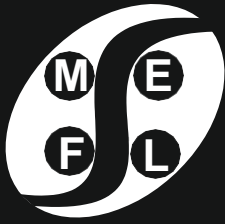


Application No. :



MSE Financial Services Ltd.

Member: NSE & BSE Depository Participant: CDSL

" Your Gateway to Prosperity "

CLIENT NAME : _____

CLIENT CODE : _____

DP ID :

1	3	0	1	7	4	0	0
---	---	---	---	---	---	---	---

CLIENT ID :

0	0						
---	---	--	--	--	--	--	--

ACCOUNT OPENING FORM FOR DP

Individual Non-Individual

Receipt No.		DIS	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Receipt Date		Nomination	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Location		Power of Attorney	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Scheme Code		BSDA	<input type="checkbox"/> Yes / <input type="checkbox"/> No

KRA Registration

Regn. No.		Regn. Date	
-----------	--	------------	--

CKYC Registration

Regn. No.		Regn. Date	
-----------	--	------------	--

AP / SUB-BROKER SEAL



MSE FINANCIAL SERVICES LTD.

Member : NSE & BSE Sebi Regn. No. : INZ000171535

Depository Participant : CDSL Sebi Regn. No. : IN-DP-CDSL-690-2013

Regd. Office : # 11, Second Line Beach, Chennai - 600 001 Tel : 044 - 2526 5553, 2521 4442

Correspondence Office : # 25, Abhiramapuram 3rd Street, Chennai - 600 018. Tel : 044 - 6645 9807 / 9808 / 9815 / 9816 / 9822

E-mail : helpdesk@msefsl.com Website : www.msefsl.com

(To be filled by the Depository Participant)

DP Internal Reference No.										Date									
DP ID	1	3	0	1	7	4	0	0		Client ID	0	0							

(To be filled by the applicant in BLOCK LETTERS in English)

I / We request you to open a demat Account in my/our name as per the following details :

Holders Details

Sole/ First Holder's Name		PAN																	
		UID																	
Second Holder's Name		PAN																	
		UID																	
Third Holder's Name		PAN																	
		UID																	

Name *	
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be mentioned above.	

Type of Account (Please tick whichever is applicable)

Status	Sub - Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Individual - Director <input type="checkbox"/> Individual HUF /AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI-Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National-Depository receipts <input type="checkbox"/> Others(specify) _____	

Details of Guardian (In case the account holder is a minor)

Guardian's Name		PAN	
Relationship with the Applicant			

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
--	---

I / We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
-------------------------------	--

I / We request you to send Electronic Transaction-cum-Holding statement at the email ID :	<input type="checkbox"/> Yes <input type="checkbox"/> No
---	--

I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

I / We would like to receive the Tariff card & Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic
---	---

I / We would like to receive the Annual Report	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)
--	---

I / We wish to receive dividend / interest directly in to my bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--



Signatures

Sole / First Holder



Second Holder



Third Holder



Third Holder



Second Holder



Sole / First Holder



Signatures

Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)										
IFS Code (11 character)										
Account number										
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (Specify) :									
Bank Name										
Branch Name										
Bank Branch Address										
City		State		Country		Pincode				

Third Holder

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 - (ii) Photocopy of the Bank Statement having name and address of the BO,
 - (iii) Photocopy of the Passbook having name and address of the BO, (or)
 - (iv) Letter from the Bank.
- ⇒ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.



OTHER DETAILS	Income Range per annum:
Gross Annual Income	<input type="checkbox"/> Up to Rs.1,00,000 <input type="checkbox"/> Rs.1,00,000 to Rs.5,00,000 <input type="checkbox"/> Rs.5,00,000 to Rs.10,00,000
Details	<input type="checkbox"/> Rs.10,00,000 to Rs.25,00,000 <input type="checkbox"/> More than Rs.25,00,000 Net-worth as on (date) _____ (DD/MM/YYYY) Rs. _____ (Net worth should not be older than 1 year)
Occupation	<input type="checkbox"/> Private/Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Please specify) _____
Please tick, if applicable:	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)
Any other information :	

Second Holder



SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	Mobile No. +91 _____ [Mandatory, if you are giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option)									
Transactions Using Secured Texting Facility (TRUST), Refer to Terms & Conditions Annexure - 2.6	I wish to avail the TRUST facility Using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No I/We wish to register the following clearing member Ids under my/our below mentioned BO ID registered for TRUST <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 33%;">Stock Exchange Name/ID</th> <th style="width: 33%;">Clearing Member Name</th> <th style="width: 33%;">Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)								
Easi	To register for easi , please visit CDSL's website : www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the Portfolio online.									

