ACADEMIC CURRICULUM VITAE

 \mathbf{of}

Professor Roger A. Falconer FREng, CEng, CEnv



ACADEMIC CURRICULUM VITAE

Name Roger Alexander FALCONER, DSc(Eng), FREng

Title Professor of Water Management (Part-time), Cardiff University

Director, Roger Falconer Water Consultancy Ltd

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Cardiff University

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Date of Birth 12 December 1951

Nationality British (Married - 3 children)

Personal Profile

I graduated as a civil engineer, having since specialised as an academic in water engineering and management and have acquired over 40 years' experience in research, development and management relating to practical hydro-environmental engineering projects. I now also run an independent water consultancy company and am a member of Board of Trustees of the Chartered Institution of Water and Environmental Management (CIWEM). I have managed a Civil Engineering Department (Bradford) and a large research team at three universities. I was a member of the 2008 UK Research Assessment Exercise (RAE2008) and am Chair of the Civil and Construction Engineering Sub-Panel for the 2014 Research Excellence Framework (REF2014). I was President of the International Association for Hydro-Environment Engineering and Research (IAHR), 2011-15. I have published extensively in the field of hydroenvironmental engineering and management and have delivered keynote and external lectures worldwide. I have worked extensively on providing specialist advice, to industry and government departments, on a wide range of water environmental impact assessment (EIA) projects, both in the UK and overseas; for example, I was involved in an international high profile court case (Malaysia v. Singapore, 2003) and in the follow up EIA study. I have also interacted extensively with consulting engineering and environmental companies and regulatory agencies, through the provision of hydro-environmental computer models, and have an extensive network of contacts in the field - both nationally and internationally. I have also participated extensively in TV and Press interviews, on a wide range of topics, most notably: tidal renewable energy (including barrages and lagoons, flooding, water security etc. (http://news.bbc.co.uk/1/hi/sci/tech/8628832.stm).

Key Achievements

- Elected Fellow of The Royal Academy of Engineering RAEng (1997)
- Elected Fellow of The City and Guilds Institute of London FCGI (1997)
- Elected Fellow of The Learned Society of Wales LSW(2011)
- Elected President of the IAHR (2011-15) and Honorary Member (2017)
- Appointed Member of the Board of Trustees of CIWEM (2016)
- Awarded the Royal Academy of Engineering Silver Medal (1999)
- Awarded Ippen Award by the IAHR (1991)
- Awarded the Hai He Award of Honour by Tianjin Municipal Government China (2004)
- Appointed Professor of Water Engineering at Bradford University (1986)
 - ► Head of Department of Civil and Environmental Engineering (1994-97)

- Responsible for an operating budget of £1.9M per annum and 37 staff
- > Dept. Achieved 5A and 5C in 92 and 96 RAE
- Dept. Achieved 7th ranking in Times League of Civil Engineering Depts (1997)
- Appointed Professor of Water Management at Cardiff University (1997)
 - Post sponsored by Hyder Consulting (1997-00) and Halcrow/CH2M Group (2000-15)
 - ➤ Dept. achieved 1st ranking in Times League of Civil Engineering Depts (2001)
 - ➤ Research Co-ordinator for Civil Engineering achieving 5*A (top score) in RAE2001
 - Research Co-ordinator for Civil Engineering achieving 3rd ranking in RAE2008
 - > Unit of Assessment achieved top ranking for Civil Engineering in REF2014
- Developed hydro-environmental software tools acquired by over 40 companies
- Directed two research Centre and managed research grant income exceeding £12.5M
- Consultant to industry and government departments on over 100 EIA projects
- Published over 450 book chapters, journal and conference papers and technical reports
- Over 3700 Scopus citations and 35 h-index (Google Scholar: > 7000 cites and 50 h-index)
- Delivered invited lectures to over 500 institutions or societies in 22 countries
- Elected to Council of ICE, IAHR and CIWEM and served on over 70 committees
- Served on Scientific Advisory Committee of over 90 international conferences
- Participated in numerous TV and press interviews (BBC, ITV, CNN, Times, Guardian etc.)
- Expert for Malaysia in ITLOS Malaysia v Singapore Land Reclamation Case (2003)
- Co-Chair Group of Experts for EIA study of Singapore land reclamations (2004)
- Member of UK RAE2008 Sub-Panel for Civil Engineering (2006-08)
- Chair of UK RAE2014 Sub-Panel for Civil and Construction Engineering (2010-14)
- Member Welsh Assembly Government Flood Risk Management Committee (2006-16)
- Member UK Government DECC Expert Panel for Severn Estuary Tidal Power (2008-10)
- Initiated change of name of IAHR from 'Hydraulics' to 'Hydro-Environment' (2009)
- Presented evidence on Severn Barrage to Commons Energy and Climate Change Com. (2013)

