



**FCA Regulatory Compliance Form  
'Self- Certified Sophisticated Investor' Statement**

Rougemont Limited ('Rougemont') is regulated by the Financial Conduct Authority (the 'FCA') Number. 516918, as an authorised operator and promoter of Non-Mainstream Pooled Investments ('NMPI').

Under FCA Regulations a NMPI which comprises direct ownership within a single commercial property investment, such as those promoted by Rougemont, cannot be offered to the general public ('retail investors') and their promotion is restricted by the FCA to; Authorised Firms, Sophisticated Investors and High Net Worth Investors.

Consequently, before Rougemont can accept you as a client and promote syndicated commercial property investment opportunities to you it is necessary to obtain formal confirmation of your investor status.

**Statement**

I declare that I am a self-certified Sophisticated Investor for the purposes of the restriction on promotion of Non-Mainstream Pooled Investments. I understand that this means:

1. I can receive promotional communications made by a person who is authorized by the Financial Conduct Authority which relate to investment activity in Non-Mainstream Pooled Investments;
2. The investments to which the promotions will relate may expose me to a significant risk of losing all of the property invested.

I am a self-certified investor because at least one of the following applies to me:

1. I am a member of a network or syndicate of business angels and have been so for at least six months prior to the date below.
2. I have made more than one investment in an unlisted company in the two years prior to the date below.
3. I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises.
4. I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.

I accept that the investments to which the promotions will relate may expose me to a significant risk of losing all of the money or other property invested.

I am aware that it is open to me to seek advice from someone who specialises in advising on Non-Mainstream Pooled Investment.

**Signature:**.....**Date:**.....

**Name (BLOCK CAPITALS):**.....

