

Investing in peace and security worldwide.




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PLOUGHSHARES FUND

Annual Report 2006-2007

JOINING FORCES





Ploughshares Fund supports the people who are working to prevent the spread and use of nuclear, biological, chemical and other weapons of war and to prevent conflicts that could lead to the use of weapons of mass destruction. Ploughshares is supported by gifts from individuals, families and foundations.




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LETTER FROM THE CHAIRMAN AND EXECUTIVE DIRECTOR

DEAR FRIENDS,

“Great discoveries and improvements invariably involve the cooperation of many minds.” So opined the great American inventor Alexander Graham Bell. He was, undoubtedly, referring to the technical advancements of his time, but in social innovation, too, “great improvements” almost always involve shared learning, cooperation and collective action; in other words, collaboration.

The very founding of Ploughshares Fund is a case in point. Though inspired by the vision and determination of Sally Lilienthal, it was the process of bringing together great minds – a Nobel Laureate in physics who worked on the Manhattan Project (Owen Chamberlain), several government officials and leaders from the San Francisco philanthropic community – that spawned the novel idea of a public

foundation to tackle the looming threat of nuclear annihilation.

Informed by those beginnings, Ploughshares Fund’s strategic brand of grantmaking has long identified collaboration as one of its central building blocks. Not that collaboration is always the answer; it’s not. Indeed, our grants often enable a person with a novel idea and the determination to pursue it to make enormous strides. But in the instances where the right circumstances are in place – a focused plan, inclusive leadership, broad stakeholder involvement – we have ample evidence that the sum is far greater than its parts.

Take, for example, the story of an American-Russian team of lawyers and advocates who exposed the deadly health and environmental consequences of Russian nuclear weapons production – and forced the Russian government to relocate and rehabili-

tate its victims. Or read about a global symposium for young scientists that has not only catalyzed partnerships between Indians and Pakistanis, Russians and Chinese, Americans and Iranians, but has led to unique joint studies that have informed the broader expert and policymaking community. We also highlight a new effort to prevent nuclear terrorism by uniting the forces of universities, think tanks and governments across the different fields of non-proliferation, failing states and conflict prevention – fields that rarely, if ever, interact with one another.

Speaking of conflict prevention, the story of how local and international organizations have come together in the Central Asian nation of Kyrgyzstan to intervene at an early stage and forestall future deadly violence gives us hope for a new kind of action that can serve as a model for other hot spots around



the world. And in Northeast Asia, a different kind of network is blossoming, connecting civil society organizations and “citizen journalists” across borders to monitor those countries’ moves toward or away from the acquisition of nuclear weapons.

The climate for these endeavors has become decidedly more hopeful over the past year, due in part to a growing chorus of bipartisan voices that are calling for new leadership to reduce the threats from nuclear weapons and ultimately eliminate them altogether. Here at home, Ploughshares Fund grantees are poised to seize this opportunity, aided by a collaborative initiative that brings diverse players from all different sectors of the peace and security field together for training, capacity building and collective action.

With Ploughshares Fund support over the past year, these and many

other partnerships, individuals and organizations have been able to produce remarkable achievements. Our investments – \$4,405,494 this year alone – have spanned the globe, focusing particularly on the most pressing challenges, such as North Korea and Iran. We have sought to support innovative approaches, leaders with bold vision and the experience necessary to advance solutions and, ultimately, proj-

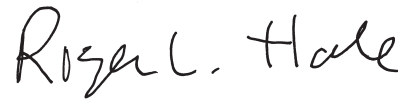
ects that have the potential to impact policy and bring about lasting change.

We believe that the stories on the following pages will not only inspire you, but will give you confidence that your contributions, pooled with others, can yield “great improvements” for the security of our families and the future of our planet.

With gratitude for your partnership and support,



NAILA BOLUS, EXECUTIVE DIRECTOR



ROGER HALE, CHAIRMAN



UNITING OUR VOICES: THE PEACE AND SECURITY INITIATIVE

The organizations and individuals that make up the peace and security community are richly diverse, comprising individuals and organizations of all shapes and sizes – advocacy groups, think tanks, religious groups, academics, student organizations; some based in Washington, DC and others in small rural communities. They work toward goals as wide-ranging as preventing the spread of nuclear weapons, ending the Iraq war, preventing war with Iran, ending genocide and addressing the environmental legacy of nuclear weapons, to name just a few. All, however, share the vision of a more secure, peaceful and just world.

In 2003, in the midst of a rapidly changing security environment, the public's hunger for dramatic policy changes and, to complicate matters, a sharp reduction in financial support available to address these issues,

Ploughshares Fund and the Ford Foundation convened a process designed to bring disparate organizations together, thereby increasing the community's collective impact on U.S. policy, and to build its overall capacity and health. Drawing ideas and leadership from all sectors of the community, the Peace and Security Initiative (PSI) has emerged as a dynamic collaboration of more than 250 groups and 600 individuals.

“When the community agrees that there's an issue that offers an opportunity for collaborative action – preventing a military strike against Iran is one – the PSI is there to help make it happen,” says PSI Director Alexandra Toma. “We provided messaging guidance and training via an interactive, online workshop, which was supplemented by up-to-date public opinion data. We've developed ways for Iran experts to share their latest thinking on



ALEXANDRA TOMA



PSI MEETING
JULY 2007



JESSICA WILBANKS
FAITHFUL SECURITY



policy options with advocacy groups, helping everyone frame a very complex issue in ways that made sense to their members and the larger public.”

And in response to a rising sense of opportunity to put the U.S. back on the road toward the eventual elimination of nuclear weapons, the PSI provided the forum for setting in motion the new “Campaign for a Nuclear Weapons Free World,” a national strategic campaign to generate fresh ideas and debate on U.S. nuclear weapons policy.

With the presidential election and transition to new leadership certain to dominate the news throughout the year, and with both Republican and Democratic candidates engaging in substantive debates about U.S. foreign policy and America’s role in the world, the PSI is poised to ensure that our voices are heard, loudly and clearly.



“WHEN THE COMMUNITY agrees that there’s an issue that offers an opportunity for collaborative action, the PSI is there to make it happen.”



PSI'S IMPLEMENTATION TEAM

A GLOBAL LABORATORY: THE SUMMER SYMPOSIUM ON SCIENCE AND WORLD AFFAIRS

Chinese and American researchers producing joint studies on space weapons; an Indian and Pakistani writing together on fissile material production in South Asia; and Russians, Chinese and Americans working collaboratively on studies of missile defense. These are just a few of the surprising partnerships catalyzed by the annual Summer Symposia on Science and World Affairs. “My whole approach to war, science, technology, civilization and the individual has changed dramatically,” said a Chinese physicist, following his attendance at a Symposium. Indeed, “life-changing” is how the experience is often portrayed by many of the 400 young scholars from 25 countries who have attended the event over the past two decades. The first Summer Symposium in 1989 was the brainchild of Ploughshares Fund advisor, physicist Frank von Hippel, who was looking for colleagues in the

Soviet Union with whom to collaborate on arms control research. Although there was no shortage of brilliant Soviet scientists, the tradition of what he calls “public interest science” barely existed. His idea was to train a new generation of analysts to approach public policy questions – particularly those related to nuclear weapons and arms control – from a technical perspective.

The Symposia had an immediate and lasting impact, leading to the establishment of the Center for Arms Control, Energy, and Environmental Studies, still the only university-based arms control center in Russia. A similar effect was felt in China a few years later. “The Symposia have been key to the development of arms control scientists in China,” says David Wright, who has co-directed the program with Lisbeth Gronlund since the second Symposium.



FRANK VON HIPPEL



NORWAY
2007



DAVID WRIGHT AND
LISBETH GRONLUND



Perhaps even more important is the Symposium's role in creating a community of the world's next generation of arms control and security analysts. David Wright goes further: "Although they may be talking about controversial issues, at the core there are key technical and scientific questions that make colleague-to-colleague dialogue possible."

"When I am asked to talk about our most successful grants over the years," wrote Ploughshares Fund founder Sally Lilienthal in 2006, "I always start by mentioning these gatherings, for they have encouraged and produced young independent scientists who are providing the technical foundations for solving the world's greatest security challenges."



"THE SYMPOSIUMS HAVE been key to the development of arms control scientists in China."



RED SQUARE
2003

EAR TO THE GROUND: THE NORTHEAST ASIA CIVIL SOCIETY NUCLEAR WEAPONS MONITORING NETWORK

The path a country takes in deciding whether to seek nuclear weapons twists and turns. The signs along the road are often hard to decipher, buried in the nuances of a speech by a political leader, a report in an obscure scientific journal or in the shifting winds of public opinion. Or even in a poem.

Three months before it occurred, Peter Hayes of the Nautilus Institute wrote a landmark article predicting that North Korea was about to test a nuclear weapon. He based his conclusions on an accumulation of unusual rhetoric emanating from Pyongyang and Beijing, and cited a strange poem that had appeared North Korea's official newspaper that sang the praises of "the powerful and fearless General Kim Jong Il" who had "achieved immortal accomplishments...Standing firmly on a hard bulwark in the anti-U.S. showdown, with lightning and

thunder reverberating throughout the skies."

The October 2006 nuclear test continues to reverberate throughout the region, increasing pressure on countries to hedge their bets with nuclear weapons of their own. "Public opinion in South Korea is running about 60% in favor of acquiring nuclear weapons," reports Hayes, while Japanese officials speak obliquely of re-examining their country's non-nuclear status.

The Nautilus Institute is at the center of a new network of civil society organizations based in Seoul, Tokyo, Taipei and Melbourne that are monitoring the subtle interplay of factors that push a country toward or away from a nuclear future, or signal that something momentous is about to happen, like the North Korean nuclear test. "Sometimes," says Hayes, "silence is the loudest signal of all."

