to Pakistan. **\$9,000**

**NEW AMERICA FOUNDATION  
NEW YORK, NY**

For the Arms Trade Research Center’s research, publications and media outreach to advocate for changes in U.S. nuclear weapons programs and spending. **\$40,000**

**NEW MEXICO COMMUNITY FOUNDATION  
SANTA FE, NM**

To support New Mexicans for Sustainable Energy and Effective Stewardship, a coalition of groups that collaborate to educate and mobilize the public about nuclear weapons programs and policies that affect New Mexico and the nation. **\$70,000**

**NONPROLIFERATION POLICY EDUCATION CENTER  
WASHINGTON, DC**

To support a comprehensive analysis and a series of workshops on the full costs of expanded nuclear energy use worldwide, with emphasis on the ability to manage greater proliferation risks. **\$50,000**

**NONVIOLENT PEACEFORCE  
MINNEAPOLIS, MN**

To support the recruitment, training and deployment of unarmed civilian peacekeepers to Sri Lanka and other conflict regions. **\$35,000**

*A grant from the Cowles Fund.*

**NUCLEAR WATCH OF NEW MEXICO  
SANTA FE, NM**

To support research, analysis and advocacy related to nuclear and biological weapons programs at the Los Alamos and Sandia National Laboratories and throughout the nuclear weapons complex. **\$50,000**

# Grants List 2007-2008

## **PARTNERSHIP FOR GLOBAL SECURITY PHILADELPHIA, PA**

To strengthen and expand U.S.-Russian cooperative nuclear threat reduction initiatives and efforts to create an international "cooperative proliferation prevention" system that also addresses biosecurity. **\$80,000**

## **PEACE ACTION EDUCATION FUND SILVER SPRINGS, MD**

To grow and strengthen a national grassroots constituency opposed to the development of nuclear weapons and in favor of non-military solutions to the standoff with Iran. **\$50,000**

Peace Primary award. See page 33. **\$11,816**

## **PEACE ACTION WEST BERKELEY, CA**

To educate voters and elected officials in western states about nuclear weapons issues and to mobilize opposition to the Reliable Replacement Warhead and modernization of the nuclear weapons complex. **\$45,000**

## **PEACE AND SECURITY INITIATIVE**

WASHINGTON, DC

Through the Peace and Security Initiative (PSI), advocacy organizations, grassroots groups, think tanks, academics and funders work together to increase their capacity to influence U.S. policy on a set of shared priorities – strengthening the global nonproliferation regime, stopping the expansion of the U.S. nuclear weapons complex and promoting strong, tough-minded diplomacy with Iran. Over the past year, PSI has positioned the peace and security community to be an influential resource for the new administration and Congress, and has provided training, communications tools and networking opportunities to over one hundred organizations around the country. **\$97,449**

## **PRINCETON UNIVERSITY PRINCETON, NJ**

For research, technical analysis and development of policy proposals to cap South Asian nuclear programs and influence debate on the U.S.-India nuclear cooperation agreement.

**\$50,000**

## **PROGRAM ON INTERNATIONAL POLICY ATTITUDES WASHINGTON, DC**

To support survey research on the prevailing attitudes in Russia and the U.S. about nuclear weapons and policies, biodefense and space security. **\$12,000**

## **PROJECT ON GOVERNMENT OVERSIGHT WASHINGTON, DC**

To investigate and advocate solutions to security vulnerabilities at U.S. nuclear sites in order to foster greater accountability. **\$50,000**

## **PROJECT PLOUGHSHARES ONTARIO, CANADA**

To support the 2008 working group meeting for the Space Security Index, which assesses global progress toward achieving secure and sustainable access to space, and freedom from space-based threats. **\$10,000**

## **PUBLIC INTERNATIONAL LAW AND POLICY GROUP ARLINGTON, VA**

To provide pro bono legal assistance to governments involved in peace negotiations and in drafting post-conflict constitutions. **\$50,000**