Higher Education

| 1973 | BSc(Eng) | King's College, University of London |
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| 1974 | MSCE | University of Washington, USA |
| 1976 | PhD, DIC | Imperial College, University of London |
| 1992 | DEng | University of Birmingham |
| 1994 | DSc(Eng) | University of London |

Career Summary

- 2017-date Director, Dragon River & Tidal Ltd., responsible for engineering development of novel turbine for renewable energy in river hydro and tidal range energy schemes
- 2017-date Member, Technical Advisory Board, Natural Energy Wyre, Wyre Tidal Gateway Project, responsible for advising on development of tidal renewable energy project across Wyre Estuary
- 2016-date Member, Board of Trustees, Chartered Inst. of Water and Environmental Management
- 2016-date Director, Roger Falconer Water Consultancy Ltd.

 Company specialises in Water Engineering and Environmental Management, including:
 Flood Risk Modelling and Management, Marine Renewable Energy (Tidal Power, Barrages and Lagoons), Modelling of Flow, Water Quality, Sediment Transport etc. in Rivers, Estuaries and Coastal Waters; Audit assessments for Environmental Impact Assessment (EIA) and Water Framework Directive (including Bathing Water compliance) projects; Provision of Water Engineering and Environmental Management expertise as Expert Witness for Public Inquiries, National and International Courts and Tribunals.
- 2011-15 President, International Association for Hydro-Environment Engineering and Research.

- 1997-date Professor of Water Management (Part-time since 2016) sponsored by Hyder, 1997-00 and CH2M and Halcrow 2000-15, School of Engineering, Cardiff University; Founding Director, Hydro-environmental Research Centre HRC (1997-14) Responsible for strategic planning, leading research projects and co-ordinating research activities of 8 academic staff and typically 30 research associates and research students in Centre; Leader Institute of Environment and Sustainability (2003-12) Responsible for strategic planning and co-ordinating research activities of over 30 academic and research staff..
- Professor of Water Engineering, Dept. of Civil Engineering, University of Bradford; Deputy Head (1987-93), Head of Department (1993-97) and Founding Director of Environmental Hydraulics Research Centre (1987-97).
- 1977-86 Lecturer in Hydraulics, Dept. of Civil Engineering, University of Birmingham.
- 1976-77 Assistant Engineer, Mott MacDonald, Consulting Engineers, Cambridge.

Professional Qualifications and Learned Society Fellowships

| 1983 | Chartered Engineer - CEng |
|---------|--|
| 2004 | Chartered Environmentalist - CEnv |
| 2009 | Chartered Water and Environmental Manager - C.WEM |
| 1990 | European Engineer - EurIng |
| 1997 | Fellow, Royal Academy of Engineering (RAEng) - FREng |
| 1997 | Fellow, City and Guilds of London Institute - FCGI |
| 2011 | Fellow, Learned Society of Wales - FLSW |
| 1992 | Fellow, Institution of Civil Engineers (ICE) - FICE (MICE -1983) |
| 1990 | Fellow, Chartered Inst. of Water and Environmental Management - FCIWEM |
| 1993 | Fellow, American Society of Civil Engineers (ASCE) - FASCE |
| 1992-11 | Fellow, Royal Society for the Encouragement of Arts - FRSA |
| 1983 | Honorary Member (2017) and President (2011-15), International Association for Hydro- |
| | Environment Engineering and Research (IAHR) |
| 2017 | Fellow and Vice President, International Association for Coastal Reservoir Research |
| 1995 | Member, International Water Association (IWA) |
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Awards