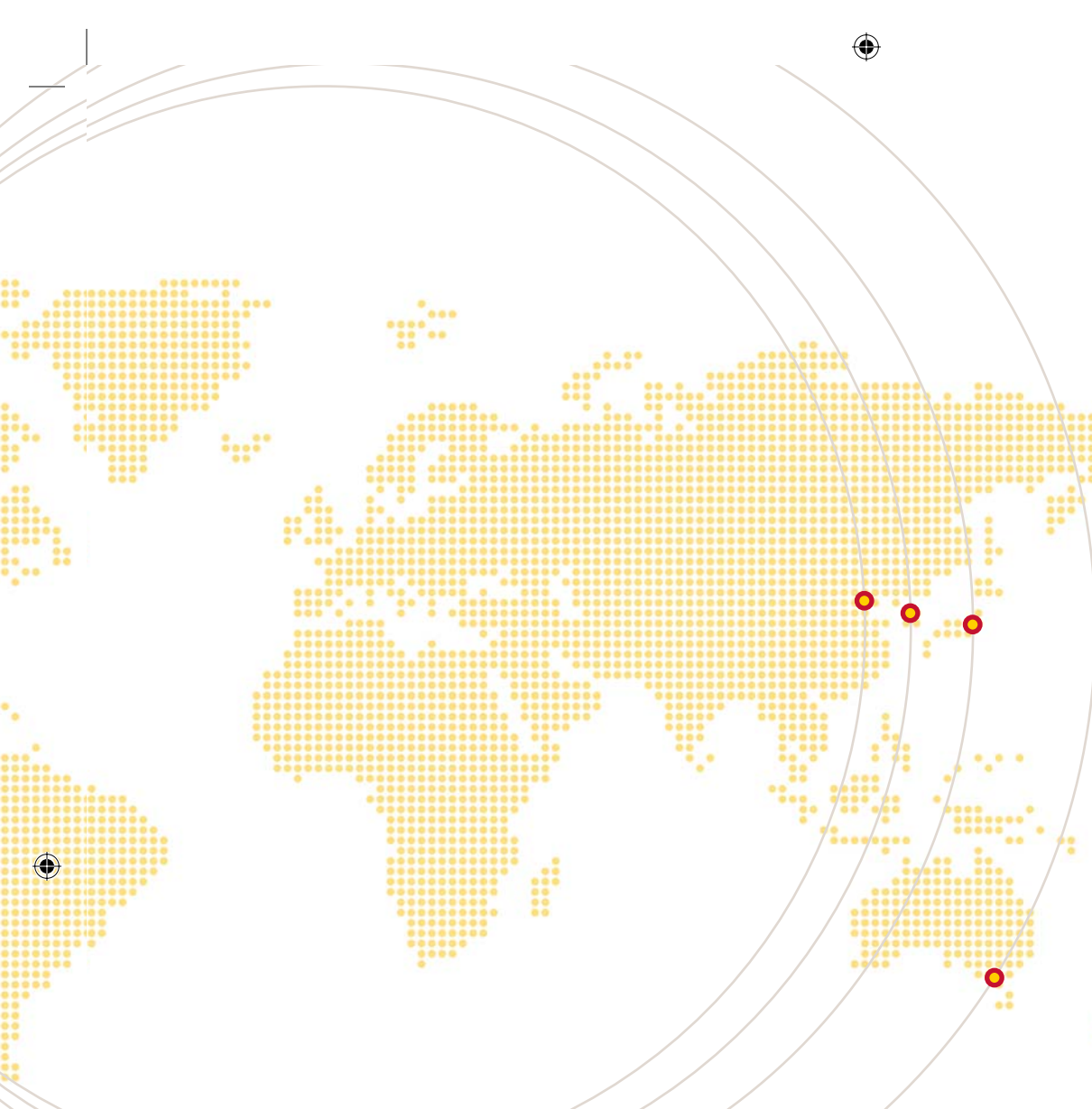


PETER HAYES



TATSUJIRO SUZUKI





Connected electronically across borders and populated by independent experts, the groundbreaking network is positioned to do what global civil society does best – synthesize vast amounts of information and make it accessible to the public, the media and key decision makers. South Korea, the most wired society on earth, is home to a new form of citizen-centered journalism that is fast becoming a powerful force in public opinion. Japan is not far behind. Each year, the network will update an index of “proliferation propensity” of the countries and the region as a whole, aimed at educating the public about the implications of nuclear decisions being made by national leaders.

“We are holding up a mirror to what’s going on around us,” says Hayes, “and creating a new understanding of what it means to live in a nuclear-armed world. All that plus giving people a voice and the tools to create paths toward a more secure future.”



“WE’RE HOLDING UP A MIRROR to what’s going on around us and creating a new understanding of what it means to live in a nuclear-armed world.”



COLLABORATIVE ACTION IN THE FERGHANA VALLEY: ALLIANCE FOR PEACEBUILDING

There are places in the Ferghana Valley that look much as they did centuries ago to traders traveling the ancient Silk Road. At the crossroads between Europe, Asia and the Middle East, occupying parts of present-day Kyrgyzstan, Uzbekistan and Tajikistan, the Ferghana Valley is the most fertile and developed area of all of Central Asia. Yet the region's transition from Soviet state to market economy has left the valley with every warning sign of impending violent conflict – authoritarian governments, overpopulation, inequality, resource scarcity, religious intolerance – all exacerbated by illicit drug trafficking linked to the rapid spread of small arms. To make matters worse, crumbling nuclear facilities dot the landscape, raising fears of devastating environmental damage and nuclear smuggling.

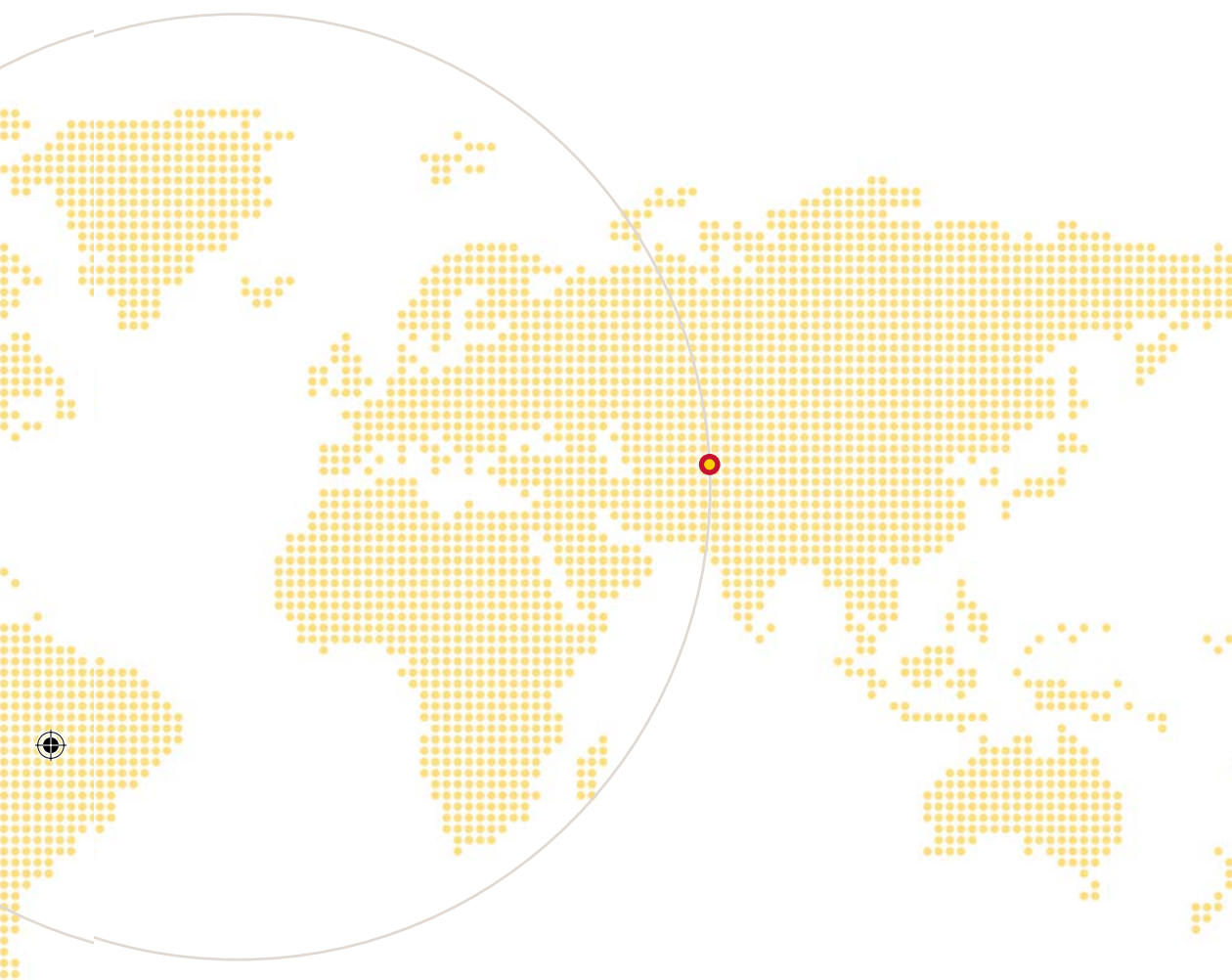
For Charles Dambach, president of the Alliance for Peacebuilding, it was just the kind of place he was looking for.

In 2006, Dambach helped convene a first-of-its kind collaborative strategy session, bringing parties to the table that weren't in the habit of talking to each other – representatives from the U.S. Departments of Defense and State; international aid agencies and non-governmental organizations – to design a process for intervening in a nascent hot spot at an early stage, going beyond simply warning of impending conflict. "After all," says Dambach, "the world had plenty of warning that genocide was about to erupt in Rwanda, but could not get past international gridlock to stop it."

The Ferghana Valley – a region currently at peace but with the high potential for war – was an ideal candidate for collaborative peacebuilding because it has



CHARLES DAMBACH



its own vibrant community of civil society groups eager to take the lead in an international partnership. The Alliance for Peacebuilding is working with the Foundation for Tolerance International in Kyrgyzstan to assemble government and non-government organizations and agencies to collaborate in identifying the myriad drivers of conflict and develop strategies to address them.

“The only thing we know for certain about peacebuilding is that it takes time,” says Dambach, who is widely credited, along with a delegation of former Peace Corps volunteers, with helping to broker a peace agreement between Ethiopia and Eritrea in 2000. “We won’t know for at least a few more years whether tensions are subsiding long-term, but it’s worth the effort and the wait to find a process that saves lives and can be replicated elsewhere.”



“PEACEBUILDING TAKES TIME... but it’s worth the effort and the wait to find a process that saves lives and can be replicated elsewhere.”