*A grant from the Cowles Fund.*

## **PUBLIC RADIO INTERNATIONAL MINNEAPOLIS, MN**

To support coverage of global security issues on PRI's daily international news program *The World*. **\$50,000**

## **PUGWASH CONFERENCES ON SCIENCE AND WORLD AFFAIRS ROME, ITALY AND WASHINGTON, DC**

To provide travel and administrative support for Ambassador Jayantha Dhanapala, former UN Undersecretary General for Disarmament Affairs, as he assumes the presidency of the organization. **\$41,000**

## **R. RAJARAMAN NEW DELHI, INDIA**

To support technical research and advocacy for an Indian fissile material cutoff and capping of the Indian nuclear arsenal.

**\$18,000**



**REFUGEES INTERNATIONAL  
WASHINGTON, DC**

For the Partnership for Effective Peacekeeping, a working group of security, humanitarian and human rights organizations advocating for policies to strengthen UN and multilateral peace operations. **\$50,000**

*A grant from the Cowles Fund.*

Peace Primary award. See page 33. **\$48,273**

**RETHINK MEDIA  
BERKELEY, CA**

To support the continued growth of the first-of-its-kind strategic media institute designed to strengthen the media capacity, core communications and messaging competencies of the peace and security community. **\$100,000**

**HERBERT SCOVILLE, JR. PEACE FELLOWSHIP  
WASHINGTON, DC**

To provide fellowships for recent college graduates working as full-time fellows in leading peace and security organizations in Washington, DC. **\$50,000**

**SEARCH FOR COMMON GROUND  
WASHINGTON, DC**

To support a series of meetings between American, European and Iranian technicians, scientists and engineers to generate confidence-building measures and explore alternative technical options for Iran's nuclear program. **\$50,000**

**SOCIAL SCIENCE RESEARCH COUNCIL  
WASHINGTON, DC**

For public education and Track Two efforts to explore cooperative strategies for ending North Korea's nuclear weapons and ballistic missile programs, and to defuse tensions on the Korean Peninsula. **\$40,000**

**SPECIAL TRADE OPERATIONS CONSULTING  
GRAYSON, GA**

Two grants to provide export control training for businesses in Georgia, Ukraine, Azerbaijan and Moldova, a region vulnerable to nuclear smuggling. **\$55,000**

**HENRY L. STIMSON CENTER**

WASHINGTON, DC

As one of the nation's leading experts on space security policy, Stimson Center founder Michael Krepon promotes the concept of "space assurance," a comprehensive strategy to ensure the safety and security of life-saving satellites, and advocates an international code of conduct for responsible space-faring nations to prevent the weaponization of space. In 2008, Krepon and his colleagues focused public and policy maker attention on the implications of the shoot-down by the U.S. of one of its disabled satellites – ostensibly needed to protect public safety, but essentially a test of an anti-satellite weapon. **\$50,000**

**HENRY L. STIMSON CENTER  
WASHINGTON, DC**

For analysis and advocacy aimed at promoting stronger UN and international peacekeeping capacity, including improving U.S. policy toward the UN and regional peace operations. **\$40,000**

To expand the Security for a New Century program, which convenes bipartisan congressional briefings and study groups for members of Congress and their staffs on national security issues. **\$40,000**

For analysis of the national security choices facing the U.S. and advocacy of a more balanced allocation of resources to support non-military tools of statecraft in order to make the U.S. and the world safer. **\$40,000**

**STUDENT PUGWASH USA  
WASHINGTON, DC**

To convene young scientists in national and regional forums for discussion and action on emerging science and technology policy issues, including nuclear and biological weapons, space weapons and missile defense. **\$50,000**

To develop an online student voter guide on science and security issues. **\$7,000**

**TRI-VALLEY CARES  
LIVERMORE, CA**

To support research and monitoring of activities at the Lawrence Livermore National Laboratory, and local education and policy advocacy to promote nuclear nonproliferation and disarmament. **\$50,000**

# Grants List 2007-2008

## **TRUE MAJORITY**

Peace Primary award and prize. See page 33. **\$206,411**

## **TRUMAN NATIONAL SECURITY PROJECT WASHINGTON, DC**

To develop and mentor a network of emerging leaders in the national security field who can advocate for progressive values and sound policies. **\$40,000**