| Main: | TA / | • |
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| 2017 | Honorary Member IAHR (Awarded for: "Outstanding contribution in promoting the de- |
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| | velopment of computational modelling in hydro-environment and research") |
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- 1999 **RAEng Silver Medal** (Awarded for "Outstanding and demonstrated personal contributions to British engineering leading to market exploitation")
- **IAHR Ippen Award** (Awarded for "Outstanding contributions in area of computational flow modelling of coastal and estuarine waters and environmental problems")
- Tianjin Municipal People's Government (China) Hai He Award of Honour (Awarded in "Appreciation of Support for Development of Tianjin Municipality")
- 2007 **ICE Robert Alfred Carr Prize** (Awarded for paper published in Proceedings of ICE, Vol.159, WM1, March 2006)
- 2003 **ICE Robert Alfred Carr Prize** (Awarded for paper published in Proceedings of ICE, Vol.154, WME2, June 2002)
- 1994 **ICE Telford Premium** (Awarded for paper published in Proceedings of ICE, Vol.97, No.4, Nov. 1993)

Others:

| 2014-15 | Cardiff School of Engineering Teacher of the Year Awards (Awarded for Excellence in |
|---------|---|
| | Teaching in the category of 'Large Class Teaching') - also awarded 2005-06, 2007-08 |
| 2006 | International Water Association Referees Prize for Water Research |

1973-74 Thomas and Elizabeth Williams Scholarship

1973-74 Baker Fellowship Award

1971-73 Civil Engineering Scholarship Trust Award

Prestige and Keynote Lectures

Named or Prestige Lectures include:

Royal Society Copus Lecture, Bradford (1989), IAHR Ippen Lecture, Madrid (1991), ICE Vernon Harcourt Lecture (1992), IT and Imaging Lecture, Science Museum, London (1995), British Association Presidential Lecture, Cardiff (1998), European Dredging Association 10th Anniversary Lecture, Brussels, Guest Professorship Lecture, Tianjin (2005), 35th Idris Jones Memorial Lecture, Energy Institute, Cardiff (2008), RAEng Briefing Lecture on Severn Barrage, London (2008), Council of the Academies of Engineering, Technology and Science, Delft (2008), European Council of Applied Sciences, Technologies and Engineering, London (2008), RAEng Briefing Lecture on Extreme Flood Events, London (2009), Cheltenham Science Festival, Cheltenham (2010), Parliamentary and Scientific Committee, London (2010), Delta Science Programme, San Francisco (2013), ICE Dugald Clerk Lecture (2015).

Keynote Lectures delivered at international conferences include (see publication list):

Shanghai (1987), Southampton (1991), Washington (1993), San Francisco (1993), Pusan (1995), Yosu (1995), Hong Kong (1998), Lemnos (1999), Bangkok (1999), Las Palmas (2000), Cardiff (2001), Singapore (2001), Beijing (2002), Ramsar (2002), Tianjin (2002), Tehran (2004), Penang (2004), Hong Kong (2004), Seoul (2005), Kuwait (2005), Madras (2006), Kuching (2007), Edinburgh (2010), Athens (2010), Muscat (2010), Penang (2011), Munich (2012), Hamburg (2012), Jeju, Korea (2012), Florida (2012), Chennai (2013), Hong Kong (2014), Singapore (2015), Slovenia (2016), Kuala Lumpur (2017), Seoul, Korea (2017), Wollongong, Australia (2018)

Main Experience

Professional:

- Responsible for undertaking and directing research contracts, in excess of £11.7M to three universities, on a wide range of hydro-environmental modelling studies, including:- development, refinement and application of hydro-environmental models for predicting a range of processes in river, estuarine and coastal waters (including flood inundation and hazard mapping); modelling disinfection in water treatment works; groundwater modelling; wetland management and development of industrial models (e.g. iSIS-2D, marketed by CH2M); and design of demountable flood defences. Research interests also include global water security.
- Supervised over 70 research fellows, associates and students (57 completed PhDs), and collaborated with over 30 overseas visiting academics from 15 countries.
- Responsible for developing FASTER (1D), DIVAST (2D) and TRIVAST (3D) computer models for predicting flow, water quality and sediment transport processes in coastal, estuarine and inland waters, and providing these models to over 60 academic institutions in 22 countries for research.
- Produced to-date: 7 edited books, 10 book chapters, over 400 journal and international conference papers and over 75 technical reports. Delivered over 470 invited lectures to institutions or learned societies in 22 countries.
- Organised and lectured on specialist short courses and conferences, totalling over 3,000 delegate days, with two conferences opened by HRH The Princess Royal, including Hydroinformatics 2002 which attracted over 300 delegates from 50 countries. Also served on advisory committee of over 100 international conferences 12 as Chairman.
- Served on over 80 professional committees, learned societies, professional organisations and editorial boards, including: RAEng, RoySoc, ICE, CIWEM, ASCE, IAHR, IWA, EPSRC and Environment Agency.