Sole / First Holder

Signatures



Signatures

Sole / First Holder

Second Holder

Third Holder



MSE FINANCIAL SERVICES LTD.

Member : NSE & BSE Sebi Regn. No. : INZ000171535

Depository Participant : CDSL Sebi Regn. No. : IN-DP-CDSL-690-2013

Regd. Office : # 11, Second Line Beach, Chennai - 600 001 Tel : 044 - 2526 5553, 2521 4442

Correspondence Office : # 25, Abhiramapuram 3rd Street, Chennai - 600 018. Tel : 044 - 6645 9807 / 9808 / 9815 / 9816 / 9822

E-mail : helpdesk@msefsl.com Website : www.msefsl.com

TARIFF CARD FOR BENEFICIARY OWNER ACCOUNT HOLDERS :

S.NO	SERVICE OFFERED	PROPOSED CHARGES BY MAITRA
1	MINIMUM MONTHLY BILL	0
2	AMC FOR NON-CORPORATE ACCOUNTS	400 RS
3	AMC FOR CORPORATE ACCOUNTS	1500 RS
4	TRANSACITON CHARGES	20 RS PER INSTRUCTION (OR) 0.02% OF TRANSACTION VALUES
5	SETTLEMENT CHARGES PER MONTH	0
6	DEMAT CHARGES	As per MSE FSL Tariff
7	REMAT CHARGES	As per MSE FSL Tariff
8	PLEDGING CHARGES	50 RS/ISIN/CERTIFICATE
9	UNPLEDGING CHARGES	50 RS/ISIN/CERTIFICATE
10	MUTUAL FUND (DESAT/ REDEMPTION) CHARGES	Rs.50/- per ISIN/per Securities
13	MODIFICATION OF CLIENT DETAILS	RS 50 PER INSTRUCTION and Courier Charges
14	INSTRUCTION REJECTION CHARGES	RS 50 PER INSTRUCTION
15	EXTRA STATEMENT CHARGES	OVERALL Rs. 50
16	DELIVERY INST BOOK CHARGES	Rs.50/- per BOOK

GST EXTRA

Signatures

Sole / First Holder

Second Holder

Third Holder

Terms and Conditions-cum-Registration Form for receiving SMS Alerts from CDSL [SMS Alerts will be sent by CDSL to BOs for all debits and for all credits as well.]

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository (India) Limited, a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai - 400 001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of Dps who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers from the CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his Bo account / unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I / We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the Bos would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable)

BO ID	1	3	0	1	7	4	0	0		0	0						
	(Please write your 8 digit DP ID)								(Please write your 8 digit Client ID)								

Sole/ First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent **+91**

--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: _____

Email ID : _____
(Please write only ONE valid email ID on which communication; if any, is to be sent)



Signatures _____
Sole / First Holder



Second Holder



Third Holder

Place: _____



MSE FINANCIAL SERVICES LTD.

DEPOSITORY PARTICIPANT - CDSL

DP SEBI REG NO.: IN-DP-CDSL-690-2013 DP ID : 13017400

Regd. Office : 11, Second Line Beach, Chennai - 600 001.

BO ID

1	3	0	1	7	4	0	0		0	0						
---	---	---	---	---	---	---	---	--	---	---	--	--	--	--	--	--

(Please write your 8 digit DP ID)

(Please write your 8 digit Client ID)

Rights and Obligations of Beneficial Owner and Depository Participant as Prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "*no charges are payable for opening of demat accounts*"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such Bos and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.



Signatures



Sole / First Holder



Second Holder

Third Holder

16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI.
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.



Signatures

Sole / First Holder

Second Holder

Third Holder

Name(s)

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) DECLARATION

Client Code: _____

DP ID	1	3	0	1	7	4	0	0	CLIENT ID	0	0						
-------	---	---	---	---	---	---	---	---	-----------	---	---	--	--	--	--	--	--

Place / City of Birth: _____

ISO Country Code of Birth:IN

Is your Country of Tax Residency other than India

Yes

No

(Please tick at appropriate place)

If 'Yes', please specify the details of all countries where you hold tax residency and its Tax Identification Number & type

S No.	Country of Tax Residency#	Tax Payer Identification Number / Functional Equivalent	Identification Type (TIN or other, please specify)
1			
2			
3			

to include all countries other than India, where investor is Citizen / Resident / Green card Holder / Tax Resident in those respective countries especially of USA

Declaration:

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I am aware that I may liable for it. I hereby authorize you [MSE Financial Services Ltd.] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to / any of the Regulators, their employees ('the Authorized parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit - India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same.