## **UNITED NATIONS ASSOCIATION**

NEW YORK, NY

For the past four years, Ploughshares Fund has supported a Track Two process led by the United Nations Association (UNA) designed to engage high-level Iranians and Americans in considering new solutions to the impasse over Iran's nuclear program. One proposal was revealed publicly in February, that is, to allow enriched uranium to be produced in Iran on a multilateral basis, jointly managed and operated on Iranian soil by an international consortium. It has generated interest in both Iran and the U.S., including bipartisan support from members of the U.S. Senate. **\$50,000**

## **UNION OF CONCERNED SCIENTISTS CAMBRIDGE, MA**

To support technical research and analysis, and education of presidential candidates and campaign staff on U.S. nuclear weapons policy, space weapons, missile defense and U.S.-China relations. **\$80,000**

For the twentieth annual Summer Symposium on Science and World Affairs, a program to train young scientists from around the world on policy-oriented international security issues. **\$70,000**

Peace Primary award. See page 33. **\$42,432**

## **U.S. CIVILIAN RESEARCH AND DEVELOPMENT FOUNDATION ARLINGTON, VA**

To support a U.S. workshop exploring possible Cooperative Threat Reduction efforts with North Korea. **\$12,000**

## **UNIVERSITY OF CALIFORNIA AT SAN DIEGO LA JOLLA, CA**

To enable a North Korean delegation to attend a working group meeting in Russia on Northeast Asian Regional Security as part of the six-party process on North Korea's nuclear program. **\$12,000**

## **VERIFICATION RESEARCH, TRAINING AND EDUCATION CENTRE LONDON, UNITED KINGDOM**

To support observation of the Comprehensive Test Ban Treaty Organization verification exercise in Kazakhstan and dissemination of findings among international policymakers. **\$31,833**

## **WATSON INSTITUTE FOR INTERNATIONAL STUDIES PROVIDENCE, RI**

To support the development of a high school curriculum on nonproliferation and on U.S.-Iran relations. **\$50,000**

## **WOMEN'S ACTION FOR NEW DIRECTIONS ARLINGTON, MA**

To support the Women Legislators' Lobby (WiLL), a national network of women state legislators who seek to influence national policies on military spending, nuclear weapons and homeland security. **\$50,000**

To provide intensive media training for members of WiLL. **\$9,000**

Peace Primary award. See page 33. **\$12,685**

## **WORLD SECURITY INSTITUTE WASHINGTON, DC**

To support a multi-faceted campaign to promote a global compact to eliminate nuclear weapons, and continued support for the space security program. **\$75,000**

## **THE COWLES FUND**

The Cowles Fund is a special Ploughshares Fund resource to provide funding for conflict prevention and peacebuilding initiatives. Established by the late Mary LeCron Foster and her husband George Foster in 1986, the Cowles Fund has provided more than three million dollars to organizations and individuals engaged in efforts to build a deeper understanding of the roots of conflict and the practical ways to resolve them. In 2007-08, America Abroad Media, the Center for International Policy, Center for Strategic and International Studies, Fund for Peace, Nonviolent Peaceforce, Public International Law and Policy Group and Refugees International received grants from the Cowles Fund.

# Peace Primary

“Today, Americans everywhere have a chance to make peace a priority in the next election, and at the same time, to support the groups that are leading the way toward a safer world,” said actor and activist Martin Sheen, as he launched the Peace Primary on September 1, 2007.

The Peace Primary was Ploughshares Fund’s way of commemorating our 25th anniversary, and at the same time helping twelve organizations gear up for the 2008 election season. The unique online campaign asked members of the public to “vote” for their favorite groups with a small donation, and promised the top vote-getter a prize of \$100,000 to help promote its agenda for peace and human security in the year ahead.

