



MONEY
MANAGEMENT
INSTITUTE

ADVANCING THE FUTURE OF
INVESTMENT ADVICE AND SOLUTIONS



Established in 1997, the **Money Management Institute (MMI)** is the industry association representing financial services firms that provide financial advice and investment advisory solutions to investors.



CONNECT



KNOW



GROW

A close-up photograph of a Black man in a dark suit, light blue shirt, and patterned tie. He is smiling slightly and looking towards the camera. He is holding a white document with some text on it. The background is blurred, showing an office setting with windows and other people.

Through conferences, educational resources, and thought leadership, MMI facilitates peer-to-peer connections, fosters industry knowledge and professionalism, and supports the next-generation leadership and evolution of the investment advisory industry.

MMI member firms are dedicated to helping individual and institutional investors, at every level of assets, plan for and fulfill their financial goals.





WHY WE ARE DIFFERENT

MMI is the only industry association uniquely
dedicated to **Advancing the Future of
Investment Advice and Solutions**

OUR MISSION

To **facilitate, enable and shepherd** industry leadership and growth. MMI helps member firms to **CONNECT**, **KNOW** and **GROW** by providing a broad range of member benefits and services.



CONNECT



Conferences
& Events



Membership
Communities



KNOW



Education &
Professional
Development



Analysis &
Insights



GROW



Next Generation
Programs



Industry Presence
& Advocacy

OUR MEMBERS

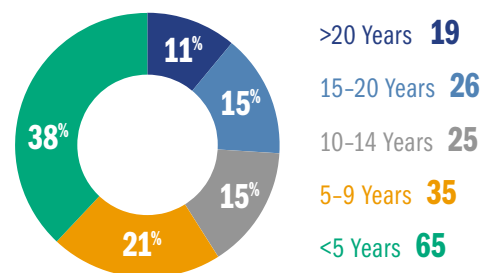
MMI members are the sponsor, asset manager, and solutions provider firms that are shaping the future of investment advice—from some of the largest financial institutions in the world to emerging innovators and new entrants.

170 Member Firms as of June 30, 2019

BY TYPE



BY LENGTH OF MEMBERSHIP



While membership is at the corporate level, it is the 5,600+ engaged individuals at our member firms who are the backbone of MMI and keep the organization vibrant and relevant.



MMI helps our members to **CONNECT** by engaging with counterparts at other firms and sharing knowledge and information.



Conferences & Events

Deliver industry-leading conferences and events that foster industry knowledge and facilitate peer-to-peer connections

MAJOR CONFERENCES

Sales & Marketing Leadership Summit Annual Conference

THEMATIC EVENTS

Alternative Investments Forum	MMI/Sorenson Impact Investing FA Forum
Distribution Leadership Forum	MMI/TIIP/Toniic ESG Forum
Emerging Asset Managers Forum	MMI/TIIP Advanced ESG Due Diligence Seminar
Leadership Pathway Seminar	Toronto Wealth Management Summit
Legal & Compliance Seminar	Women in Distribution Symposium
MMI/Morningstar Sustainable Investing FA Forum	

EXECUTIVE ROUNDTABLES

Executive briefings with highly-focused agendas

WEBINARS

Topical panel discussions with industry leaders and experts



Membership Communities

Create aligned communities to discuss common issues
and share best practices

A diverse slate of communities that allows members to make professional connections, discuss common issues and challenges, hear from guest speakers, and share best practices

Alternative Investments

Annuity & Insurance Solutions

Digitally-Enhanced Advice

Distribution Professionals

Diversity & Inclusion

Emerging Asset Managers

ETFs & Structured Products

Human Resources

Learning & Professional
Development

Legal & Compliance

Mutual Funds

SMAs & Model Portfolios

Sustainable Investing

Technology & Operations

Women in Wealth Management





MMI helps our members to **KNOW** by expanding their industry knowledge and understanding of key trends.