Management:

• Responsible for management of Department of Civil and Environmental Engineering at Bradford (1993-97), consisting of 19 academic staff, 18 support staff, over 300 undergraduate students and over 40 postgraduate students. Department had annual net income of £1.9m and an operating budget in excess of £1.2m. Department rated 5C (5* top rating) in 1996 HEFCE RAE, rated 20 (out of 24) in 1996 HEFCE Teaching Quality Assessment Exercise and ranked 7th in The Times

- League table of Civil Engineering Departments in 1995. Department rated 5A and 3 in 1992 and 1989 RAEs, while primarily responsible for managing Department's research activities.
- Responsible for co-ordinating research and preparing 2001 RAE submission for Civil Engineering Unit of Assessment at Cardiff University. Awarded 5*A rating in 2001 RAE first time this top grade has been awarded for a civil engineering unit. Department ranked 1st in The Times League table in 2001 and 2002.
- Responsible for leading:- Institute of Environment and Sustainability IES (2003-12), and directing Hydro-environmental Research Centre HRC (1997-14) and Environmental Hydraulics Research Centre EHRC (1987-97), with latter two including research grants and contracts exceeding £10.24m in total value from research councils, EU, industry, government departments and public bodies. The HRC provided hydroinformatics software tools to ExCal Ltd a Welsh SME (Small to Medium Enterprise) who won an Innovation Network Award (2002) for success in "using software tools in water environmental modelling projects".
- Responsible for supplying DIVAST and TRIVAST hydro-environmental computer models to over 40 consulting engineering companies, water companies and government departments. Acted as consultant to these companies and organisations in applying models to over 100 EIA projects worldwide.
- Responsible for developing numerical engine for flood inundation prediction for iSIS-2D model, used and marketed by CH2M Group Ltd. and Technical Consultant to MarCon International Ltd, an international consulting company providing advanced modelling capabilities in the marine and freshwater environments.

Main Academic and Learned Society Appointments

- 2017-date Vice President, International Association for Coastal Reservoir Research
- 2017-date Member, Editorial Board of Journal of Water
- 2016-date Member, Marine & Renewable Energy Centre Ireland, Scientific Committee
- 2016-date Member, CIWEM Code of Conduct Committee
- 2016-date Member, CIWEM Professional Standards Committee
- 2016-date Member, Board of Trustees of CIWEM
- 2015-date Founder and Advisory Board Member, Journal of Eco-hydraulics
- 2014-17 Honorary Professor, Dept of Civil and Env. Eng., Hong Kong Polytechnic University
- 2014-17 External Assessor, University of Malayia, Malaysia
- 2014-16 Member, RAEng ICT Community of Practice Committee
- 2013-date Guest Professor, Dept. of Civil Engineering, Sichuan University, Chengdu, China
- 2013-date Founding Advisory Editor, J. of Applied Water Engineering and Research, IAHR
- 2013-date Honorary Professor, China Institute of Water Resources and Hydropower Research
- 2012-date Member, Internal Advisory Board, International Journal of Renewable Energy Research
- 2011-12 Member, ICE State of the Nation Report Panel for Water
- 2011-15 President, International Association for Hydro-Environment Engineering and Research
- 2010-14 Member, Research Excellence Framework REF2014, Main Panel Committee B
- 2010-14 Chair, REF2014, Sub-Panel 14 Civil and Construction Engineering
- 2010-15 Chair, IAHR Task Force on Global Water Security
- 2009-12 External Examiner, BEng courses, University of Malaysia, Malaysia
- 2009-13 Member, Panel of Referees for Royal Society RAEng Newton Fellowships
- 2009-14 Member, Royal Society Brian Mercer Awards Panel
- 2008-11 External Assessor, Internal Promotions, University of Malaya, Malaysia
- 2008-12 Member, Royal Academy of Engineering, Engineering Policy Committee
- 2008-09 Member, Royal Academy of Engineering, Pro-Active Nominations Committee
- 2007-08 Specialist Advisor, Research Assessment Exercise 2008, Earth Sciences Sub-Panel
- 2007-11 Member, Institution of Civil Engineers, Network and Events Panel
- 2007-12 Editorial Board Member, Estuarine, Coastal and Shelf Science
- 2007-date Editorial Board Member, Journal of Hydro-environmental Research