The organizations were selected by an all-star panel chaired by Mr. Sheen. All twelve had made major contributions toward addressing the most pressing security issues of the day – from preventing the spread of nuclear weapons, to stopping genocide, to ending the Iraq war – and planned to make sure that those concerns were front and center as the presidential and congressional races heated up.

After eight weeks of intense online campaigning, True Majority, the dynamic Vermont organization founded by Ben Cohen of Ben & Jerry’s fame, surged to the finish with over 100,000 votes, with Refugees International, American Friends Service Committee, and Union of Concerned Scientists in hot pursuit. Most important of all, every group took home every dollar donated on their behalf. When the polls closed on October 31, 2007 the Peace Primary had generated over \$300,000 for the express purpose of making peace a priority in the national debate.



## on the ballot in the peace primary:

American Friends Service Committee  
Center for Arms Control and Nonproliferation  
Citizens for Global Solutions  
Faithful Security/ National Religious Partnership on  
the Nuclear Weapons Danger  
Genocide Intervention Network  
Global Green USA  
National Religious Campaign Against Torture  
Peace Action  
Refugees International  
True Majority  
Union of Concerned Scientists  
Women’s Action for New Directions

# The Nuclear-Free Legacy Society

Ploughshares Fund's Nuclear-Free Legacy Society honors individuals who have made a commitment to building a world free of nuclear weapons by including Ploughshares Fund in their estate plans. Ploughshares Fund acknowledges and thanks the following members for their vision and generosity:

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Marjorie Main  
Falls Church, VA  
*Pictured here with her granddaughters,  
Marjorie Main has included  
Ploughshares Fund in her will.*

*“Ever since I first heard of  
Ploughshares Fund, I have  
marveled at the talents of  
the people Ploughshares  
supports.”*

There are many ways to integrate gifts to Ploughshares Fund into your personal financial plans, such as including Ploughshares in your will, making an exceptional gift from your IRA, investing in our Pooled Income Fund, or setting up an individual charitable trust. If you would like more information about estate planning, visit [www.peacegiving.org](http://www.peacegiving.org). We also invite you to speak with our planned giving advisor to discuss various charitable giving opportunities, at no cost to you and in complete confidence. Please contact Deputy Director Dick Bunce for more information.

# Ploughshares Council



## Lisille and Henry Matheson

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*Not only have the Mathesons been steadfast supporters for more than 20 years, Henry designed and built the exquisite table around which Ploughshares Fund's Board of Directors gathers throughout the year.*

*"Sally Lilienthal's passion and commitment inspired us to make our first contribution, and her commitment became ours, too. We are very proud to support work that fosters peace and world safety for all of us and, especially, for future generations."*

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*Robert and Loni Dantzer have been contributors for over a decade and members of the Ploughshares Council since 2002.*

“My wife and I bought this actual ploughshare at a medina while traveling in Morocco. It made us think of Ploughshares Fund and how proud we are to be part of the Ploughshares Council and to support your important work.”

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*Carol and John Field gave Ploughshares Fund one of its first contributions in 1982, and have been faithful supporters ever since.*

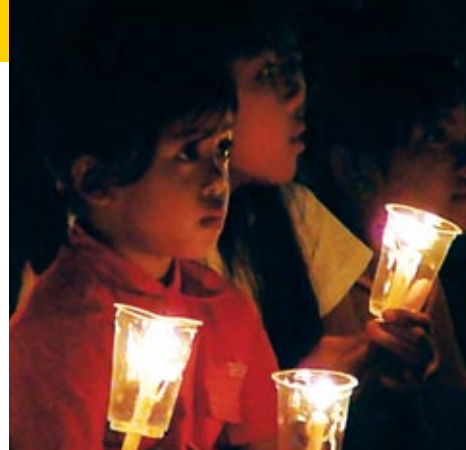
*“As a close friend and long-time admirer of Sally’s, I am grateful that Ploughshares continues its commitment to the work that she began years ago. As the world has changed in unforeseeable ways with new threats and challenges, Ploughshares has never seemed more necessary. I continue to be impressed by grantees whose specialized knowledge allows the Fund to target innovative approaches that can be set in motion in a miraculously short space of time.”*

