

#ARKAML19

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ANTI-MONEY LAUNDERING FOR LAW FIRMS

19 June 2019 | London

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Introduction

Respond to regulatory updates, benchmark your standards

As Anti-Money Laundering moves to a risk-based approach, MLRO operations have evolved. With the potential 5th Money Laundering Directive in January 2020, and the FATF review, it's crucial that MLRO professionals are ready for upcoming changes and the potential impact on law firm operations.

Running for over a decade, ARK's Anti-Money Laundering for Law Firms conference is the only event in the market to combine high-level policy and regulatory updates with practical guidance from the realities of legal practice, preparing today's MLRO for the operating environment.

Join us on June 19th to discuss ideas and new thinking, benchmark your MLRO processes, and hear leading voices in anti-money laundering share their expertise and best practice.

Why attend?

- Assess how the SRA's thematic review impacts the work ahead for achieving compliance in a changing regulatory environment
- Prepare your legal services for the anticipated January 2020 implementation of the fifth money laundering directive
- Debate and resolve how you can manage the dual function of the legal advisor and the compliance officer
- Benchmark your anti-money laundering and counter-terror financing procedures and have your questions answered by expert speakers
- Solve key issues in anti-money laundering in an interactive roundtable discussion, covering employee screening, new client and business acceptance, and more

Agenda

09:00 Registration and coffee

09:30 Chair's opening comments

Samantha Palmer, *Partner*, **Pinsent Mason**

09:35 The SRA outlook for anti-money laundering

- Summary of findings from the latest thematic review
- Governance, taking a risk-based approach and training
- The outlook: working with firms, taking action and enforcement

Colette Best, *Policy Manager*,
Solicitors Regulation Authority

10:15 Looking ahead to 2020

- Policy update: the current work of The Law Society's Money Laundering Task Force
- The forthcoming 5th AML Directive : ongoing implementation and review
- An update on Suspicious Activity Reports (SARs): cross-industry collaboration

Amasis Saba, *Head of Business Acceptance and Deputy MLRO*, **Bryan Cave Leighton Paisner** & Chair, **Law Society's Money Laundering Task Force**

11:00 Morning networking break

11:20 Panel: The dual responsibilities and functions of the fee-earning MLRO

- Managing multiple roles, effectively acting as lawyer and compliance officer
- Clarifying your relationship with your regulator
- Duties: training, resources, supervision and compliance
- Handling the potential conflict that arises from being both enforcer and advisor

Moderator

Guy Wilkes, *Partner*, **Mischon de Reya**

Panellists

Colette Best, *Policy Manager*,
Solicitors Regulation Authority

Amy Bell, *Director*, **Teal Compliance** and contributing author to *ARK's Anti-money laundering compliance for law firms 2nd edition, January 2019*

Alex Ktorides, *Head of Risk Management and Ethics*,
Ince Gordon Dadds

11:50 Anti money laundering and counter terror financing – are you asking the right questions?

- Who needs compliance and what needs to be done? And the questions to be asked
- Understanding the role of the money laundering compliance officer
- Quick wins for implementing effective new controls

Ruth Paley, *Principal Associate (of Counsel)*, **Eversheds** and contributing author to *ARK's Anti-money laundering compliance for law firms 2nd edition, January 2019*

12:30 Networking lunch

13:30 The FATF review: Where next for AML processes and training?

- Will additional resources and IT be required?
- Addressing the underreporting of suspicious transactions
- Effective AML screening and onboarding

Sue Mawdsley, *Partner*, **Legal Risk**

14:10 Cross-industry benchmarking: how the financial sector has responded to AML regulations

- Managing interaction with the financial services regulators
- The proactive nature of the Financial Conduct Authority (FCA) approach to AML supervision
- Assessing financial systems and controls

Michael Ruck, *Partner*, **TLT** and contributing author to *ARK's Anti-money laundering compliance for law firms 2nd edition, January 2019*

14:35 Risk and compliance processes in the finance sector

- Key processes, risk assessment and procedures
- Reporting requirements and regulatory challenges
- Training, employee screening: points for consideration

Tamara Vanmegelen, *Global Chief Risk & Compliance Officer*, **Mourant**

15:15 Afternoon networking

15:35 Panel session: reviewing your processes, reporting and procedures

- Reviewing management of client due diligence (CDD) processes across a spectrum of law firms
- Responding to a varied client portfolio
- Making the best use of your available resources; including IT and training

Panellists

Angela Craven, *Associate*, **TLT**

Sarah Conway, *Partner*, **Maurice Turnor Gardner**

16:15 Interactive AML case studies: This session explores three scenarios with roundtable discussions and interactive voting for participants

- New client and business acceptance
- Employee screening
- Interaction of AML with other regulations: sanctions, General Data Protection Regulation (GDPR)