RAYA KADYROVA (SECOND FROM RIGHT), AND STAFF OF FOUNDATION FOR TOLERANCE INTERNATIONAL

BLOCKING NEW PATHS TO PROLIFERATION: FUND FOR PEACE

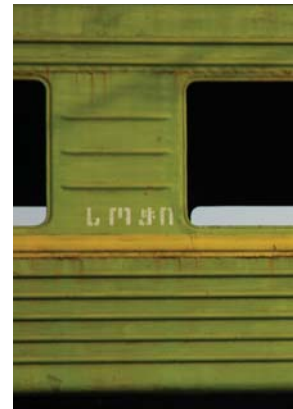
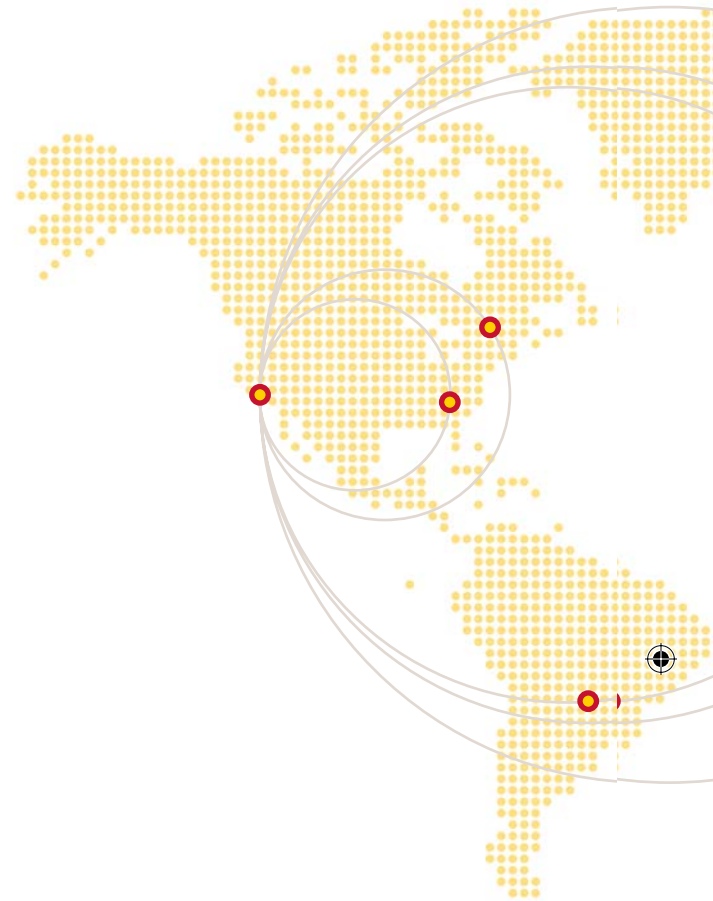
In the wake of 9/11, the failure of intelligence agencies to “connect the dots,” to share information that could have provided a more complete understanding of the looming threat posed by al Qaeda, came as a shock to most Americans. Pauline H. Baker, president of the Fund for Peace, had had a similar revelation years earlier, soon after India and Pakistan conducted their nuclear tests. She realized, after attending countless policy meetings, that nuclear proliferation specialists and regional experts were operating in isolation from each other, and missing key information that could lead them to a keener understanding of the security challenges – and their possible solutions.

As global terrorism has taken its place alongside the spread of weapons of mass destruction and the problems of weak and failing states as the three gravest security threats facing the

world, the Fund for Peace has added a fourth: threat convergence, the dynamics that tie the three together. “Networks of criminals, weapons traffickers and terrorists find havens in settings where they are not bound by state authority,” says Baker. Threat convergence represents a potential new pathway to what is the “ultimate nightmare” – the acquisition and use of a nuclear weapon by terrorists.

The Fund for Peace’s response? Engage experts from universities, think tanks and governments to develop strategies that draw on insights from the fields of nonproliferation, conflict prevention and failing states.

An early step in the process was identifying places where the threat convergence danger is high. By essentially overlaying the map of weak or failing states onto maps of suspected criminal networks, the researchers identified



PAULINE BAKER



three regions that warrant immediate attention – Black Sea region, South America's Tri-border region, and West Africa. "The most dangerous regions are not always those that aspire to go nuclear, but states in decline that can become staging areas or sanctuaries for illicit activity," says Baker.

Just as the spread of weapons of mass destruction depends increasingly on transnational networks and the forging of new, often unexpected alliances, so will efforts to combat it. The Fund for Peace joined with NATO's Euro-Atlantic Partnership Council in 2006 to expand the collaboration to Europe, the former Soviet Union and Canada to further break down barriers, expose possible pathways to proliferation and recommend ways to block them.



"THE MOST DANGEROUS regions are not always those that aspire to go nuclear, but states in decline that can become staging areas or sanctuaries for illicit activities."



ALEXANDER MELIKISHVILI
PATRICIA TAFT

A DARK LEGACY, THE LIGHT OF DAY: THE GOVERNMENT ACCOUNTABILITY PROJECT

The term “whistleblower” in English connotes personal courage and risk-taking in pursuit of greater openness and accountability. Not so in Russian, where the nearest translation of the word is “snitch.”

In a country where nuclear production took place in secret cities, and accidents far deadlier than Chernobyl were kept hidden from the public for decades, accountability and openness were unthinkable. But in the early 1990s as perestroika took hold, many U.S. activists saw a new opportunity to reach out to an emerging community of Russian environmental organizations and tackle the nuclear legacy of the Cold War. Attorney Tom Carpenter, director of the nuclear program for the Government Accountability Project (GAP), was among them. Now, more than a decade later, GAP’s partnership with scientists and activists in the former Soviet Union

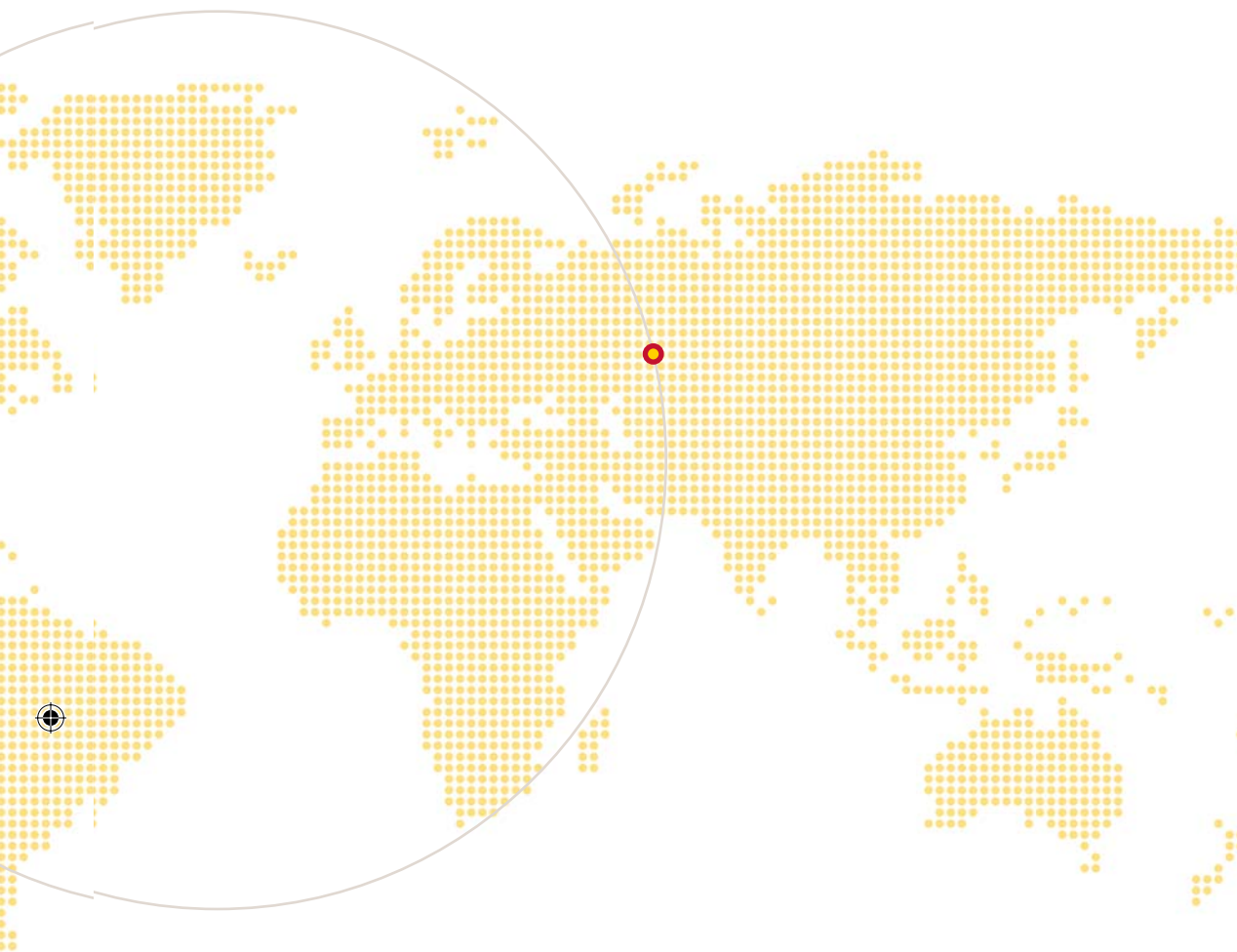
has contributed to a culture of increased oversight around nuclear operations.

“We had become very good at monitoring nuclear contamination on a citizen’s group’s budget,” recalls Carpenter, referring to his years of directing GAP’s work at the Hanford Nuclear Reservation in eastern Washington. On a mission to Siberia to share technical knowledge in 2000, their suitcases filled with testing equipment to leave with their Russian counterparts, the team stumbled on what they now believe was a previously unreported radiation leak, when plant and soil samples taken near the nuclear weapons facility at Seversk revealed radioactivity at levels equal to those in the Columbia River near Hanford at the height of Cold War plutonium production. On a return visit to Muslyumova, a village downstream from the Mayak nuclear facility, the GAP-Russian team documented



**TOM CARPENTER (CENTER)
WITH WHISTLEBLOWERS STEVE LEWIS
AND ALEXANDER NIKITIN**

NATA



sky-high radiation levels in hair, water and breathing air samples, along with rampant birth defects, cancers and other diseases. Responding to lobbying from local citizens and national groups, including the Ploughshares-funded Movement for Nuclear Safety and its director Natalya Mironova, the Russian government has finally begun to evacuate residents to a safer location.

