



## PRO ICON ISA Application Form

I wish to subscribe for a Stocks & Shares ISA for the tax year  /  and each subsequent year until further notice.

I wish to transfer to a Stocks and Shares ISA administered by James Brearley.

Title:	Surname:	First Names:
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Permanent Residential Address:

Postcode:

Date of Birth:	Email:	National Insurance Number:
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**Payment details:** Cheques to be made payable to James Brearley ISA A/C. Payment may also be made using your debit card or using our on-line facility. Please contact Online Services for further details.

### ISA TERMS AND CONDITIONS

The ISA investments will be, and must remain in, the beneficial ownership of the investor and must not be used as security for a loan.

The title to the ISA investments will be registered in the name of James Brearley's nominee.

Share certificates or other documents evidencing title to ISA investments will be held by James Brearley's nominee or as we may direct.

James Brearley will arrange, if the investor elects, for the investor to receive a copy of the annual report and accounts issued by every company or other concern in respect of shares, securities or units which are held directly in the ISA.

James Brearley is under an obligation if the investor so elects, to arrange for the investor to be able to:

- attend shareholders, securities holders' or unit holders' meetings to vote.
- receive, in addition to the annual report and accounts, any other information issued to shareholders, securities holdings or unit holders.

James Brearley's will satisfy themselves that any person to whom they delegate any of their functions or responsibilities under the terms agreed with the investor is competent to carry out those functions and responsibilities.

James Brearley's must notify the investor if, by reason of any failure to satisfy the provisions of the ISA regulations, an ISA has, or will, become void.

On the instructions of the investor and within the time stipulated by the investor, an ISA, or part of an ISA, shall be transferred to another ISA manager in accordance with the ISA regulations relating to transfers.

ISA transfers will not exceed 30 days to another ISA Manager.

## DATA PROTECTION

All personal data supplied by the investor to James Brearley for the purposes of the ISA Plan Manager services:

- Will be processed by James Brearley as data controller under the Data Protection Legislation;
- Will be fairly and lawfully processed in accordance with James Brearley's privacy policy, a copy of which accompanies this Application Form; and
- James Brearley will at all times comply with the obligations imposed on a data controller under the Data Protection Legislation in relation to such personal data.

The ISA Plan Manager services are:

- To ensure your investments are managed in accordance with the ISA regulations.
- To claim repayment of income tax deducted at source.

## INVESTOR'S CONFIRMATION OF APPOINTMENT OF ADVISER

I have appointed .....[insert full name of Adviser] Adviser with full and unfettered authority to act on my behalf in relation to the ISA investments. The Adviser is party to the Execution Only Investment and Administration Services Agreement with James Brearley. By completing this Application Form, in relation to the ISA investments, I hereby authorise and instruct James Brearley to act on instructions from, and to provide such information to, the Adviser, as the Adviser may require, in each case in accordance with or for the purposes of the Agreement. I acknowledge that any of my personal data processed by James Brearley pursuant to the terms of the Agreement, in compliance with this instruction and authority, will be processed by James Brearley as data processor for the purposes of the Data Protection Legislation.

## ISA DECLARATION

I declare that I am aged 18 or over; that all subscriptions made, and to be made, belong to me; that I have not subscribed/made payments and will not subscribe/make payments, more than the overall subscription/payment limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year; that I am resident in the United Kingdom for tax purposes or, if not so resident, perform duties which by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees serving overseas) are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform James Brearley's if I cease to be so resident or to perform such duties, or be married to or in a civil partnership with a person who performs such duties. I authorise James Brearley's to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; to make on my behalf any claims to relief from tax in respect of ISA investments. I agree to the ISA terms and conditions. I have read and understood the ISA terms and conditions.

I declare that this application form has been completed to the best of my knowledge and belief.

Signature:
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Date:
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HEAD OFFICE

PO Box 34, Walpole House,  
Unit 2, Burton Road,  
Blackpool, FY4 4WX

Telephone: 01253 831100  
Facsimile: 01253 831102  
Email: [enquiry@jbrearley.co.uk](mailto:enquiry@jbrearley.co.uk)  
Website: [www.jbrearley.co.uk](http://www.jbrearley.co.uk)

CONTACT DETAILS

Blackpool: Tel: (01253) 831940 Email: [blackpool@jbrearley.co.uk](mailto:blackpool@jbrearley.co.uk)

Kendal: Tel: (01539) 733979 Email: [kendal@jbrearley.co.uk](mailto:kendal@jbrearley.co.uk)

Preston: Tel: (01772) 318760 Email: [preston@jbrearley.co.uk](mailto:preston@jbrearley.co.uk)

Southport: Tel: (01704) 501511 Email: [southport@jbrearley.co.uk](mailto:southport@jbrearley.co.uk)

James Brearley is the trading name of James Brearley & Sons Ltd.  
Registered in England and Wales. Company Registration Number: 03705135.  
Registered Office: Walpole House, Unit 2, Burton Road, Blackpool FY4 4NW  
Member of the London Stock Exchange. Authorised & Regulated by the Financial Conduct Authority  
The Financial Conduct Authority, 12 Endeavour Square, London E20 1JNS.