

To: SinoPac Securities (Asia) Limited (“SinoPac”)
永豐金證券(亞洲)有限公司 (“永豐金”)

申請收取交易核實資料書
Application of Receiving Trade Confirmation

I. 帳戶資料 Account Information

帳戶名稱
Account Name

(中文 Chinese)

(英文 English)

帳戶號碼
Account No.

II. 傳送方法 Delivery Method

I/We hereby agree to subscribe your Trade Confirmation Services under which I/we shall receive trade confirmation via the selected method.

本人/吾等，在此同意使用閣下的交易核實服務以透過本人/吾等選擇之方法收取交易核實資料書。

A) Receive via Email 透過電郵索取

電郵地址
E-mail address.

B) Receive via Facsimile 透過傳真索取

傳真號碼:
Fax No.

1. I/We understand that Trade Confirmation shall not be interpreted in any manner as a conclusive evidence in relation to any trade.
本人/吾等明白在任何情況下，此交易核實資料書均不應被視為有關交易的最終確證。

2. I/We recognize the risks in receiving such Trade Confirmations by facsimile, electronic mail or other electronic means including the risk of any Trade Confirmations being received by an unauthorized person or intercepted by a third party. If I/we choose to receive such Trade Confirmations by any electronic means, I/we accept the risks in full and authorise SinoPac to deliver any Trade Confirmation through such means. SinoPac does not assume any responsibility for any delay, failure, error, interruption or suspension in the transmission or communication of Trade Confirmations or the mistaken communication of Trade Confirmation to any other party, or for any claim, liability or loss which I/we may suffer or incur as a result of the use of any particular means for giving or receiving Trade Confirmations, unless due to the gross negligence, fraud or willful default of SinoPac or any of its officers, employees or Affiliated Companies and only to the extent of direct and reasonably foreseeable loss and damage (if any) arising directly and solely therefrom.

本人/吾等確認以傳真、電郵或其他電子方式發出交易核實資料書的風險，包括由未獲授權人士收取或由第三者截獲的風險。倘本人/吾等選擇以任何電子方式收取交易核實資料書，本人/吾等接納所有風險，並授權永豐金按照透過該方式發出任何交易核實資料書。永豐金並不假設就傳送或傳達交易核實資料書的任何延誤、故障、失誤、中斷，或暫停傳送或傳達交易核實資料書或向任何其他方錯誤傳達交易核實資料書，或為本人/吾等因使用任何某方式接收或永豐金使用某方式發出交易核實資料書可能蒙受或產生的任何申索、負債或損失承擔任何責任，除非基於永豐金或其任何主管人員、僱員或聯號的嚴重疏忽、欺詐或蓄意失責所致，及只限於直接及合理預見直接及純粹從上述行為造成的損失及賠償（如有）。

客戶簽署
Client's Signature
日期 Date

簽署核實
Signature Verified by
日期 Date

只供本公司填寫

For Official Use Only

資料核實 Verified by

批核 Approved by