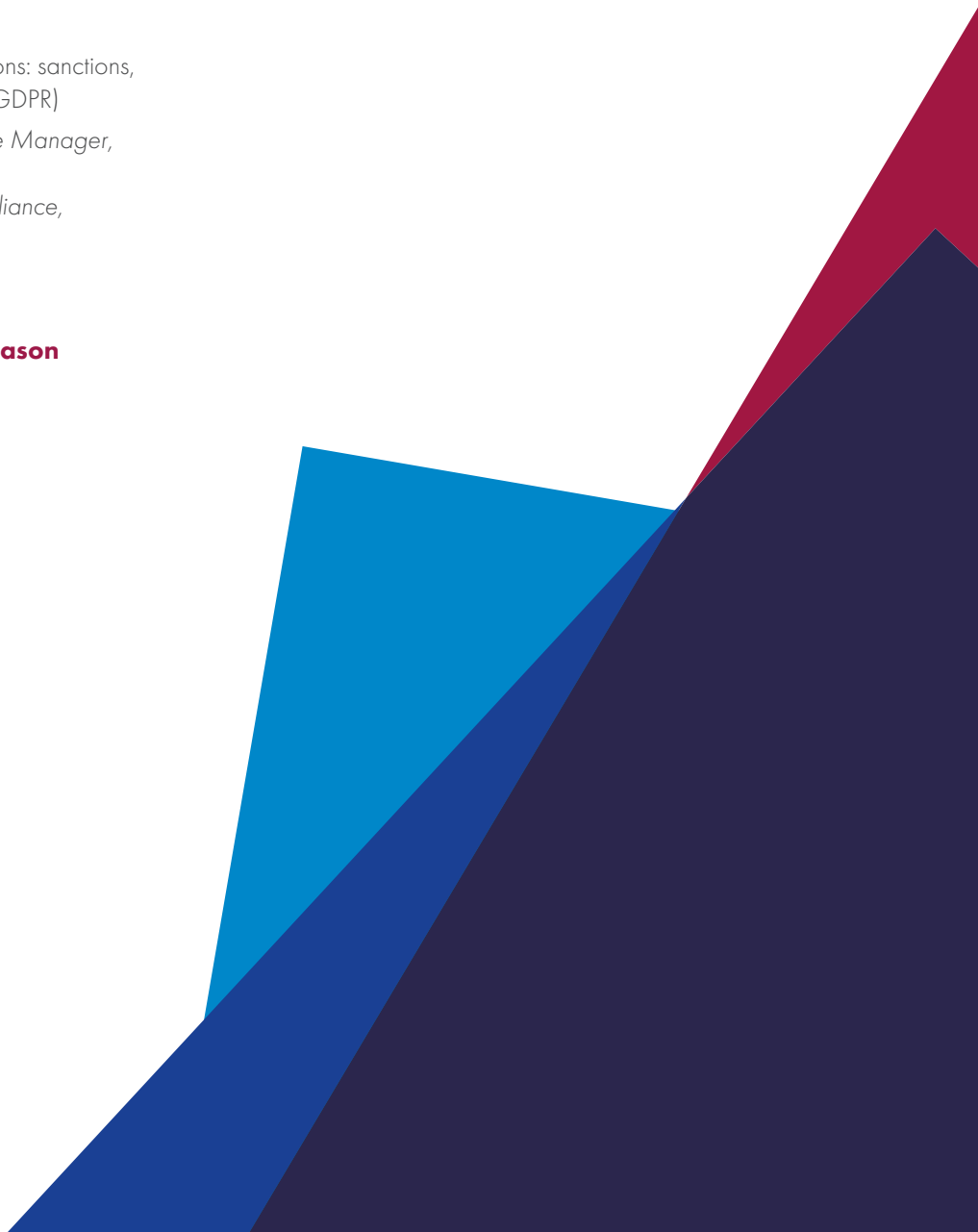
Tamara Quailey-Tulloch, *Compliance Manager*, **Brown Rudnick**

Emma Williams, *Head of Risk & Compliance*, **Morgan Lewis & Buckius UK LLP**

17:00 Chairs closing comments

Samantha Palmer, *Partner*, **Pinsent Mason**

17:20 Close of conference





How to book

Full rate for conference: **£745 + VAT**

Please note that prices are per delegate and include all day access to the event, lunch and refreshment.

Fees do not include travel costs or accommodation.

For delegate enquires please contact **Frazer Kennedy** on **+44 (0)207 549 8614** or email **Frazer.Kennedy@wilmington.co.uk**.

Sponsorship & Exhibition

Sponsorship of the Anti-Money Laundering for Law Firms conference can help promote your brand to senior level decision makers and position you as a thought-leader within this fast-moving industry.

For sponsorship and exhibition enquiries, please contact David Boore on: **+44 (0)20 7324 2372** or email **David.Boore@wilmingtonplc.com**

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