“If we faced the kinds of hurdles the Russians have in getting to the truth and being heard, I think many Americans might have just given up,” says Carpenter, acknowledging the restrictions and pressures that have been placed on non-governmental organizations in recent years. Undaunted, Russian activists are eager to participate in GAP’s newest collaboration, an “International Truth-Tellers Network” that will work to extend the culture of openness and protection to countries beyond Russia.



“IF WE FACED the kinds of hurdles the Russians have in getting to the truth and being heard, many Americans might have just given up.”



NATALYA MIRONOVA

KATHRYN WASSERMAN DAVIS, DISTINGUISHED PLOUGHSHARES FUND SUPPORTER

Kathryn Davis' earliest memory was waving a small yellow flag as she accompanied her mother in a march for women's right to vote when she was four years old. So it was fitting that in 2007, as she celebrated her 100th birthday, Dr. Davis joined Ploughshares Fund in honoring Julia Ward Howe, the suffragist and abolitionist who called for the establishment of Mother's Day as a national day of peace following the American Civil War.

Anchoring Ploughshares Fund's Mother's Day for Peace campaign was just one of many extraordinary gestures Mrs. Davis made to celebrate her arrival at the century mark this year. "I want to use my 100th birthday to bring new thinking to the prospects of peace in the world," she said, as she launched "100 Projects for Peace," which awards \$10,000 to each of 100 projects

designed and implemented by college students. The program has created an awareness by students of how each of them can make a difference in fostering peace.

Perhaps her confidence in the ability of young people to lead the way to a safer future comes from her own youthful idealism and determination. Upon graduation from Wellesley College in 1928, the young Kathryn set out on the first of what would be a lifetime of global adventures. Riding on horseback through the Caucasus Mountains, she returned with a passion for meeting people of all cultures. The following year, on a train to Geneva to attend a seminar, she initiated a conversation with a young man, also headed to the seminar, who would later become her husband. Shelby Cullom Davis was to become a legend on Wall Street and



"I want to use my 100th birthday to bring new thinking to the prospects for peace in the world."

served as Ambassador to Switzerland during the Nixon and Ford Administrations. Kathryn herself became a prolific writer and speaker on international affairs.

Together, as the Cold War intensified, the Davises created the Shelby Cullom Davis Foundation to promote, among other causes, greater U.S.-Soviet understanding and the prevention of nuclear war. The nation's leading Russian studies centers, including Harvard's Center for Russian and Eurasian Studies and the Kennan Institute, are beneficiaries of the Davis family's vision and generosity.

Kathryn Davis has spent her birthday year travelling, swimming with dolphins, kayaking, pursuing a newly-discovered talent for acrylic painting, and making a series of creative and sometimes unexpected philanthropic

gifts, all of which are expressions of her most deeply-held values of education, understanding and working for peace. "Life cycles are to learn, to earn and to return," she says.

And we would add, to inspire. "Dr. Davis' Mother's Day gift to Ploughshares Fund inspired ten other women to make generous challenge gifts, which in turn inspired hundreds of others to honor their own mothers with the gift of peace," said Ploughshares' Executive Director Naila Bolus. "Thank you, Dr. Davis, and best wishes for your 101st."



BONNIE JENKINS AND THE FORD FOUNDATION: PLOUGHSHARES FUND PARTNER FUNDERS

Bonnie Jenkins' co-workers at the Ford Foundation were stunned when she told them she was going to Iraq. Not in her capacity as Program Officer for Foreign and Security Policy, but as an officer in the U.S. Naval Reserve. Jenkins had just been ordered to report for active duty.

"Hardly anyone at Ford knew I was in the military," she says. "They had to create a completely new personnel policy, because no one there had ever been called up before. They were extremely helpful and went out of their way to make the transition as smooth as possible." As it turned out, her orders were changed at the last minute and instead of Iraq she spent the next year at U.S. Central Command in Tampa, Florida.

Her colleagues at Ploughshares Fund, too, were surprised. Although she was well known to the peace and

security community as a specialist in nonproliferation and counterterrorism (she had served as an attorney at the U.S. Arms Control and Disarmament Agency, and later as counsel to the 9/11 Commission), Jenkins had joined the Ford Foundation staff less than a year earlier. She had immediately become an integral part of the team at Ford that had been working with Ploughshares Fund Executive Director Naila Bolus for the past three years to develop the Peace and Security Initiative (see page 4). The Ford Foundation had been in on the ground floor of the initiative, helping to conceive the concept and support its implementation with a generous multi-year grant to Ploughshares Fund.



"It's part of our philosophy that you can make more of an impact acting collaboratively than alone."

What Jenkins missed most in her year on active duty were the ongoing policy debates with fellow program officers from different issue areas at Ford, and with other funders. “It’s part of the philosophy of the Ford Foundation that you can make more of an impact acting collaboratively than alone.” Thus, one program in which she was involved brings thinkers, and grassroots and policy advocates together from organizations that address human rights, immigration, HIV/AIDS, women’s issues, climate change and trade for intensive two-day discussions about foreign policy.

As a reservist for the past eighteen years, Jenkins has earned a law degree, two master’s degrees and a Ph.D. in international studies. “But most important for my work in the peace and

security field,” she says, “is the appreciation I’ve gained for what the military can and can’t do. Almost every general I speak to agrees that solving international problems requires diplomacy and other instruments of government. There will always be times, though, when the military will need to be part of the solution.”



“The Sphere,” a monument to world peace, damaged in the 9/11 attacks.

PATRICIA SULLIVAN, PLOUGHSHARES FUND BOARD MEMBER

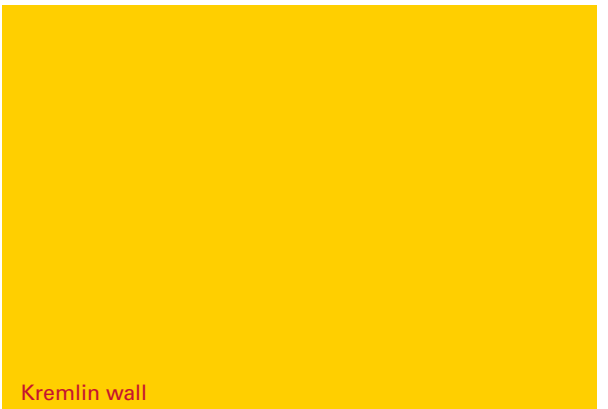
In 1986, working with the Soviet Academy of Sciences, the Natural Resources Defense Council (NRDC) set out to prove that underground nuclear explosions could be detected with seismic monitors, and thus remove the last technical hurdle standing in the way of a treaty banning nuclear testing. They came to Ploughshares Fund for emergency funding to transport NRDC scientists and equipment to the Soviet test site in Kazakhstan, and then to repeat the experiments in the U.S. It was a resounding success – not just because they proved a test ban could be verified, but also because of the partnership it spawned between Ploughshares Fund and Patricia Sullivan, NRDC’s Deputy Director, who has served as a member of Ploughshares’ Board of Directors ever since.

“I can remember being in the Nevada desert with Sally Lilienthal, whom I had just met, sitting on the ground to see if we could feel the explosions – non-nuclear, of course – that were being set off miles away,” says Sullivan. They developed an immediate bond, sharing a determination to “try anything and everything to move policy forward” on environmental and nuclear issues, and a passion for the arts. Other members of a Ploughshares board delegation that traveled to Moscow in the late 1980s say that meeting with Soviet nuclear physicist Andrei Sakharov was the high point of the trip. For Sullivan, who serves as an advisory director of the Metropolitan Opera, it was asking where one could find the best church music in the city and being directed to an Orthodox church. “It was an amazing moment, listening to a choir of hundreds of young people’s voices, at the height of glasnost.”



“Ploughshares Fund’s core issues do not exist in isolation. Environmental questions about energy independence, for example, are profoundly tied to proliferation concerns...To continue to be successful, we have to maintain a long-term vision.”

Having worked at NRDC for over 30 years, Sullivan sees the challenges both organizations address as part of a seamless web. “Ploughshares Fund’s core issues do not exist in isolation. Environmental questions about energy independence, for example, are profoundly tied to proliferation concerns.” Although she recuses herself from any grant decisions involving NRDC, Sullivan’s ability to bridge security and environmental perspectives has fostered some of Ploughshares’ most far-reaching successes, such as environmental challenges that have kept nuclear weapons production facilities shut down. She notes, however, that ratification of the Comprehensive Test Ban Treaty is still on the agenda for the future, despite the seismic breakthrough of over twenty-five years ago. “To continue to be successful,” she says, “we have to maintain a long-term vision.”



SALLY LILIENTHAL LEGACY FUND

Always capable of bold action and endowed with savvy economic as well as political judgment, Sally Lilienthal, Ploughshares Fund's founder and inspiration, was helping to craft the plan for a new Ploughshares endowment in the months before her death in October 2006.

As Ploughshares Fund marks its 25th anniversary, the urgency and prominence of nuclear weapons issues are at a new high-water mark and the sustainability of Ploughshares Fund as an antidote is essential.

The Ploughshares Fund Board of Directors is committed to keeping pace in responding to unprecedented opportunities to check nuclear proliferation and move closer to a nuclear weapons-free world. There is a shift domestically and internationally in the debate about the future of nuclear weapons that opens the door to the people and ideas that can be catalyzed with Ploughshares

Fund support. Expectations and proposals are mounting in anticipation that the next U.S. administration could seize the opportunity to dramatically reduce the nuclear threat.

These opportunities require significantly greater resources for the immediate future – and Sally understood that a larger Ploughshares Fund endowment was key. She had an instinct for just such opportunities – moments when the tipping point in favor of peace and security can shift with the right touch. Adding \$25 million to the Ploughshares Fund endowment would enable grant-making to increase over the next five years from \$4.5 million to \$10 million annually – and accelerate the forces that are creating a new, bipartisan environment for control and eventual elimination of nuclear weapons.