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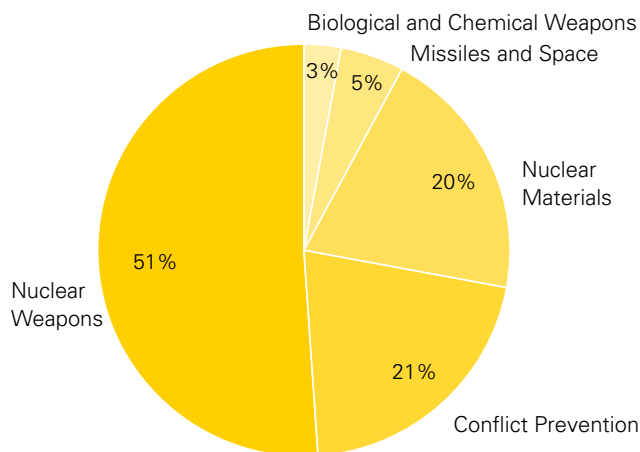
# Financial Highlights

- This year, Ploughshares Fund awarded \$5,795,958 to organizations and individuals for programs around the world aimed at preventing the use of nuclear, biological and chemical weapons and to prevent armed conflict.
- Ploughshares Fund is one of the five largest foundations in the country addressing these issues, and the largest whose exclusive mission is grantmaking for peace and security initiatives.
- All annual contributions go directly to the programs we fund, with nothing subtracted for operations or fundraising. Ploughshares Fund maximizes our donors' investments by ensuring that operating expenses are covered by our Board of Directors and a draw from our capital reserves (permanent and board-designated endowment funds).
- A draw of \$2,924,577 was transferred from the capital reserves this year to fund current grantmaking and operations in accordance with endowment management policies overseen by Ploughshares Fund's Investment Committee. The larger draw is a reflection of the Board of Directors' determination that Ploughshares Fund should expand its institutional capacity and grantmaking in order to capitalize on a new political opening to make progress toward a world free of nuclear weapons.
- Supporting services include expenses related to the appointment of Ploughshares Fund's new president, the hiring of a director of government affairs and the opening of a Washington, DC office.
- Of the \$38,888,501 in total net assets reported at the end of the year, \$36,583,122 is held in our capital reserves, which were established to provide

stable and predictable funds for annual and long-term grantmaking and operations. Donors added \$4,608,822 to the Ploughshares endowments this year – including the Sally Lilienthal Legacy Fund, the Cowles Endowment Fund, the Lew and Sheana Butler Fund for Nuclear Disarmament, and the Ploughshares Fund general endowment.

- Ploughshares Fund is a resourceful, cost-effective organization that works to keep operational and fundraising expenses low in order to allocate as much money as possible to programs aimed at building a safer, more peaceful world. This year we spent 86.6% of our budget on grantmaking and program expenses, exceeding standards set by the National Charities Information Bureau/Better Business Bureau and Charity Navigator, which gave Ploughshares Fund 4 stars for excellence in financial management and effectiveness – its highest rating.