| 2006-10 | External Examiner, MSc courses, Heriot Watt University and University of Glasgow |
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| 2006-date | Editorial Board Member, Eng. Applications of Computational Fluid Mechanics |
| 2006-08 | Member, Research Assessment Exercise 2008, Civil Engineering Sub-Panel |
| 2005-08 | External Examiner, MEng/BEng courses, University of Hong Kong, Hong Kong |
| 2005-date | Guest Professor, Dept. of Mechanics, Tianjin University, Tianjin, China |
| 2004 | Theme Leader, EPSRC UK Celebration of Research Exhibition, London |
| 2003 | Research Reviewer, School of Engineering, University of Nottingham |
| 2003-08 | External Examiner, Open University, Milton Keynes |
| 2003-06 | Member, RAEng Committee for Research and Secondment |
| 2002-05 | Lead Assessor, RAEng Research in Civil Engineering |
| 2002-03 | Member, RAEng Engineering Committee |
| 2002-date | Founding Editor, Journal of River Basin Management, IAHR |
| 2003-07 | Founding Editor, Journal of Water Management, ICE Proceedings |
| 2001-03 | Editor, Water and Maritime Engineering, ICE Proceedings |
| 2000-04 | Elected Member, Institution of Civil Engineers Council |
| 2000-03 | Member, Royal Academy of Engineering, Membership Panel |
| 1999-06 | Subject Advisor, British Council Education Links Scheme |
| 1999-07 | Elected (1999-03) and Co-opted (2003-07) Member, IAHR Council |
| 1999-02 | External Examiner, National University of Ireland, Galway |
| 1998-03 | Advisor, Association of Commonwealth Universities Fellowships |
| 1998-04 | Founding Editor, Journal of Hydroinformatics, IWA |
| 1997-02 | Visiting Professor, Dept. of Civil Engineering, University of Bradford |
| 1997-03 | Associate Editor, Advances in Water Resources Series |
| 1997-03 | Elected Member, Chartered Inst. Water and Environmental Management Council |
| 1997-99 | President, British Association, Engineering Section |
| 1996-00 | External Examiner, MEng/BEng courses, University of Liverpool |
| 1995-99 | External Examiner, MEng/BEng courses, Queen's University, Belfast |
| 1995-96 | Chair, Institution of Civil Engineers Yorkshire Association |
| 1994-date | Member, EPSRC Peer Review College |
| 1994-01 | Associate Editor, Journal of Hydraulic Engineering, ASCE |
| 1993-97 | Honorary Professor, School of Life Sciences, University of Hull |
| 1992-96 | External Examiner, BEng courses, University of Ulster |
| 1991-92 | Chair, Chartered Inst. Water and Env. Man. Tyne and Humber Branch |
| 1989-90 | Visiting Professor, Dept. of Civil Engineering, Univ. of Newcastle upon Tyne |
| 1987-13 | Advisory Professor, Dept. of Environmental Engineering, Tongji Univ., Shanghai |
| N/L | |
| | isory and Professional Appointments |
| 2017-date | Director, Dragon River & Tidal Ltd., responsible for turbine engineering development |
| 2017-date | Member, Technical Advisory Board, Natural Energy Wyre Ltd., Wyre Tidal Gateway |
| 2016 | Consultant, ITP Energise Technical Support to Hendry Review of Tidal Lagoons |
| 2016 | Member, Government Office, National Flood Resilience Science Advisory Group |
| 2015-16 | Member, RAEng Queen Elizabeth Engineering Prize, Search Committee |
| 2012-14 | Member, Regional Committee and Expert Panel of Hafren Power Ltd. |
| 2010-12 | Advisor, Corlan Hafren Ltd - consortium of companies to develop Severn Barrage |
| 2010-11 | Member, Mersey Tidal Power - Design Advisory Group |
| 2009-10 | Member, Global Water Security Steering Group (Reporting to Govt. Chief Scientist) |
| 2009-10 | Member, Cardiff City Vision Forum - Severn Tidal Monitoring Group |
| 2008-10 | Member, Expert Panel for Severn Estuary Tidal Power Study, Govt. Dept. BERR |
| 2008-09 | Member, Severn Tidal Power Strategic Environmental Assessment Steering Group |