For our 25th Anniversary, the Ploughshares Fund Board of Directors

has launched a \$25 Million Endowment Campaign, establishing a new, unrestricted endowment, the Sally Lilienthal Legacy Fund. Sally preferred an endowment that could be tapped for additional funding beyond the customary “payout” – whenever opportunities merit. Sally's support for this endowment included a key personal financial commitment and one from her Cow Hollow Foundation. Knowing that the board was eager to honor her, Sally saw the endowment as an opportunity to secure a stronger future for Ploughshares and to rally support from her friends around the country for major financial commitments.

With this report, the Campaign becomes public for the first time, with \$20,595,000 committed to date.



SALLY LILIENTHAL
FOUNDER AND INSPIRATION

25TH ANNIVERSARY \$25 MILLION ENDOWMENT CAMPAIGN DONORS

LEAD GIFT

Edie Allen

SALLY'S CIRCLE

Cow Hollow Foundation

Sally Lilienthal

Lew and Sheana Butler*

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Kathryn W. Frank and James B. Blume

Robert E. Sims

Reza Aslan



Outright and planned gifts are being sought to add at least \$4,405,000 and achieve the Campaign's \$25,000,000 goal. When we reach that target the Cow Hollow Foundation, which received Sally's estate, will further add to its support of the Sally Lilienthal Legacy Fund.

*Gifts to the Lew and Sheana Butler Fund for Nuclear Disarmament.

† Gifts to the Cowles Fund to support efforts to prevent conflict and address its underlying causes.

Endowment gifts to the campaign can be placed in any of our endowment funds – including our existing, restricted endowment – or used to establish a new fund in honor of a family or individual.

¹ Named for Ploughshares Fund founding board member Owen Chamberlain (1920 – 2006), who received the Nobel Prize for Physics in 1959, worked on the Manhattan Project at Los Alamos, and championed the nuclear freeze movement and the cause of Soviet physicists imprisoned for their beliefs.

² Named for longstanding Ploughshares Fund supporter Hans Bethe (1906 – 2005), who received the Nobel Prize for Physics in 1967, worked on the Manhattan Project at Los Alamos, urged scientists not to contribute to nuclear weapons development, and was a national voice against nuclear testing and missile defense.

GRANTS 2006-2007

20/20 VISION

SILVER SPRING, MD

To provide information to Congress, the media and the public and to implement a lobbying strategy to block funding for Complex 2030 and the Reliable Replacement Warhead. **\$35,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

ABRAHAM'S VISION

BRANDEIS, CA

To engage students in pairs of Muslim and Jewish high schools in interfaith dialogue and education about the histories and belief systems of the other religious community. **\$30,000**

Ploughshares Fund board member Reza Aslan is a member of the Board of Directors of this organization. Please see Conflict of Interest Policy, page 44.

ACRONYM INSTITUTE

LONDON, UK

For policy development and advocacy on British nuclear weapons policy, work in international fora to promote nuclear nonproliferation and disarmament, and publication of the international journal *Disarmament Diplomacy*. **\$80,000**

ALLIANCE FOR NUCLEAR ACCOUNTABILITY **SEATTLE, WA**

To coordinate collaborative public education and grassroots advocacy campaigns addressing the proposed Complex 2030, new nuclear weapons programs and environmental clean-up at the nation's nuclear weapons facilities. **\$50,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

ALLIANCE FOR PEACEBUILDING **WASHINGTON, DC**

To develop and implement a collaborative action plan to reduce the potential for violent conflict in Kyrgyzstan. **\$40,000**

A grant from the Cowles Fund.

AMERICA ABROAD MEDIA **WASHINGTON, DC**

To support coverage of international security and nonproliferation on *America Abroad*, a one-hour program broadcast on public radio. **\$50,000**

ARMS CONTROL ADVOCACY COLLABORATIVE (AC²)

WASHINGTON, DC

For a collaborative effort by fourteen Washington, DC organizations that work to advance a common nonproliferation and arms control agenda. Launched in 2002 by Ploughshares Fund in partnership with the Steven and Michele Kirsch Foundation, AC² brings together the elements needed to have an impact on Capitol Hill – respected organizations representing tens of thousands of members, clearly defined objectives and a strategy for speaking with a common voice, coordinated by a skilled full-time lobbyist. In 2006-07, AC² was at the forefront of efforts to build opposition to the Reliable Replacement Warhead and the expansion of the nuclear weapons complex, promote direct engagement with Iran, increase funding for Cooperation Threat Reduction programs and prevent the weaponization of space. **\$83,453**

ARMS CONTROL ASSOCIATION **WASHINGTON, DC**

To support media outreach, public education and policy advocacy on the full range of arms control and nonproliferation issues, and for publication of the journal *Arms Control Today*. **\$175,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

ATLANTA WAND **ATLANTA, GA**

To support grassroots organizing, media outreach and advocacy with elected officials at the congressional and state levels to raise awareness and opposition to proposed programs for nuclear reprocessing and weapons development. **\$25,000**

BIOWEAPONS PREVENTION PROJECT **GENEVA, SWITZERLAND**

To monitor and report on developments at the 6th Review Conference of the Biological and Toxin Weapons Convention. **\$8,000**

BRITISH AMERICAN SECURITY INFORMATION COUNCIL **WASHINGTON, DC AND LONDON, UK**

To strengthen research, media outreach and policy advocacy in both the U.S. and Europe on a focused agenda of nuclear nonproliferation and transatlantic security. **\$165,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

BULLETIN OF THE ATOMIC SCIENTISTS

CHICAGO, IL

For publication of the *Bulletin of the Atomic Scientists*, and promotion of the magazine, website and the landmark "Doomsday Clock." **\$100,000**

CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE

WASHINGTON, DC

To educate new leaders in Congress about nuclear nonproliferation, promote a framework for achieving nuclear disarmament, and convene the International Nonproliferation Conference in Washington, DC. **\$50,000**

CATO INSTITUTE

WASHINGTON, DC

To promote a diplomatic solution to the dispute over Iran's nuclear program through research, analysis, policy debate in Washington, DC and a series of lectures across the country. **\$50,000**

CENTER FOR ARMS CONTROL AND NON-PROLIFERATION

WASHINGTON, DC

To educate Congress and the public about nonproliferation, U.S. nuclear weapons, national security spending and biological and chemical weapons, and to track legislation related to those issues.

\$80,000

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

To support the production and dissemination of the *National Security Briefing Book: A Look at Key Issues before Congress in 2007*.

\$1,175

CENTER FOR INTERNATIONAL SECURITY AND COOPERATION

STANFORD, CA

To convene a series of meetings among U.S. experts and officials from North Korea and the countries involved in the effort to resolve the North Korean nuclear and security crisis. **\$50,000**

Ploughshares Fund board member David Holloway is affiliated with this organization. Please see Conflict of Interest Policy, page 44.

CENTER FOR JUSTICE AND PEACEBUILDING

HARRISONBURG, VA

For the 3D Security Campaign, designed to promote a balanced security approach combining diplomacy, development and defense, through advocacy and coordination with military, government and civil society programs. **\$40,000**

CHURCHES' CENTER FOR THEOLOGY AND PUBLIC POLICY

WASHINGTON, DC

Three years ago Ploughshares Fund helped to launch an interfaith consultation between Muslims and Christians to share perspectives on the question of the nuclear weapons danger at this time in history. Over 2,200 people of both faiths endorsed a joint statement affirming that "the most responsible position for people of faith in our two traditions is to call upon the U.S. and other countries of the world to... eliminate these weapons from the face of the earth."

This grant, shared by the Churches' Center for Theology and Public Policy and the Islamic Society of North America, expands the Muslim-Christian Initiative on the Nuclear Weapons Danger and its efforts to engage religious leaders and their congregants in study and action on nuclear weapons.

\$60,000

CENTER FOR POLICY STUDIES IN RUSSIA

MOSCOW, RUSSIA

To provide information and policy analysis on nuclear nonproliferation, biosecurity and Iran's nuclear program for members of the Russian Duma. **\$50,000**

COALITION FOR PEACE ACTION

PRINCETON, NJ

To expand public outreach and organizing via the Internet, lobby elected officials on nuclear, chemical and biological weapons issues, and serve as a resource for other Peace Action affiliates in the Mid-Atlantic region. **\$47,000**

COUNCIL ON FOREIGN RELATIONS

WASHINGTON, DC

To support a series of roundtable workshops, interviews with U.S. and foreign officials, congressional education and publications on issues related to a potential global expansion of nuclear energy and the implications for nuclear proliferation. **\$25,000**

ECODEFENSE!

MOSCOW, RUSSIA

To support grassroots organizing, media outreach and legislative advocacy to oppose nuclear fuel reprocessing, plutonium-based nuclear energy and nuclear waste import plans in Russia. **\$25,000**

GRANTS 2006–2007

LYNN FAHSELT AND PETER FERENBACH BERKELEY, CA

Three grants to support a needs assessment, planning phase and launch of a first-of-its-kind strategic media institute designed to strengthen the media capacity and core communications and messaging competencies of the peace and security community. **\$140,000**

FRIENDS COMMITTEE ON NATIONAL LEGISLATION WASHINGTON, DC

To support direct and grassroots lobbying on nuclear weapons programs and policies. **\$50,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

FUND FOR PEACE WASHINGTON, DC

Full funding for the publication and dissemination of the Failed States Index, an annual global ranking of the vulnerability of states to internal conflict. **\$100,000**

Funded in part by the Cowles Fund.