## PLOUGHSHARES FUND GRANTS



# Financial Report<sup>1</sup>

July 1, 2007 – June 30, 2008

<b>SUPPORT AND REVENUE</b>	<b>2008</b>	<b>2007</b>	<b>ASSETS AND LIABILITIES</b>	<b>2008</b>	<b>2007</b>
Contributions	9,172,602	12,302,496	For fiscal year ended June 30, 2008		
Interest and short term investment return	-335	27,007	Assets <sup>3</sup>		
Investment return	-2,358,293	4,548,242	Cash and cash equivalents	851,052	870,597
Changes in value of split-interest agreements	12,796	12,796	Promises to give	2,647,886	3,090,460
			Interest receivable and other assets	50,296	70,814
<b>TOTAL SUPPORT AND REVENUE</b>	<b>6,826,770</b>	<b>16,890,541</b>	Long term investments	37,078,908	37,187,311
<b>EXPENSES</b>			Fixed assets (less accumulated depreciation and amortization)	56,884	13,141
Program Services			<b>TOTAL ASSETS</b>	<b>40,685,026</b>	<b>41,232,323</b>
Grants from Ploughshares Fund	5,237,138	3,706,276	<b>LIABILITIES AND NET ASSETS</b>		
Grants from Cowles Fund	325,000	270,000	Liabilities		
Special Projects	415,741	587,372	Accounts payable and accrued expenses	124,391	121,725
Program support	672,365	415,533	Grants payable	1,594,673	1,277,600
Supporting Services <sup>2</sup>			Deferred revenue	77,461	90,257
General administration	419,969	433,666	<b>TOTAL LIABILITIES</b>	<b>1,796,525</b>	<b>1,489,582</b>
Development	610,797	598,872	<b>NET ASSETS</b>		
<b>TOTAL EXPENSES</b>	<b>7,681,010</b>	<b>6,011,719</b>	Unrestricted	12,123,699	11,090,510
<b>CHANGE IN NET ASSETS</b>	<b>-854,240</b>	<b>10,878,822</b>	Temporarily restricted	22,015,415	24,457,824
<b>NET ASSETS, BEGINNING OF YEAR</b>	<b>39,742,741</b>	<b>28,863,919</b>	Permanently restricted	4,749,387	4,194,407
<b>NET ASSETS, END OF YEAR</b>	<b>38,888,501</b>	<b>39,742,741</b>	<b>TOTAL NET ASSETS<sup>4</sup></b>	<b>38,888,501</b>	<b>39,742,741</b>
			<b>TOTAL NET LIABILITIES AND NET ASSETS</b>	<b>40,685,026</b>	<b>41,232,323</b>

1 A completed audited financial report is available upon request.

2 All administrative and fundraising expenses are covered by contributions from Ploughshares Fund's Board of Directors, an annual endowment draw and allocations from a few foundations.

3 These assets include investments in Ploughshares' Pooled Income Fund.

4 Net assets include \$2.75 million in pledges, \$1.72 million of which is for Ploughshares Fund's endowment campaign.

## Conflict of Interest Policy

As a public foundation, dependent upon the contributions and involvement of members of the public, Ploughshares Fund has a special commitment to ethical behavior and transparency in our work. The board and staff of the Ploughshares Fund are encouraged to play active roles in their communities, which may lead, from time to time, to potential conflicts of interest or the appearance of such. It is our policy to acknowledge such conflicts openly and appropriately. Conflicting involvements include but are not limited to: Ploughshares Fund board, staff or immediate family members of board or staff members serving on the boards of applicant organizations, or doing business with or being employed by applicant organizations. In cases of such conflicts or the appearance thereof, Ploughshares Fund board members and staff are expected to disclose the conflict prior to making any grant-related decisions and to abstain from voting or participating in the discussion of the applicant organization other than to answer specific questions that may be raised by other board members. In cases where a grant is awarded to an organization and one or more of Ploughshares Fund's board members has abstained from voting as the result of a conflict or the appearance thereof or a staff member has a conflict or the appearance thereof, such circumstances shall be identified in the Annual Report.

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## Information for Applicants

Ploughshares Fund supports organizations and individuals across the globe who are working to stop the spread and use of nuclear weapons, prevent conflicts that would lead to their use and promote nuclear disarmament. Please refer to our website, [www.ploughshares.org](http://www.ploughshares.org), for guidelines, application requirements and deadlines. Proposals must be submitted by email to [proposals@ploughshares.org](mailto:proposals@ploughshares.org).

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1919-2006

### CHAIRMAN EMERITUS

Lewis H. Butler

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*Communications Director*

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*Research Associate & Assistant  
to the President*

Dick Bunce  
*Deputy Director*

Lorely Bunoan  
*Office Manager and  
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Samara Dun  
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*Development Associate*

Haleh Hatami  
*Program Officer*

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*Director of Operations*

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**DESIGN:** Big Think Studios, San Francisco

**PRINTING:** 100% post-consumer recycled paper with soy-based ink

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