British Council - Specialist Advisor Delphi-EAP Partnership

2008-10

2007-13

2006-16

2007

2006-13

Expert Witness, for EA at Public Inquiry, Preston Sewage Works, Southport

Member, Environment Agency Wales EU Water Framework Directive Committee

Member, NRW Flood Risk Management Wales Committee, Welsh Gov. representative

Chair, Marine Renewable Energy Task Group for Wales

| 2005 | Advisor, Kuwait Institute for Scientific Research (KISR), Environment Division |
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| 2004-05 | Member, Group of Experts Singapore Land Reclamation Study (ITLOS) |
| 2003 | Expert Witness, Internat. Tribunal for Law of Sea case, Malaysia v. Singapore |
| 2003-06 | Advisor, Marine Modelling Centre, Martin Ryan Institute, Galway, Eire |
| 2003-05 | Expert Advisor, Ministry of Foreign Affairs, Malaysian Government |
| 2003-04 | Expert Advisor, Department of Irrigation and Drainage, Malaysian Government |
| 2001-10 | Technical Consultant, MarCon Computations International Ltd, Galway, Eire |
| 2002-05 | Technical Director, Floodguards International Ltd, Wokingham |
| 2000-12 | Consultant, Halcrow Group Ltd, (Consulting Engineers) |
| 1999-04 | Consultant, Tianjin Municipal Government, China |
| 1998-07 | Member, Environment Agency Estuaries Advisory Committee |
| 1998-04 | Board Member, Advanced Research Partnership |
| 1997-98 | Advisor, Environment Agency on Anglian Flow Forecast Modelling System |
| 1997-00 | Consultant, Hyder Consulting Ltd, (Consulting Engineers) |
| 1996-98 | Member, Environment Agency Humber Estuary Advisory Committee |
| 1995-96 | Advisor, US Navy Environment Division on Hydro-Ecology of Mugu Lagoon |
| 1994-97 | Associate Advisor, British Council, Development and Training Services |
| 1995 | Expert Evaluator of Danish Hydraulic Institute, for Danish Government |
| 1993-94 | Advisor, BP Chemicals Ltd., Humber Estuary EIA Study |
| 1993-94 | Advisor, British Nuclear Fuels Ltd., Sellafield and Chapelcross EIA Studies |
| 1993 | UN Expert on Mission, Central Water and Power Research Station, India |
| 1992-93 | Advisor, Southern California Edison, USA, Wetland Management Project |
| 1987-92 | Advisor, China Nat. Env. Protection Agency, Shanghai Sewerage Project |
| 1992 | Expert Witness, for NRA at Public Inquiry, Integrated Treatment Centre, Hull |
| 1991 | Advisor, EU for MAST Research Programme |

Key Biographical Entries

1998-date Who's Who (pub: A & C Black, London)

1998-date People of the World (pub: Debrett's Peerage Ltd, London)

1998-date Who's Who in Science and Engineering (pub: Marquis Who's Who, USA) 2002-date Directory of International Biography (pub. Melrose Press Ltd, Cambridge)

Web Profile Links

Profile on University Website

Profile on LinkedIn

Profile on Orchid

Profile on Researcher ID

Profile on Google Scholar

Profile on Roger Falconer Water Consultancy

Key to Abbreviations

ASCE American Society of Civil Engineers
CGI City and Guilds of London Institute

CIWEM Chartered Institution of Water and Environmental Management

EPSRC Engineering and Physical Sciences Research Council

IAHR International Association for Hydro-Environment Engineering and Research

ICE Institution of Civil Engineers
IWA International Water Association
NERC Natural Environment Research Council
RAE UK Research Assessment Exercise
RAEng Royal Academy of Engineering

RoySoc Royal Society

RSA Royal Society for the encouragement of Arts