To foster collaboration and fresh approaches to addressing security threats through an understanding of “threat convergence,” i.e., the intersection between failing states, terrorism and weapons of mass destruction proliferation. **\$75,000**

VICTOR GILINSKY SANTA MONICA, CA

To cover travel costs for participation and reporting from the 10th Beijing Seminar on International Security sponsored by a consortium of international research institutes. **\$2,000**

GLOBAL GREEN USA WASHINGTON, DC

For public education, media outreach and policy advocacy to ensure continued U.S. and international funding for chemical weapons destruction. **\$40,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

GLOBAL NOMADS GROUP NEW YORK, NY

For two videoconferences bringing together students from fifteen high schools across the U.S. for in-depth discussions of nuclear weapons proliferation. **\$25,000**

GLOBAL SECURITY INSTITUTE BALA CYNWYD, PA

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

GOVERNMENT ACCOUNTABILITY PROJECT WASHINGTON, DC

To defend whistleblowers within the U.S. nuclear weapons complex and to monitor nuclear materials management across the nation. **\$35,000**

To support collaboration with Russian activists and nuclear workers and the establishment of an international whistleblowers network. **\$15,000**

HEAL UTAH SALT LAKE CITY, UT

To raise public awareness and mobilize opposition to plans for new nuclear weapons programs, potential renewed nuclear testing and their implications for the state of Utah. **\$30,000**

HEART OF AMERICA NORTHWEST RESEARCH CENTER SEATTLE, WA

To support the ongoing legal defense of the voter-approved Initiative 297, aimed at cleaning up radioactive waste and contamination at the Hanford site in Washington State. **\$55,000**

INDIAN DOCTORS FOR PEACE AND DEVELOPMENT LUDIHANA, INDIA

To educate the public and policymakers about the proposed expansion of uranium mining in India for purposes of nuclear energy and weapons development, and the related public health impacts. **\$20,000**

INSTITUTE FOR ENERGY AND ENVIRONMENTAL RESEARCH TAKOMA PARK, MD

For a campaign to strengthen radiation protection standards, for analytical work on nuclear weapons policy and its impacts, and to provide technical assistance and training for the grassroots peace and security community. **\$50,000**

INSTITUTE FOR SCIENCE AND INTERNATIONAL SECURITY WASHINGTON, DC

To support scientific, technical and policy assessments aimed at strengthening the nuclear nonproliferation regime and reducing the dangers posed by nuclear proliferation and terrorism. **\$75,000**

For travel to North Korea to educate officials about the technical aspects of the imminent Six-Party agreement on the dismantlement of the DPRK's nuclear program. **\$13,330**

**INSTITUTE FOR WAR AND PEACE REPORTING
WASHINGTON, DC**

To improve international crisis reporting in the U.S. media by introducing foreign journalists' voices directly into the U.S. mainstream. **\$50,000**

A grant from the Cowles Fund

**INTERNATIONAL INSTITUTE FOR SUSTAINED DIALOGUE
WASHINGTON, DC**

For the initial phase of a project to establish a sustained dialogue among various factions and civil society within Lebanon in order to prevent civil war. **\$20,000**

A grant from the Cowles Fund.

**KENTUCKY ENVIRONMENTAL FOUNDATION
BEREA, KY**

To support grassroots organizing and lobbying by the Chemical Weapons Working Group to advocate for full funding of U.S. chemical weapons demilitarization, and to ensure that chemical weapons are disposed of safely. **\$30,000**

**KATHERINE MAGRAW
CHARLOTTESVILLE, VA**

For the Peace and Security Funders Group, a forum to increase communication and cooperation among foundations making grants in the peace and security field and to encourage new funders to participate. Supported by grants from participating foundations, Ploughshares Fund provides fiscal and administrative oversight of the project. **\$109,575**

**MAINSTREAM MEDIA PROJECT
ARCATA, CA**

To bring peace and security experts and social innovators to the attention of a broad cross-section of Americans through public and commercial radio. **\$45,000**

For the Iran Peace and Security Rapid Response Media Project to broaden public awareness and debate around the prospect of U.S. military action against Iran. **\$15,000**

**MASSACHUSETTS INSTITUTE OF TECHNOLOGY
DEFENSE AND ARMS CONTROL STUDIES PROGRAM
CAMBRIDGE, MA**

To send a delegation of technical experts from the U.S. to meet with current and former government and military leaders in South

Asia to discuss the risks and liabilities of weapons systems under consideration in India and Pakistan, and to propose safer alternatives. **\$15,000**

**MONTEREY INSTITUTE OF INTERNATIONAL STUDIES
MONTEREY, CA**

For research and policy analysis aimed at strengthening the Chemical Weapons Convention by adapting it to handle future threats and overcoming implementation challenges. **\$40,000**

To support an in-depth investigation into recent nuclear trafficking incidents to identify gaps and weaknesses in current policies and to propose concrete, practical solutions. **\$34,100**

To convene informal, unofficial discussions in Annecy, France among policy analysts and official delegates to the Non-Proliferation Treaty Preparatory Committee, and a second grant for travel expenses related to the workshop. **\$30,000**

For the production of a survey of U.S. policymakers' views on the biological weapons threat and dissemination of the results at the Biological Weapons Convention Review Conference. **\$14,789**

To encourage speedy ratification of the Central Asian Nuclear Weapons Free Zone Treaty by states in the region. **\$12,000**

**K.A. NAMKUNG
MURRAY HILL, NJ**

For travel to North Korea and Track II talks in Northeast Asia to prepare for the next round of Six Party Talks on North Korea's nuclear weapons program. **\$9,500**

**NATIONAL COMMITTEE ON NORTH KOREA
WASHINGTON, DC**

In an effort to promote peace and stability on the Korean Peninsula, and to enhance broad-based understanding and mutual trust between North Korea and the U.S., the National Committee on North Korea provides a forum for experts from the national security, humanitarian aid and economic development communities to provide timely information to those on Capitol Hill and in the executive branch who have authority over policy related to North Korea. The non-partisan coalition offers roundtables and off-the-record discussions for policymakers and promotes information sharing and collaboration across multiple disciplines. **\$50,000**

Ploughshares Fund board member Philip Yun is a member of this organization. Please see Conflict of Interest Policy, page 44.

GRANTS 2006–2007

NATIONAL COMMITTEE ON AMERICAN FOREIGN POLICY NEW YORK, NY

To enable U.S. and North Korean officials and experts to meet and prepare for implementing the February 13th Joint Statement addressing denuclearization of the Korean peninsula. **\$8,000**

NATIONAL IRANIAN AMERICAN COUNCIL WASHINGTON, DC

Two grants to provide independent analysis on Iran through the production and dissemination of policy briefs, in-depth reports and briefings for members of Congress, other policymakers and the media. **\$50,000**

NATIONAL RELIGIOUS PARTNERSHIP ON THE NUCLEAR WEAPONS DANGER WASHINGTON, DC

For the “Faithful Security” initiative to encourage religious leaders and congregations of all denominations to support efforts to reduce and eliminate the dangers from nuclear weapons. **\$65,000**

NATURAL RESOURCES DEFENSE COUNCIL WASHINGTON, DC

To support legislative advocacy, technical analysis and litigation aimed at preventing nuclear proliferation and the production of new nuclear weapons, and exposing the proliferation implications of a reliance on nuclear power to address global warming. **\$50,000**

Ploughshares Fund board member Patricia Sullivan is employed by this organization. Please see Conflict of Interest Policy, page 44.

NAUTILUS INSTITUTE FOR SECURITY AND SUSTAINABLE DEVELOPMENT SAN FRANCISCO, CA

To support ongoing efforts to inform and educate policymakers regarding North Korea, and to engage North Koreans directly through energy training activities. **\$50,000**

Two grants to organize and launch an East Asian civil society network to monitor key indicators and developments in the region as a way to recognize and prevent nuclear proliferation. **\$50,000**

NEW AMERICA FOUNDATION WASHINGTON, DC

For U.S. In the World, a program to provide messaging resources and training to individuals and groups working to influence U.S. public opinion on world affairs. **\$75,000**

To support research, writing and media outreach by the Arms Trade Project to advocate for changes to U.S. nuclear weapons policies, programs and spending. **\$40,000**

To enable U.S. In the World to provide messaging guidance for organizations discussing the nuclear situation in Iran with the public and the media. **\$15,000**

NEW MEXICO COMMUNITY FOUNDATION SANTA FE, NM

To support a coalition of groups in New Mexico that work collaboratively to educate and mobilize the public about nuclear weapons policies affecting their state and the nation. **\$70,000**

NONVIOLENT PEACEFORCE SAN FRANCISCO, CA

To support the recruitment, training and deployment of unarmed civilian peacekeepers working on the ground to prevent violence, protect human rights and seek a peaceful resolution to the conflict in Sri Lanka. **\$35,000**

NUCLEAR AGE PEACE FOUNDATION SANTA BARBARA, CA

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

NUCLEAR WATCH OF NEW MEXICO SANTA FE, NM

To support research, analysis and advocacy related to nuclear and biological weapons programs at the Los Alamos and Sandia National Laboratories and throughout the nuclear weapons complex. **\$45,000**

PACIFIC FORUM CSIS HONOLULU, HI

To cover travel costs to enable North Korean delegates to attend a meeting on countering the proliferation of weapons of mass destruction convened by the Council for Security and Cooperation in the Asia Pacific. **\$9,000**

**PARTNERSHIP FOR GLOBAL SECURITY
PHILADELPHIA, PA**

To support continued work on U.S.-Russian cooperative nuclear threat reduction initiatives and efforts to create an international “cooperative proliferation prevention” system. **\$75,000**

**PEACE ACTION EDUCATION FUND
SILVER SPRING, MD**

To support efforts to grow and strengthen the national grassroots constituency opposed to the development and use of nuclear weapons and in support of non-military solutions to the nuclear standoff with Iran. **\$50,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

**PEACE ACTION WEST
BERKELEY, CA**

To educate voters and elected officials throughout the Western states about security issues, and build opposition to proposals for new nuclear weapons and military action against Iran. **\$45,000**

**PEACE PHILANTHROPY PROJECT
STURBRIDGE, MA**

To support outreach to family foundations and individuals in order to build the community of peace and security funders. **\$12,000**

**PEACEWORKS KANSAS CITY
KANSAS CITY, KS**

A travel grant to enable a PeaceWorks representative to attend the national meeting of the Alliance for Nuclear Accountability. **\$2,500**

**PHYSICIANS FOR SOCIAL RESPONSIBILITY
WASHINGTON, DC**

To leverage the voices of medical professionals to educate policymakers, political candidates, the media and voters about preventive measures to reduce nuclear weapons threats. **\$75,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

**PHYSICIANS FOR SOCIAL RESPONSIBILITY/LOS ANGELES
LOS ANGELES, CA**

To support targeted outreach and public education in Los Angeles to amplify the voices of health professionals, emphasizing the connection between U.S. military policy and its impact on public health and the environment. **\$30,000**

**PRINCETON UNIVERSITY
PRINCETON, NJ**

To support research, technical analysis and development of policy proposals to cap South Asian nuclear weapons programs and influence debate on the U.S.–India nuclear cooperation agreement. **\$50,000**

**PROJECT ON GOVERNMENT OVERSIGHT
WASHINGTON, DC**

To investigate and publicize problems with security management at military and civilian nuclear facilities and to recommend solutions. **\$50,000**

**PUBLIC CITIZEN
WASHINGTON, DC**

For a lobbying campaign to oppose the revival of nuclear reprocessing and to cut funding for the Global Nuclear Energy Partnership. **\$50,000**

**PUBLIC INTERNATIONAL LAW AND POLICY GROUP
ARLINGTON, VA**

To support “peace fellows” and specialized consultants who provide direct pro bono assistance to states and governments involved in peace negotiations and the drafting of post-conflict constitutions. **\$50,000**

A grant from the Cowles Fund.