Further, I authorize you to share the given information to other SEBI Registered Intermediaries/or any other regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your end or by domestic or overseas regulators / tax authorities. I/We authorize **MSE Financial Services Ltd.** to provide relevant information to the above regulators and to enable withholding any sums from my account or close or suspend my account(s) without any obligation of advising me of the same.



Signature : _____

Name of the Client : _____

Date: _____

Place : _____

Master POA ID :

230174000000529

DIGITAL STATEMENT

Date : _____

To,

MSE FINANCIAL SERVICES LTD.

11, Second Line Beach, Chennai - 600 001.

Reg : Beneficial Owner (BO) Account No.

DPID	1	3	0	1	7	4	0	0	CLIENT ID	0	0						
------	---	---	---	---	---	---	---	---	-----------	---	---	--	--	--	--	--	--

I/We _____




[Name(s) of the BO(s)] have confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronic mode in lieu of physical copy of the statement of account.

I/We confirm that the dispatch of statement of account to me / us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me / us with statement of my / our BO account. But I / We reserve my / our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.

[E-mail address : _____]

I/We confirm that any change in the aforesaid email address or any other instructions with regard to dispatch / service of my / our statement of account on me / us shall not be binding upon you unless you are intimated in writing by me / us by acknowledged delivery.

Yours faithfully,

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature			

AUTHORITY LETTER

With respect to the client - member relationship with you, we authorize you to do the following:

- 1) Adjustment of account between exchanges and segments :** I / We hereby inform you that I have executed a KYC with you for trading on different exchanges, i.e., NSE & BSE in cash and derivative segments. I/We request you to transfer, make adjustments and / or set off a part or whole of any surplus funds in any of the accounts maintained with you against the outstanding dues payable if any, by me/us to any of my/our account(s) maintained with you. Any entries passed by you in accordance with this authorization will be binding on me/us.
- 2) Authorization to debit Demat Account operating charges :** I/We opened/ have a Beneficiary account with you and I/we have a trading account with you for investment and trading purpose. It will be very difficult for me/us to issue separate cheques against your depository bills. Hence, I / We authorize you to debit the trading account for the transaction charges / POA charges / AMC & any other charges payable to you as Depository Participant for providing depository services. Any such sum debited to my/our account shall be binding on me/us.
- 3) Authorization For Communication of Financial Products**
I/We have opened an account with you and am/are interested in knowing about other financial products.
I/We authorize you, your group companies and associates to keep me / us informed with any financial product which MSE Financial Services Limited (MSEFSL), its group companies and associates presently issue, deal in, or distribute or may, from time to time, launch, issue, deal in or distribute; through E-mail, SMS, telephone, print media or otherwise. This is without legal obligation on you, your group companies and associates to so inform and you or they may, in their discretion, discontinue sending such information.

Please score out whatever not accepted/ not agreed with.

Signature of Client : 

Nomination Form for Demat / Trading Account



MSE FINANCIAL SERVICES LTD.

Member : NSE & BSE Sebi Regn. No. : INZ000171535
Depository Participant : CDSL Sebi Regn. No. : IN-DP-CDSL-690-2013
 Regd. Office : # 11, Second Line Beach, Chennai - 600 001 Tel : 044 - 2526 5553, 2521 4442
 Correspondence Office : # 25, Abhiramapuram 3rd Street, Chennai - 600 018. Tel : 044 - 6645 9807 / 9808 / 9815 / 9822
 E-mail : helpdesk@msefsl.com Website : www.msefsl.com

Nominee Details

Registration No.	Date
------------------	------

Dear _____

Sir/Madam,

I / We the Sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

I / We **do not wish to nominate any one for this demat / Trading account.**

[Strike out what is not applicable.] [Signatures of all account holders should be obtained on this form].

I / We **nominate** the following person/s who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

DP ID	1	3	0	1	7	4	0	0	CLIENT ID	0	0				
-------	---	---	---	---	---	---	---	---	-----------	---	---	--	--	--	--

Client Code : _____

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name : *First Name : _____ Middle Name : _____ *Last Name _____			
Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Address			
*City			
*State			
*Pin			
*Country			
Telephone No.			
FAX No.			
PAN No.			
UID			
Email ID			
*Relationship with the BO			
Date of birth (Mandatory if Nominee is a minor) dd-mm-yyyy			
Name of the Guardian of Nominee (if nominee is a minor)			
*First Name : _____ Middle Name : _____ *Last Name _____			



Signatures

Sole / First Holder



Second Holder



Third Holder

Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Address of the guardian			
*City			
*State			
*Pin			
*Country			
Age			
Telephone			
Fax No.			
Email ID			
*Relationship of the Guardian with the Nominee			
*Percentage of allocation of securities			
*Fractional allocation of the securities if any [Please tick the respective nominee, (any one) if tick not marked default will be first nominee]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Note : The nominee residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.


*** Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me us.

Note : One witness shall attest signature(s) / thumb impression(s)

	WITNESS 1	WITNESS 2
NAMES OF WITNESS		
ADDRESS OF WITNESS		
SIGNATURE OF WITNESS		

I/We have read the Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signatures			

(Signatures should be preferably in black ink)

POWER OF ATTORNEY (POA) FOR PAY-IN OF SECURITIES FOR THE PURPOSE OF SETTLEMENT

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE 1) _____,
 2) _____, 3) an Individual / a sole proprietary concern / a partnership firm / a body
 corporate / trust, having his / her / its residence / registered office / place of business
 at _____
 (Hereinafter referred to as "client"), bearing **Unique Client Code (UCC)** _____

Whereas I/we hold a Beneficiary account number

1	3	0	1	7	4	0	0	0	0					
---	---	---	---	---	---	---	---	---	---	--	--	--	--	--

 BO-ID) with Central Depository Services (India) Limited, through M/s. **MSE FSL Ltd bearing DP- ID 13017400**

And Whereas I/we am/are investor engaged in buying and selling of securities through **MAITRA COMMODITIES PVT LTD**, a member of **NATIONAL STOCK EXCHANGE OF INDIA LIMITED bearing SEBI Registration No. INZ000074139**.

And Whereas due to exigency and paucity of time, I/we am/are desirous of appointing an agent /attorney to operate the aforesaid beneficiary account on my behalf for a limited purpose in the manner hereinafter appearing:

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/WE THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT M/S.MAITRA COMMODITIES PVT LTD (name of the attorney/Clearing Member) as my/our true and lawful attorney (hereinafter referred to as the attorney) for me/us and on my/our behalf and in my/our name to do instruct the aforesaid Depository Participant to debit securities and / or to transfer securities from the aforesaid account for the purpose of delivering the same to the clearing house of the recognized stock exchange toward any segment in respect of securities sold by me/us through them.

This authority is restricted to the pay-in obligations arising out of the transactions of sale effected by me/us through MAITRA COMMODITIES PVT LTD (name of Clearing Member) and I/we ratify the instructions given by the aforesaid Clearing Member to the Depository Participant named hereinabove to the respective Clearing Accounts of National Stock Exchange of India Limited viz.,

Name of the Exchange	CDSL CM ACCOUNT	CDSL CM BP ID
National Stock Exchange of India Ltd.	130174000180411	M52072

Respectively in the manner specified herein.

Further, if the Clearing Member wishes to open any other pool A/c. for the purpose of settlement, the same POA can be used to transfer the securities for my selling obligation through the Clearing Member and the same will be intimated to us.

This authority can be exercised even if the account balance serves only part of my / our Pay-in obligations. MAITRA COMMODITIES PVT LTD. will not be liable for auction that may arise due to shortage of shares in the account after 3.00 pm on T+1day.

I/We hereby agree that MAITRA COMMODITIES PVT LTD. would return to the client(s) /BO Holder, the securities that may have been received by it erroneously or those securities that it was not entitled to receive from the client(s)/BO Holder.

I / we hereby agree that all acts and deeds done by MAITRA COMMODITIES PVT LTD. under this authorization shall be construed as acts and deeds done by me / us, jointly as well as severally and further confirm and ratify all and

Whatsoever MAITRA COMMODITIES PVT LTD. shall lawfully do or cause to be done by virtue of this authorization.

I / We hereby authorize MAITRA COMMODITIES PVT LTD. to pay DP charges due to MSEFSL LTD arising out of

Place: _____

Date: _____

Master POA ID: **2301740000000571**

Sole / First Holder



Second Holder






transactions in the above B.O account, under this power of attorney, by debiting my / our trading ledger account with MAITRA COMMODITIES PVT LTD, until my/our trading account is active in MAITRA COMMODITIES PVT LTD.

I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall be in force until the same is revoked by me/us in writing to the Depository Participant any time.

SIGNED AND DELIVERED

By the within named Beneficial Owner(s)

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature			

Third Holder 

WITNESSES:

Signature 1: _____

NAME: _____

ADDRESS: _____

I/We Accept

For MAITRA COMMODITIES PVT LTD.

For MAITRA COMMODITIES PVT LTD.

Authorized Signatory

Authorized Signatory

Place: _____

Date: _____

Master POA ID: 230174000000571