

## FCA Regulatory Compliance Form 'High Net Worth Investor' Statement

Rougemont Limited ('Rougemont') is regulated by the Financial Conduct Authority (the 'FCA') Number. 516918, as an authorised operator and promoter of Non-Mainstream Pooled Investments ('NMPI').

Under FCA Regulations a NMPI which comprises direct ownership within a single commercial property investment, such as those promoted by Rougemont, cannot be offered to the general public ('retail investors') and their promotion is restricted by the FCA to; Authorised Firms, Sophisticated Investors and High Net Worth Investors.

Consequently, before Rougemont can accept you as a client and promote syndicated commercial property investment opportunities to you it is necessary to obtain formal confirmation of your investor status.

## **Statement**

I make this statement so that I can receive promotional communications which are exempt from the restriction on promotion of Non-Mainstream Pooled Investments.

The exemption relates to certified High Net Worth Investors and I declare that I qualify as such because at least one of the following applies to me:.

- 1. I had throughout the financial year immediately preceding the date below an annual income to the value of £100,000 (one hundred thousand pounds) or more;
- 2. I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more. Net assets for these purposes do not include:
  - the property which is my primary residence or any money raised through a loan secured on that property;
  - any rights of mine under a qualifying contract of insurance; or any benefits (in the form of pensions or otherwise) which are payable on the termination of my service, or on my death or retirement, and to which I am (or my dependents are), or may be, entitled.

I accept that the investments to which the promotions will relate may expose me to a significant risk of losing all the money or other property invested.

I am aware that it is open to me to seek advice from an authorized person who specialises in advising on Non-Mainstream Pooled Investments.

Signature:Date:	Compliance
Name (BLOCK CAPITALS)	