**PUBLIC RADIO INTERNATIONAL
MINNEAPOLIS, MN**

To support coverage of security issues on the daily international news and commentary program *The World*. **\$50,000**

**PUGWASH CONFERENCES ON SCIENCE AND WORLD AFFAIRS
WASHINGTON, DC**

To engage Iranian scientists, policymakers and other elites in dialogue aimed at exploring constructive solutions to a range of regional security challenges. **\$40,000**

To pay travel expenses for Iranian participants attending a conference on peace and reform in the Middle East, held in Cairo. **\$12,000**

GRANTS 2006–2007

REFUGEES INTERNATIONAL

WASHINGTON, DC

The Partnership for Effective Peacekeeping (PEP), coordinated by Refugees International and Citizens for Global Solutions, is a policy working group that brings together the humanitarian, human rights and peace and security communities in support of greater peacebuilding capacity. The PEP promotes policy solutions to improve national and international peacekeeping and peacebuilding operations by providing analysis and an open forum for discussion among decision makers and the non-governmental community. In collaboration with its partners, the PEP is advocating for timely and full payment by the U.S. of its peacekeeping dues and conducting on-the-ground assessments of ongoing peacekeeping operations. **\$50,000**

A grant from the Cowles Fund.

ROBERT SCALAPINO BERKELEY, CA

To cover travel costs to North Korea for meetings with officials. **\$1,757**

SCIENTISTS' WORKING GROUP ON BIOLOGICAL AND CHEMICAL WEAPONS WASHINGTON, DC

To promote international dialogue and policy recommendations to maximize transparency in the life sciences and the field of biodefense, in order to prevent the development and use of biological weapons. **\$25,000**

PETER SCOBLIC WASHINGTON, DC

To support the writing and placement of timely articles on nuclear policy, with an emphasis on the origins of the Bush Administration's policies. **\$12,000**

HERBERT SCOVILLE JR. PEACE FELLOWSHIP WASHINGTON, DC

To provide fellowships for recent college graduates working as full-time fellows in leading peace and security organizations in Washington, DC. **\$50,000**

LEON SIGAL NEW YORK, NY

To support media outreach and Track II efforts to explore cooperative solutions for ending North Korea's nuclear, missile and other weapons programs. **\$40,000**

SNAKE RIVER ALLIANCE BOISE, ID

To educate residents of Idaho about the implications of the Global Nuclear Energy Partnership and proposed GNEP facilities in that state. **\$15,000**

KATIE SOTOR ELMHURST, IL

To support distribution of a short documentary on the weaponization of space, *The Final Frontier: Explorers or Warriors?* produced by two teenage filmmakers. **\$3,300**

HENRY L. STIMSON CENTER WASHINGTON, DC

To educate policymakers and the public about "space assurance" and to promote an international Code of Conduct to prevent the weaponization of space. **\$50,000**

For analysis and advocacy aimed at promoting stronger UN and international peacekeeping capacity, including improving U.S. policies toward the UN and regional peace operations. **\$40,000**

To support and expand the Security for a New Century program, a series of bipartisan congressional briefings and discussions on a range of security issues. **\$40,000**

SUNSHINE PROJECT AUSTIN, TX

To promote transparency in the bidding process for the National Bio- and Agro-Defense facility, a Department of Homeland Security program with implications for biosecurity and public safety. **\$7,500**

**TAXPAYERS FOR COMMON SENSE
WASHINGTON, DC**

To support efforts to monitor, analyze and advocate changes in federal spending on nuclear weapons and space weapons proposals, specifically targeting the Energy and Water and Defense Appropriations processes. **\$30,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

**TRI-VALLEY CARES
LIVERMORE, CA**

For research and monitoring of activities at Lawrence Livermore National Laboratory, public education, legal challenges and policy advocacy aimed at constraining nuclear weapons development and promoting nuclear disarmament. **\$45,000**

**UNION OF CONCERNED SCIENTISTS
CAMBRIDGE, MA**

To support technical research and analysis, media and congressional outreach on U.S. nuclear weapons policy, nuclear terrorism and proliferation, space weapons, missile defense and U.S.-China relations. **\$70,000**

For the Nineteenth Summer Symposium on Science and World Affairs, which mentors and engages young, talented scientists from around the world in research on policy-oriented international security issues. **\$50,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

**UNITED NATIONS ASSOCIATION OF THE USA
WASHINGTON, DC**

For a one-day briefing to provide new and returning congressional staff members with accurate, up-to-date information about the UN and U.S.-UN relations. **\$5,960**

**UNIVERSITY OF GEORGIA
ATHENS, GA**

For the 2007 Teaching Nonproliferation Summer Institute, which trains university professors in a variety of disciplines about issues in the field of nonproliferation and supports their work to develop curricula to teach in their institutions. **\$20,000**

**WEATHERHEAD EAST ASIAN INSTITUTE
NEW YORK, NY**

To analyze the experiences of the Korean Energy Development Organization during its efforts to implement the 1994 Agreed Framework with North Korea in order to apply the lessons learned to current and future proliferation challenges. **\$50,000**

**WIN WITHOUT WAR
WASHINGTON, DC**

To support a coalition effort to prevent the use of military force against Iran through congressional engagement and media communications. **\$50,000**

**WOMEN'S ACTION FOR NEW DIRECTIONS
ARLINGTON, MA**

For the Women Legislators' Lobby, a national network of current and former women state legislators who seek to influence national policies on military spending, nuclear weapons and homeland security. **\$50,000**

For participation in the Arms Control Advocacy Collaborative. **\$3,572**

**WORLD SECURITY INSTITUTE
WASHINGTON, DC**

To support expert analysis, media coverage and outreach on space weapons and nuclear risk reduction. **\$60,000**

THE COWLES FUND

The Cowles Fund is a special Ploughshares Fund resource to provide funding for conflict prevention and peacebuilding initiatives. Established by the late Mary LeCron Foster and her husband George Foster in 1986, the Cowles Fund has provided more than three million dollars to organizations and individuals engaged in efforts to build a deeper understanding of the roots of conflict and the practical ways to resolve them. In 2006-07, the Alliance for Peacebuilding, Fund for Peace, International Institute for Sustained Dialogue, Public International Law and Policy Group, and Refugees International received grants from the Cowles Fund.

THE NUCLEAR-FREE LEGACY SOCIETY

For most of us, the goal of a nuclear weapons-free future will not be realized during our lifetimes, but we are no less determined to build a safer, more secure world for our children and future generations. Ploughshares Fund's Nuclear-Free Legacy Society honors individuals who have made a commitment to building a world free of nuclear weapons by including Ploughshares Fund in their estate plans. Ploughshares Fund acknowledges and thanks the following members for their vision and generosity:

Eddie Allen	Jean Fraser and	Jeffrey R. Leifer	Mary B. Strauss
Helene F. Belz	Geoffrey R. Gordon-Creed	Alastair Mactaggart	Patricia Sullivan
I. Inka Benton	Barbara S. Green	Marjorie D. Main	Marilyn L. Thomas
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Dr. Richard Bradus	David and Arlene Holloway	Merrill and Charlotte Palmer	Edith B. Wilkie
Mimi and Dick Brukenfeld	Jacques F. Jacobson	Abraham and Camille Pollack	Philip Yun
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Marguerite Craig	Bud Johns	Edward Rawson	
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Joan and Peter Eilbott	Peter Kohnke	Margaret E. Saunders	
Bob and Mary Lloyd Estrin	Ann L. Krumboltz	Mr. and Mrs. James G. Sherwood	
Veronica and Curtis Fields	Jane Langley	Rosalind Singer	
Angela and Jeremy Foster	Thomas C. Layton and Gyongy Laky	Margaret R. Spanel	

There are many ways to integrate gifts to the Ploughshares Fund into your personal financial plans, such as including Ploughshares in your will, making an exceptional gift from your IRA, investing in our Pooled Income Fund or setting up an individual charitable trust. If you would like more information about estate planning, go to www.peacegiving.org where you can view twelve Wills Guide videos with sound estate planning advice for everyone. For more information on particular charitable giving opportunities, Ploughshares Fund's planned giving advisor is available to help you explore various options, at no cost to you and in complete confidence. Please contact Deputy Director Dick Bunce for more information.



"I see my bequest to Ploughshares as the best investment I could make in my children's future. Global security is one of the critical issues facing society."

Read Brooks' full story on our Gift Planning website: www.peacegiving.org

BROOKS WALKER III
PLOUGHSHARES FUND LEGACY SOCIETY MEMBER

PLOUGHSHARES COUNCIL

COUNCIL AMBASSADORS

Gifts of \$100,000 or more

Edie Allen
Brico Fund, LLC
Kathryn Wasserman Davis
Ford Foundation
George M. Foster, Jr. and
Mary LeCron Foster
Revocable Trust
Sarah and Richard Pritzlaff
The Schooner Foundation
Anonymous

Gifts of \$25,000 - \$99,999

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Foundation
Quinn Delaney and Wayne Jordan
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New-Land Foundation, Inc.
Rockefeller Brothers Fund
Cynthia Ryan
John M. and
Catherine Manz Smith
Lucinda Watson
Anonymous (4)

Gifts of \$10,000 - \$24,999

Connirae and Steve Andreas
Marcia Angle and
Mark Trustin Fund of the
Triangle Community
Foundation
Cindy and Eric Bauer Arbanovella

Frances and Benjamin Benenson
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James B. Blume and
Kathryn W. Frank
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India-Pakistan border



REDISCOVERING MOTHER'S DAY

Few people are aware that Mother's Day in America traces its roots back to a mother's plea for peace in the wake of the American Civil War. Julia Ward Howe, abolitionist, women's rights advocate and author of the Battle Hymn of the Republic, proposed the establishment of a "Mother's Day for Peace" in 1870, calling on women to work for peace and to shape their own political destinies.