Education & Professional Development

Provide best-of-breed educational curriculums to promote continuous learning and professionalism

CONTINUOUS LEARNING CENTER

A comprehensive suite of online learning resources for industry professionals at every stage of their careers, with basic, intermediate and advanced level curriculums that address different educational needs



Fundamentals of Investment Advisory Solutions

360-degree perspective of the rapidly-evolving advisory space



MMI/Morningstar Sustainable Investing Curriculum

Intermediate-level overview of sustainable investing concepts and best practices



Wholesaler Training Curriculum

Core and advanced courses designed for investment advisory distribution professionals



Wealth Management Essentials

Well-rounded introduction to financial services and investment management for those beginning—or considering—a career in the financial arena



Education Marketplace

Highly-regarded training curriculums from CAIA Association, CFA Institute, Investments & Wealth Institute, PRI Academy, and US SIF that fill specific knowledge gaps



Analysis & Insights

Develop, curate and present insightful analysis and thought leadership from trusted industry experts

MMI-CERULLI ADVISORY SOLUTIONS DATA

A single, authoritative source of data on the advisory solutions marketplace to assist members with strategic planning and analysis. Members receive a quarterly quantitative summary and an online portal allows firms that contribute data to access the underlying dataset.

THOUGHT LEADERSHIP

A robust calendar of white papers, research reports, and other publications produced in collaboration with respected subject matter experts

MMI JOURNAL OF INVESTMENT ADVISORY SOLUTIONS

A scholarly journal, published twice a year, that presents articles and reports on topics critical to the future of investment advice and advisory solutions

NEWS RECAP

A quick-hitting weekly roundup, powered by FUSE Research Network, of the most relevant advisory-focused news items



MMI helps our members to **GROW** by representing the advisory industry and by identifying and addressing common challenges.



Next Generation Programs

Support the development of the next generation of industry leadership and promote industry diversity & inclusion



2019 WINNER



A program that offers highly motivated African-American and Hispanic students a proven pathway into financial services and helps firms enhance their diversity profile



A structured two-year leadership development program designed to recognize and nurture the next generation of advisory solutions leaders



A program that helps member firms connect in a meaningful way with potential future hires by participating in career awareness and industry education activities for university students



Industry Presence & Advocacy

Advance industry dialogue and represent the investment advisory industry to key audiences and stakeholders



Cultivate media relations and press coverage of advisory solutions
Maintain social media presence and engagement
Monitor regulatory developments and provide input and perspective
Engage and collaborate with key industry organizations

Highlight industry innovation and achievement



MONEY
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INSTITUTE

BARRON'S

INDUSTRY AWARDS

OUR MEMBERS SAY IT BEST



CONNECT

“The level of executives who are involved with MMI is a testament to the value they assign to membership. MMI provides a unique forum for the senior leaders of sponsor and manager firms to connect and work toward real synergy in delivering enhanced solutions to advisors and clients. The advisory business has many moving parts, and MMI is a tremendous resource to help members stay current and connect the dots on everything from legal and regulatory issues to the latest trends in technology, operations, product, and investment strategy.”

—Head of Managed Solutions, Major Wirehouse



KNOW

“MMI’s proprietary industry data, analysis, and publications give us highly valued inputs on many fronts. Since MMI’s research agenda is driven largely by member input, the focus is on those topics of greatest interest and importance to members. The various reports and publications are an invaluable resource for business planning, strategy development, resource allocation, and associate education.”

—Head of Intermediary Sales, Leading Asset Management Firm



GROW

“Other industry associations are larger and broader, but MMI is the only one focused on advisory solutions. MMI conferences attract all of the leading players in the investment advisory industry—including everyone we do business with and everyone we want to do business with. We also learn a tremendous amount about the issues that are top-of-mind with people in the business and we take those back and figure out how to address them.”

—President, Prominent Wealth Management Technology Provider

FOR MORE INFORMATION

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