

Advisory

Since the 2008 financial markets crisis, regulations and compliance requirements have become complex, vast and constantly evolving. Consequently, the volume of regulations that a firm has to deal with and keep abreast of has increased tremendously and these pose a major risk to firms.

Our advisory service assist firms to keep abreast of new and existing regulatory issues, understand them and the impact on their business.

Our advisory services include the following:

- Compliance Health Checks
- Advice on the practical application of regulations to a firm's business
- Risk based compliance monitoring
- Briefing on new regulatory requirements

Example of a recent advisory engagement

Our client, a leading commercial bank in Nigeria with Global Depository Receipts (GDRs) listed on the London Stock Exchange needed advice on the post-listing compliance obligations of an issuer of GDRs. Through our knowledge and understanding of the UK Listing Rules, we were able to provide the client with a comprehensive advice on its compliance obligations including the necessary systems and controls to ensure compliance, on an on-going basis, with the relevant UK Listing Rules.



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