This year, to commemorate our 25th anniversary and our fifty-millionth dollar given in grants, Ploughshares Fund launched the Rediscover Mother's Day celebration. With Her Majesty Queen Noor of Jordan as honorary chair, the campaign highlighted the work of modern-day mothers who are working for peace – on the ground in war-torn Sri Lanka, on Capitol Hill and in research and advocacy positions around the world.

Eleven philanthropists, all mothers themselves, comprised "Julia's Circle" and made generous gifts to leverage hundreds of additional donations from individuals in honor of their own mothers. Thanks to the following women, Rediscover Mother's Day generated donations totaling \$234,080 to support peacemakers worldwide:

Marcia Angle	Barbara Manger
Gay Browne	Alida Messinger
Susie Tompkins Buell	Cele Meyer
Sage Fuller Cowles	Cathy Waterman
Kathryn Wasserman Davis	Lucinda Watson
Barbara Hostetter	

As Queen Noor wrote, "Mothers prove every day, all over the world, that peace and security require cooperation and compassion... from one mother to many others, let us be silent no longer in the face of war and violence. May all mothers and families around the world be blessed with a happy Mother's Day for Peace."

"My prayers will be answered when we all go to sleep at night and know our children will wake in peace."

CATHY WATERMAN
A MEMBER OF JULIA'S CIRCLE



SUSTAINING OUR WORK FOR 25 YEARS

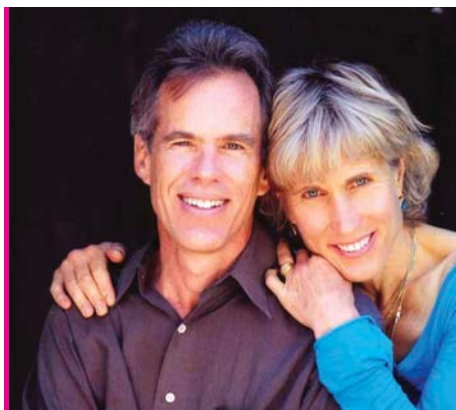
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in the Ferghana Valley

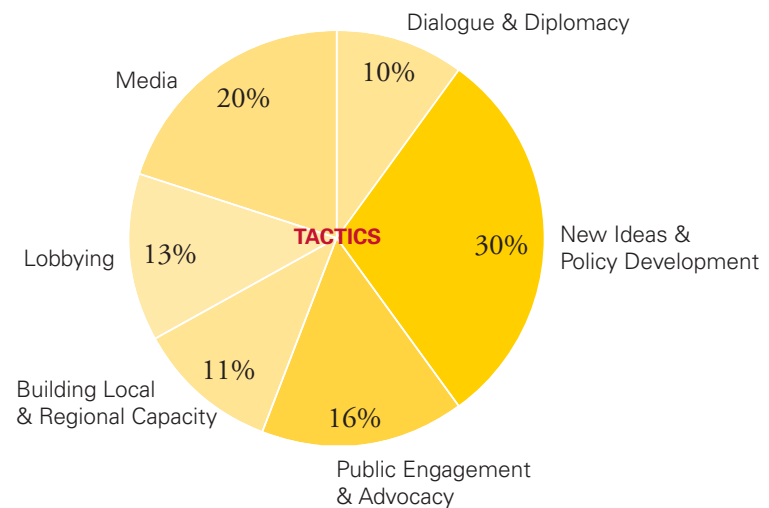
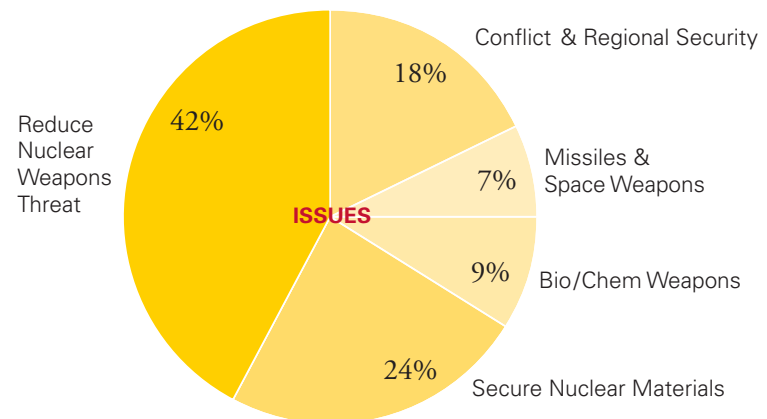
FINANCIAL HIGHLIGHTS

FISCAL YEAR 2006-07

- This year, Ploughshares Fund awarded \$4,405,494 to organizations and individuals to fund programs around the world aimed at preventing the use of nuclear, biological and chemical weapons and to prevent armed conflict.
- Ploughshares Fund is now one of the five largest foundations in the country addressing these issues, and the largest whose exclusive mission is grantmaking for peace and security initiatives.
- Ploughshares Fund continues to maximize our donors' investments by ensuring that their annual contributions go directly to the programs we fund, with nothing subtracted for operations or fundraising. Operating expenses are paid for by the Fund's Board of Directors and a draw from our endowment.
- A draw of \$1,604,699 was transferred from the endowment this year to fund current grantmaking and operations, in accordance with endowment management policies overseen by Ploughshares Fund's Endowment Committee.
- Of the \$39,742,741 in total net assets reported at the end of the year, over \$36,687,900 is held in our endowment fund, which was established to provide stable and predictable funds for annual and long-term grantmaking and operations. Donors added \$6,017,065 to the Ploughshares endowments this year—including the Sally Lilienthal Legacy Fund, the Cowles Fund, the Lew and Sheana Butler Fund for Nuclear Disarmament, and the Ploughshares Fund general endowment.

- Ploughshares Fund is a resourceful, cost-effective organization that works to keep operational and fundraising expenses low in order to allocate as much money as possible to programs aimed at building a safer, more peaceful world. This year we spent 83% of our budget on grantmaking and program expenses, exceeding standards set by the National Charities Information Bureau/Better Business Bureau and Charity Navigator.

PLOUGHSHARES FUND GRANTS



FINANCIAL REPORT¹

JULY 1, 2006 – JUNE 30, 2007

SUPPORT AND REVENUE	2007	2006	ASSETS AND LIABILITIES	2007	2006
Contributions ²	12,302,496	3,787,391	For fiscal year ended June 30		
Interest and short term investment return	27,007	23,693	Assets ⁴		
Investment return	4,548,242	1,911,844	Cash and cash equivalents	870,597	520,926
Changes in value of split-interest agreements	12,796	12,796	Promises to give	3,090,460	738,619
TOTAL SUPPORT AND REVENUE	16,890,541	5,735,724	Interest receivable and other assets	70,814	56,042
EXPENSES			Long term investments	37,187,311	28,424,434
Program Services			Note from related party		161,889
Grants from Ploughshares Fund	3,706,276	3,045,879	Fixed assets (less accumulated depreciation and amortization)	13,141	10,647
Grants from Cowles Fund	270,000	169,867	TOTAL ASSETS	41,232,323	29,912,557
Special Projects	587,372	1,079,038	LIABILITIES AND NET ASSETS		
Program support	415,533	425,993	Liabilities		
Supporting Services ³			Accounts payable and accrued expenses	121,725	97,965
General administration	433,666	341,462	Grants payable	1,277,600	847,620
Development	598,872	369,896	Deferred revenue	90,257	103,053
TOTAL EXPENSES	6,011,719	5,432,135	TOTAL LIABILITIES	1,489,582	1,048,638
CHANGE IN NET ASSETS	10,878,822	303,589	NET ASSETS		
NET ASSETS, BEGINNING OF YEAR	28,863,919	28,560,330	Unrestricted	11,090,510	3,925,529
NET ASSETS, END OF YEAR	39,742,741	28,863,919	Temporarily restricted	24,457,824	21,995,978
			Permanently restricted	4,194,407	2,942,412
			TOTAL NET ASSETS⁵	39,742,741	28,863,919
			TOTAL NET LIABILITIES AND NET ASSETS	41,232,323	29,912,557

1 A completed audited financial report is available upon request.

2 In 2007 Ploughshares Fund announced a 25th Anniversary Campaign to add \$25,000,000 to the Fund's endowments. The contributed revenue total for 2007 includes multiyear pledges and gifts to the endowment campaign.

3 All administrative and fundraising expenses are covered by contributions from Ploughshares Fund's Board of Directors, an annual endowment draw and allocations from a few foundations.

4 These assets include investments in Ploughshares' Pooled Income Fund.

5 Net assets include \$3.67 million in pledges, \$2.35 million of which is for Ploughshares Fund's endowment campaign.

CONFLICT OF INTEREST POLICY

As a public foundation, dependent upon the contributions and involvement of members of the public, Ploughshares Fund has a special commitment to ethical behavior and transparency in our work. The board and staff of the Ploughshares Fund are encouraged to play active roles in their communities, which may lead, from time to time, to potential conflicts of interest or the appearance of such. It is our policy to acknowledge such conflicts openly and appropriately. Conflicting involvements include but are not limited to: Ploughshares Fund board, staff or immediate family members of board or staff members serving on the boards of applicant organizations, or doing business with or being employed by applicant organizations. In cases of such conflicts or the appearance thereof, Ploughshares Fund board members and staff are expected to disclose the conflict prior to making any grant-related decisions and to abstain from voting or participating in the discussion of the applicant organization other than to answer specific questions that may be raised by other board members. In cases where a grant is awarded to an organization and one or more of Ploughshares Fund's board members has abstained from voting as the result of a conflict or the appearance thereof or a staff member has a conflict or the appearance thereof, such circumstances shall be identified in the Annual Report.

INFORMATION FOR APPLICANTS

Ploughshares Fund supports organizations and individuals anywhere in the world who are working to stop the spread and use of nuclear, biological and chemical weapons and other weapons of war, and to prevent conflicts that could lead to the use of weapons of mass destruction. Please refer to our website, www.ploughshares.org, for application requirements and deadlines. Proposals must be submitted by email to proposals@ploughshares.org.

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Sally Lilienthal 1919 - 2006

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Samara Dun,
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